

HKBCF project to avoid any redundancy in monitoring effort. Such exemption for the dolphin monitoring has ended in September 2019 as the dolphin monitoring works carried out by HKLR03 and HKBCF contract have been completed. Starting in October 2019, TMCLKL08 contract takes over the dolphin monitoring works by conducting the regular vessel-based line-transect surveys during the construction phase. And as the construction works for the TMCLKL08 contract has also been completed in May 2020, the post-construction dolphin monitoring works have subsequently commenced in June 2020.

- 1.3. Since November 2013, the Director of Hong Kong Cetacean Research Project (HKCRP), Dr. Samuel Hung, has been appointed by ERM Hong Kong Limited as the dolphin specialist for the TMCLKL Northern Connection Sub-sea Tunnel Section EM&A project. He is responsible for the dolphin monitoring study, including the data collection on Chinese White Dolphins during the construction phase (i.e. impact period) as well as the post-construction phase of the TMCLKL project in Northwest Lantau (NWL) and Northeast Lantau (NEL) survey areas. During both phases, the dolphin specialist is responsible to utilize the collected monitoring data in order to examine any potential impacts on the dolphins during and after the TMCLKL construction works.
- 1.4. This report is the fourth monthly progress report under the TMCLKL post-construction phase dolphin monitoring programme submitted to the Contractor, summarizing the results of the survey findings during the month of September 2020.

2. Monitoring Methodology

2.1. Vessel-based Line-transect Survey

- 2.1.1. According to the requirement of the updated EM&A manual, dolphin monitoring programme should cover all transect lines in NEL and NWL survey areas (see Figure 1) twice per month throughout the entire construction and post-construction period. The co-ordinates of all transect lines are shown in Table 1.

Table 1 Co-ordinates of transect lines

Line No.		Easting	Northing		Line No.		Easting	Northing
1	Start Point	804671	815456		13	Start Point	816506	819480
1	End Point	804671	831404		13	End Point	816506	824859

2	Start Point	805476	820800		14	Start Point	817537	820220
2	End Point	805476	826654		14	End Point	817537	824613
3	Start Point	806464	821150		15	Start Point	818568	820735
3	End Point	806464	822911		15	End Point	818568	824433
4	Start Point	807518	821500		16	Start Point	819532	821420
4	End Point	807518	829230		16	End Point	819532	824209
5	Start Point	808504	821850		17	Start Point	820451	822125
5	End Point	808504	828602		17	End Point	820451	823671
6	Start Point	809490	822150		18	Start Point	821504	822371
6	End Point	809490	825352		18	End Point	821504	823761
7	Start Point	810499	822000		19	Start Point	822513	823268
7	End Point	810499	824613		19	End Point	822513	824321
8	Start Point	811508	821123		20	Start Point	823477	823402
8	End Point	811508	824254		20	End Point	823477	824613
9	Start Point	812516	821303		21	Start Point	805476	827081
9	End Point	812516	824254		21	End Point	805476	830562
10	Start Point	813525	821176		22	Start Point	806464	824033
10	End Point	813525	824657		22	End Point	806464	829598
11	Start Point	814556	818853		23	Start Point	814559	821739
11	End Point	814556	820992		23	End Point	814559	824768
12	Start Point	815542	818807		24	Start Point	805476	815900
12	End Point	815542	824882		24	End Point	805476	819100

2.1.2. The survey team used standard line-transect methods (Buckland et al. 2001) to conduct the systematic vessel surveys, and followed the same technique of data collection that has been adopted over the last 22 years of marine mammal monitoring surveys in Hong Kong developed by HKCRP (see Hung 2019). For each monitoring vessel survey, a 15-m inboard vessel with an open upper deck (about 4.5 m above water surface) was used to make observations from the flying bridge area.

- 2.1.3. Two experienced observers (a data recorder and a primary observer) made up the on-effort survey team, and the survey vessel transited different transect lines at a constant speed of 13-15 km per hour. The data recorder searched with unaided eyes and filled out the datasheets, while the primary observer searched for dolphins and porpoises continuously through 7 x 50 *Fujinon* marine binoculars. Both observers searched the sea ahead of the vessel, between 270° and 90° (in relation to the bow, which is defined as 0°). One to two additional experienced observers were available on the boat to work in shift (i.e. rotate every 30 minutes) in order to minimize fatigue of the survey team members. All observers were experienced in small cetacean survey techniques and identifying local cetacean species.
- 2.1.4. During on-effort survey periods, the survey team recorded effort data including time, position (latitude and longitude), weather conditions (Beaufort sea state and visibility), and distance traveled in each series (a continuous period of search effort) with the assistance of a handheld GPS (*Garmin eTrex Legend*).
- 2.1.5. Data including time, position and vessel speed were also automatically and continuously logged by handheld GPS throughout the entire survey for subsequent review.
- 2.1.6. When dolphins were sighted, the survey team would end the survey effort, and immediately record the initial sighting distance and angle of the dolphin group from the survey vessel, as well as the sighting time and position. Then the research vessel was diverted from its course to approach the animals for species identification, group size estimation, assessment of group composition, and behavioural observations. The perpendicular distance (PSD) of the dolphin group to the transect line was later calculated from the initial sighting distance and angle.
- 2.1.7. Survey effort being conducted along the parallel transect lines that were perpendicular to the coastlines (as indicated in Figure 1) was labeled as “primary” survey effort, while the survey effort conducted along the connecting lines between parallel lines was labeled as “secondary” survey effort. According to HKCRP long-term dolphin monitoring data, encounter rates of Chinese White Dolphins deduced from effort and sighting data collected along primary and secondary lines were similar in NEL and NWL survey areas. Therefore, both primary and secondary survey effort were presented as on-effort survey effort in this report.
- 2.1.8. Encounter rates of Chinese White Dolphins (number of on-effort sightings per 100 km of survey effort and number of dolphins from all on-effort sightings per 100 km of survey effort) were calculated in NEL and NWL survey areas in relation to the amount of survey

effort conducted during each month of monitoring survey. Only data collected under Beaufort 3 or below condition would be used for encounter rate analysis. Dolphin encounter rates were calculated using primary survey effort alone, as well as the combined survey effort from both primary and secondary lines.

2.2. Photo-identification Work

- 2.2.1. When a group of Chinese White Dolphins were sighted during the line-transect survey, the survey team would end effort and approach the group slowly from the side and behind to take photographs of them. Every attempt was made to photograph every dolphin in the group, and even photograph both sides of the dolphins, since the colouration and markings on both sides may not be symmetrical.
- 2.2.2. A professional digital camera (*Canon EOS 7D* model), equipped with long telephoto lenses (100-400 mm zoom), were available on board for researchers to take sharp, close-up photographs of dolphins as they surfaced. The images were shot at the highest available resolution and stored on Compact Flash memory cards for downloading onto a computer.
- 2.2.3. All digital images taken in the field were first examined, and those containing potentially identifiable individuals were sorted out. These photographs would then be examined in greater detail, and were carefully compared to the existing Chinese White Dolphin photo-identification catalogue maintained by HKCRP since 1995.
- 2.2.4. Chinese White Dolphins can be identified by their natural markings, such as nicks, cuts, scars and deformities on their dorsal fin and body, and their unique spotting patterns were also used as secondary identifying features (Jefferson 2000).
- 2.2.5. All photographs of each individual were then compiled and arranged in chronological order, with data including the date and location first identified (initial sighting), re-sightings, associated dolphins, distinctive features, and age classes entered into a computer database.

3. Monitoring Results

3.1. Vessel-based Line-transect Survey

- 3.1.1. During the fourth month of post-construction dolphin monitoring programme, two sets of systematic line-transect vessel surveys were conducted on the 9th, 15th, 21st and 23rd of September 2020, to cover all transect lines in NWL and NEL survey areas twice. The

survey routes of each survey day are shown in Figures 2-5.

- 3.1.2. A total of 260.41 km of survey effort was collected, with 100% of the total survey effort being conducted under favourable weather conditions (i.e. Beaufort Sea State 3 or below with good visibility) during the September's surveys (Appendix I).
- 3.1.3. Among the two areas, 95.60 km and 164.81 km of survey effort were collected from NEL and NWL survey areas respectively. The total survey effort conducted on primary and secondary lines were 192.81 km and 67.60 km respectively (Appendix I).
- 3.1.4. During the two sets of monitoring surveys in September 2020, only a single group of two Chinese White Dolphins was sighted in NWL, while no dolphin was sighted at all in NEL (Appendix II). The lone dolphin sighting in NWL was made on primary line during on-effort search, and was not associated with any operating fishing vessel (Appendix II).
- 3.1.5. Distribution of the single dolphin sighting made in September 2020 is shown in Figure 6. The dolphin group was sighted at the northeast corner of Lung Kwu Chau, which is very far away from the TMCLKL alignment.
- 3.1.6. During the September's surveys, encounter rates of Chinese White Dolphins deduced from the survey effort and on-effort sighting data made under favourable conditions (Beaufort 3 or below) are shown in Tables 2 & 3.

Table 2. Dolphin encounter rates deduced from the two sets of surveys (two surveys in each set) in September 2020 in Northeast (NEL) and Northwest Lantau (NWL)

		Encounter rate (STG) (no. of on-effort dolphin sightings per 100 km of survey effort)	Encounter rate (ANI) (no. of dolphins from all on-effort sightings per 100 km of survey effort)
		Primary Lines Only	Primary Lines Only
NEL	Set 1: September 9 th / 15 th	0.0	0.0
	Set 2: September 21 st / 23 rd	0.0	0.0
NWL	Set 1: September 9 th / 15 th	1.6	3.2
	Set 2: September 21 st / 23 rd	0.0	0.0

Table 3. Overall dolphin encounter rates (sightings per 100 km of survey effort) from all four surveys conducted in September 2020 on primary lines only as well as both primary lines and secondary lines in Northeast and Northwest Lantau

	Encounter rate (STG) (no. of on-effort dolphin sightings per 100 km of survey effort)		Encounter rate (ANI) (no. of dolphins from all on-effort sightings per 100 km of survey effort)	
	Primary Lines Only	Both Primary and Secondary Lines	Primary Lines Only	Both Primary and Secondary Lines
Northeast Lantau	0.0	0.0	0.0	0.0
Northwest Lantau	0.8	0.6	1.6	1.2

3.2. *Photo-identification Work*

3.2.1. The two individuals sighted during the September's surveys were identified as NL202 and NL286, and this mother-calf pair has been re-sighted repeatedly throughout the HZMB monitoring period (Appendices III and IV).

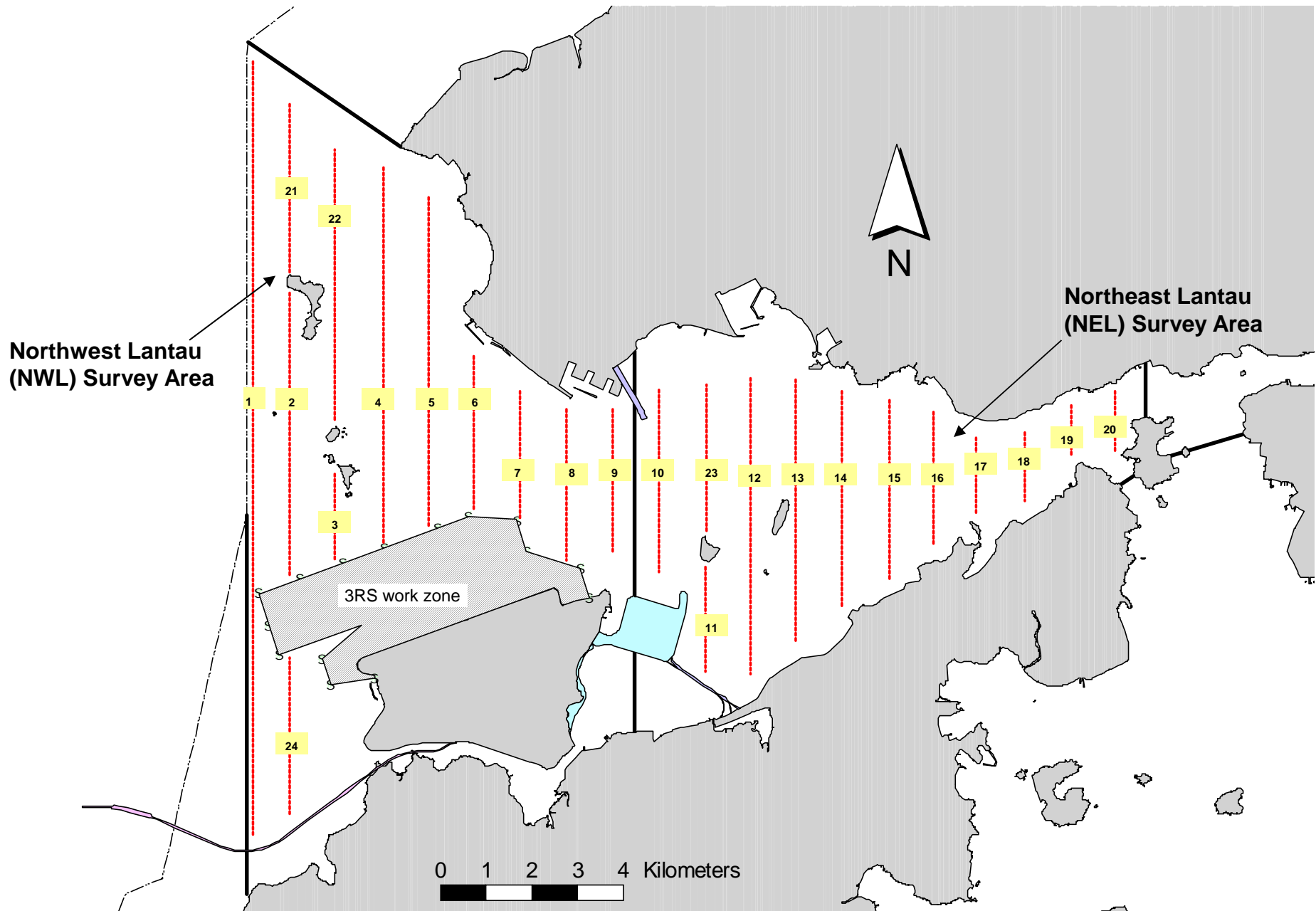


Figure 1. Transect Line Layout in Northwest and Northeast Lantau Survey Areas

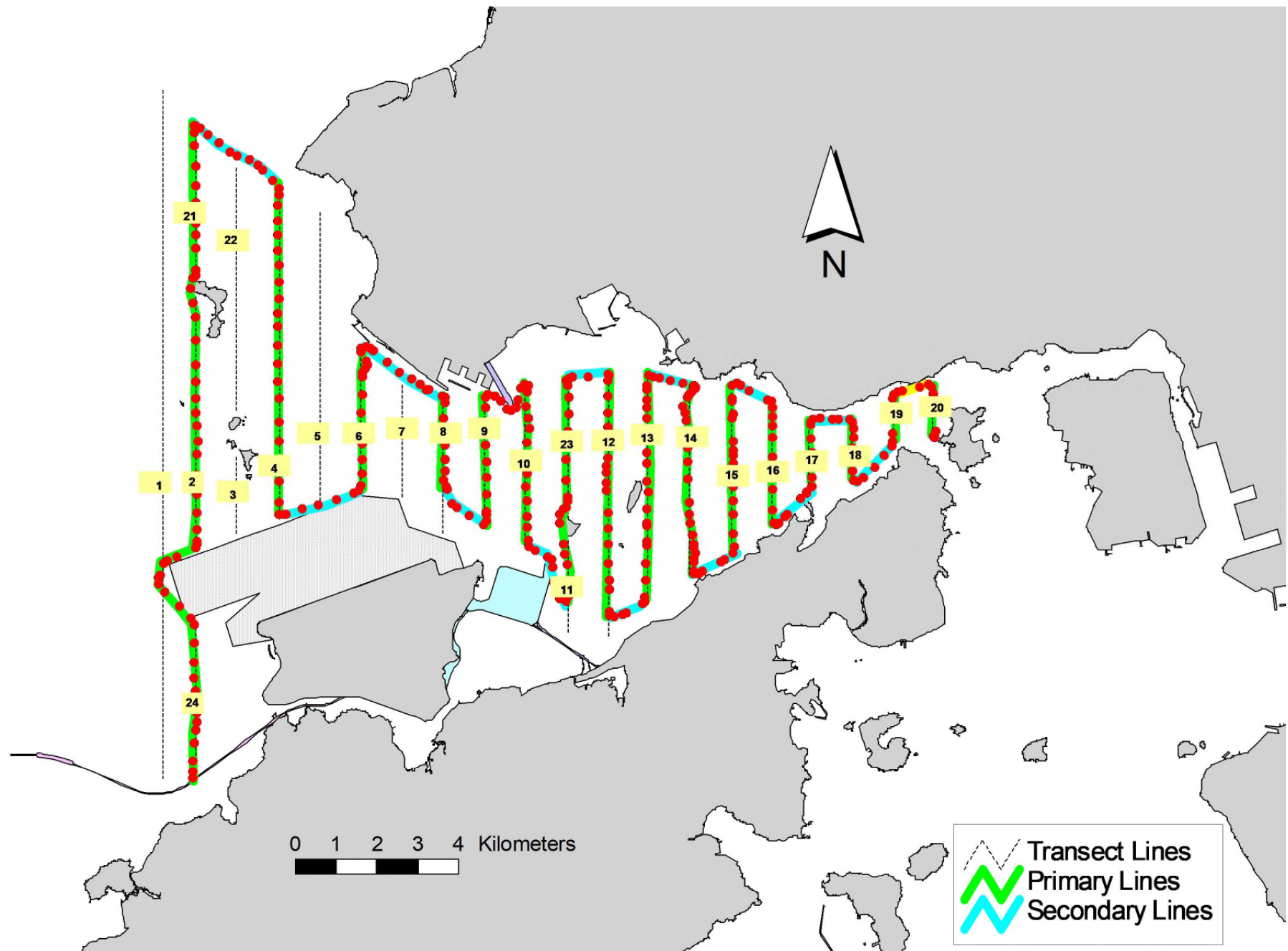


Figure 2. Survey Route on September 9th, 2020

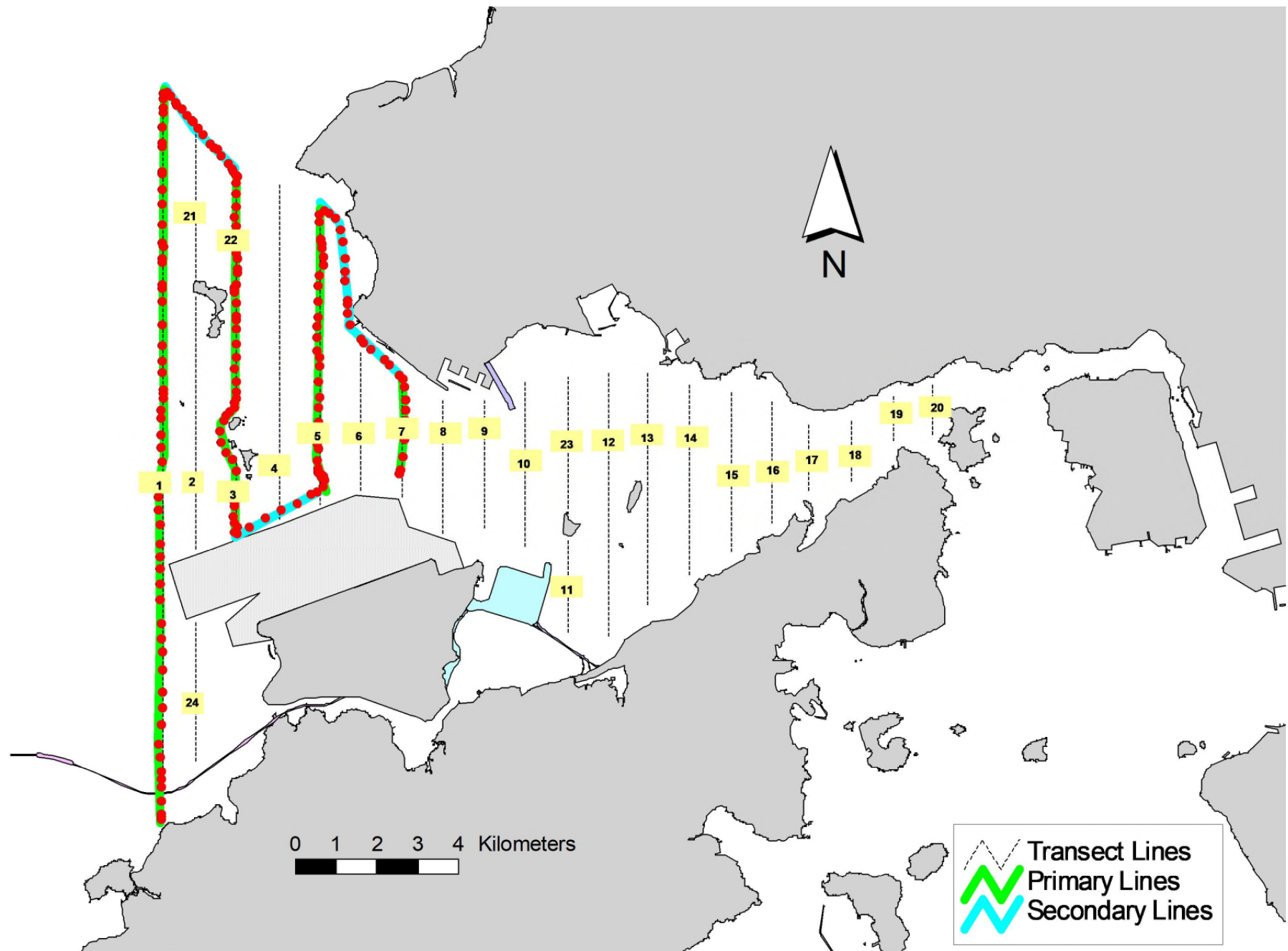


Figure 3. Survey Route on September 15th, 2020

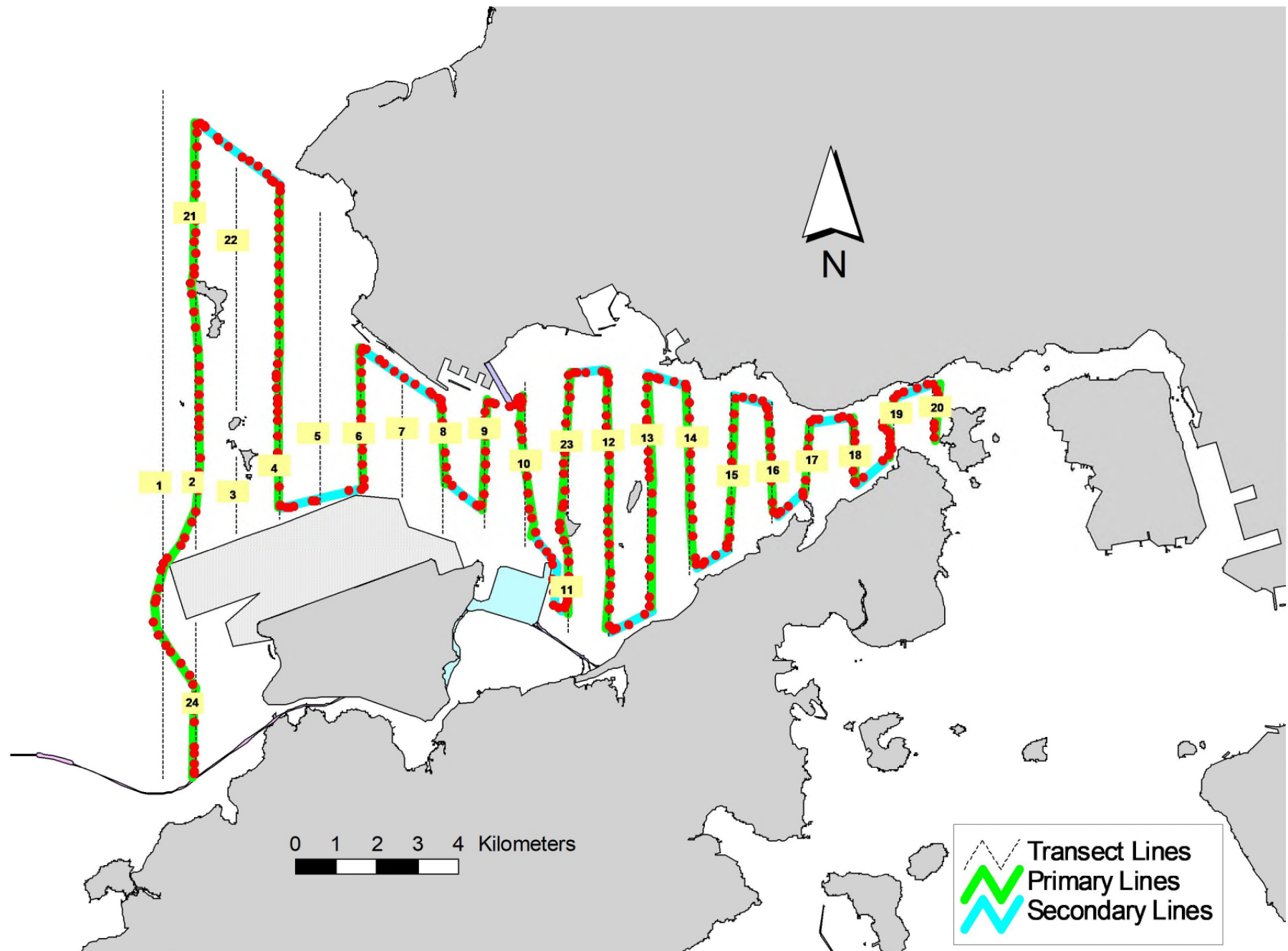


Figure 4. Survey Route on September 21st, 2020

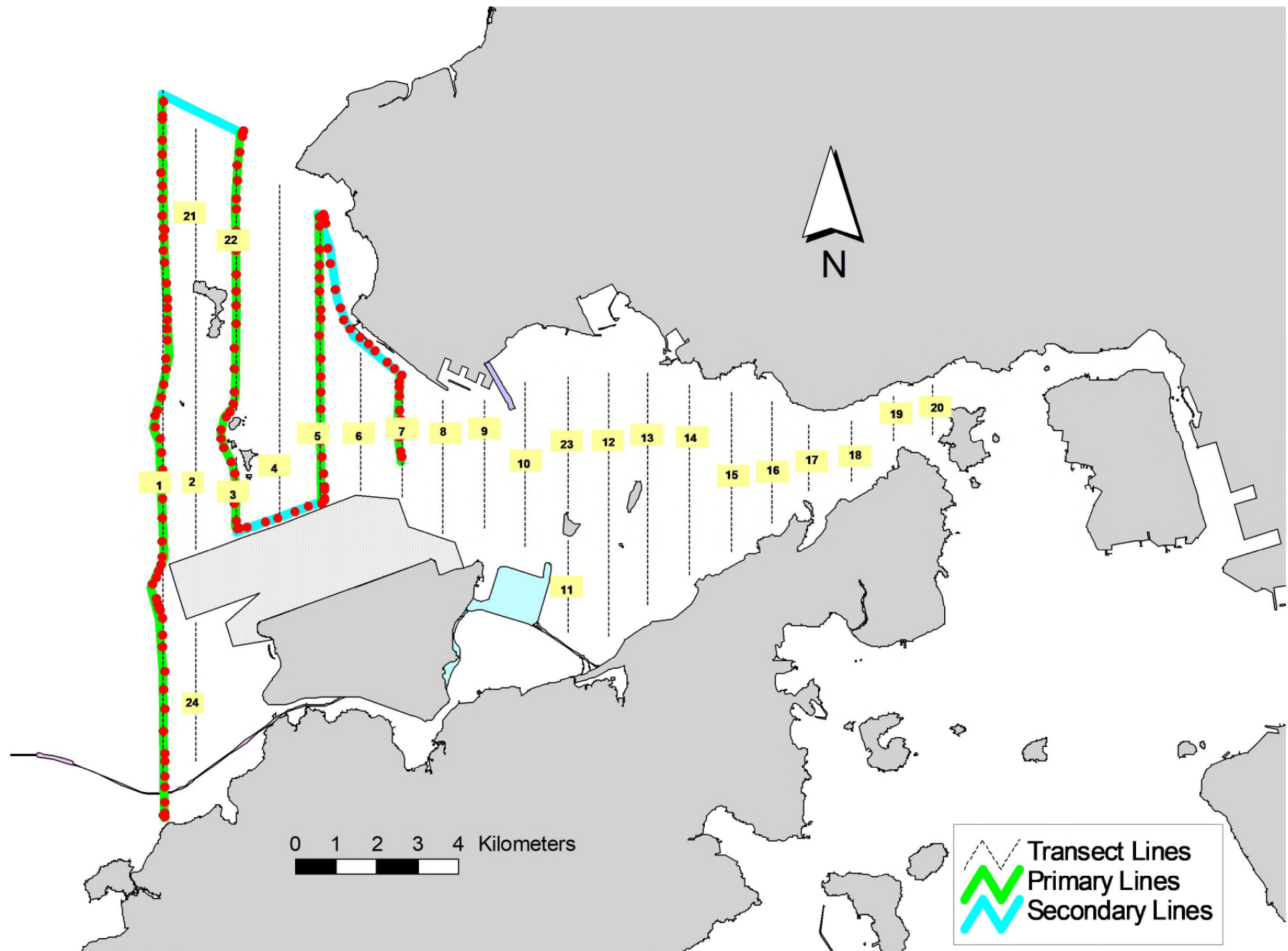


Figure 5. Survey Route on September 23rd, 2020

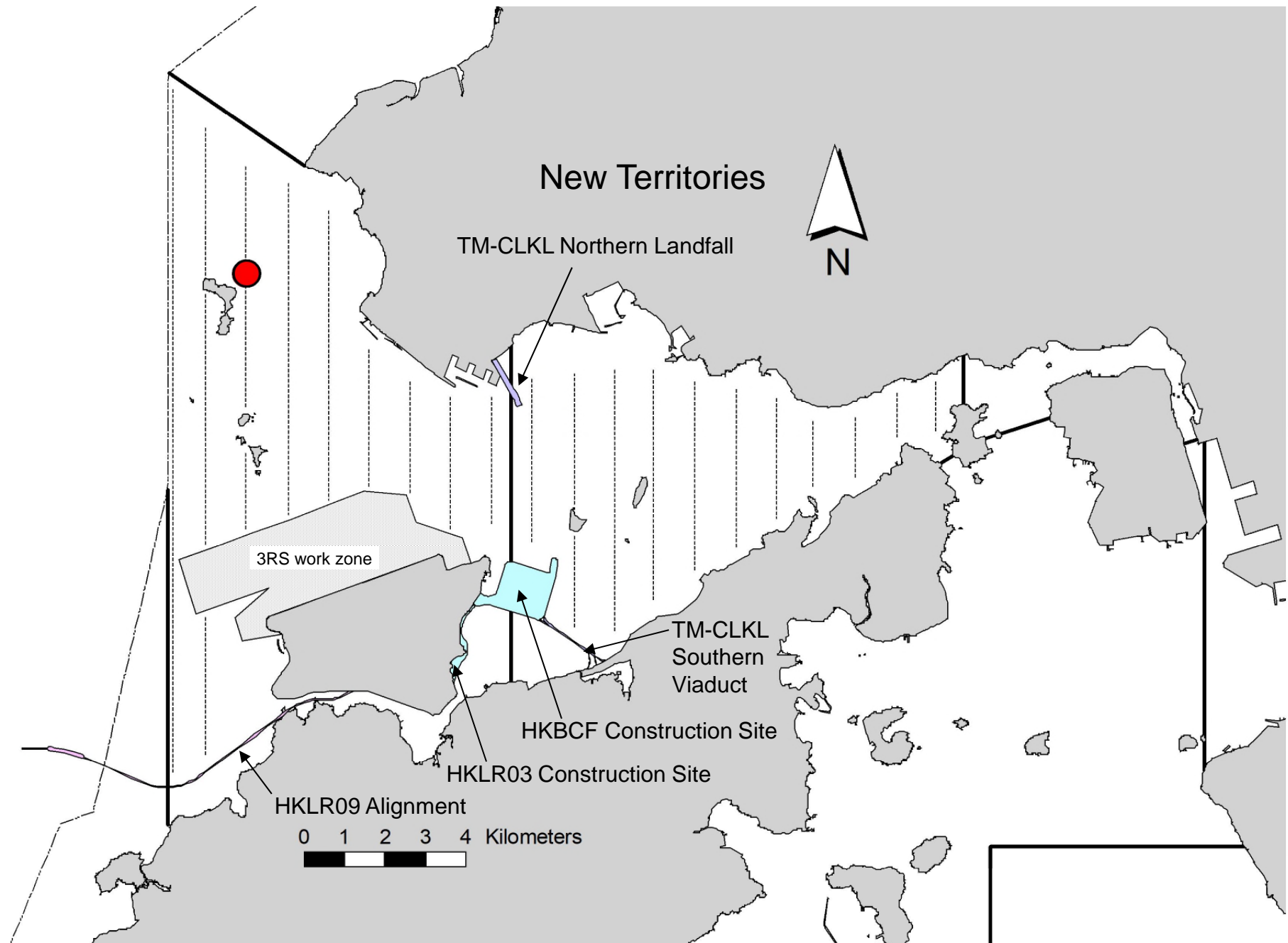


Figure 6. Distribution of Chinese White Dolphin Sightings during September 2020 Monitoring Surveys

Appendix I. TMCLKL Survey Effort Database (September 2020)

(Abbreviations: BEAU = Beaufort Sea State; P = Primary Line Effort; S = Secondary Line Effort)

DATE	AREA	BEAU	EFFORT	SEASON	VESSEL	TYPE	P/S
9-Sep-20	NW LANTAU	1	12.70	AUTUMN	STANDARD36826	TMCLKL	P
9-Sep-20	NW LANTAU	2	16.50	AUTUMN	STANDARD36826	TMCLKL	P
9-Sep-20	NW LANTAU	1	5.92	AUTUMN	STANDARD36826	TMCLKL	S
9-Sep-20	NW LANTAU	2	5.48	AUTUMN	STANDARD36826	TMCLKL	S
9-Sep-20	NE LANTAU	1	7.01	AUTUMN	STANDARD36826	TMCLKL	P
9-Sep-20	NE LANTAU	2	28.49	AUTUMN	STANDARD36826	TMCLKL	P
9-Sep-20	NE LANTAU	1	5.00	AUTUMN	STANDARD36826	TMCLKL	S
9-Sep-20	NE LANTAU	2	7.80	AUTUMN	STANDARD36826	TMCLKL	S
15-Sep-20	NW LANTAU	1	4.25	AUTUMN	STANDARD36826	TMCLKL	P
15-Sep-20	NW LANTAU	2	26.45	AUTUMN	STANDARD36826	TMCLKL	P
15-Sep-20	NW LANTAU	3	2.28	AUTUMN	STANDARD36826	TMCLKL	P
15-Sep-20	NW LANTAU	2	10.93	AUTUMN	STANDARD36826	TMCLKL	S
21-Sep-20	NW LANTAU	1	1.77	AUTUMN	STANDARD36826	TMCLKL	P
21-Sep-20	NW LANTAU	2	15.75	AUTUMN	STANDARD36826	TMCLKL	P
21-Sep-20	NW LANTAU	3	9.30	AUTUMN	STANDARD36826	TMCLKL	P
21-Sep-20	NW LANTAU	2	7.08	AUTUMN	STANDARD36826	TMCLKL	S
21-Sep-20	NW LANTAU	3	5.10	AUTUMN	STANDARD36826	TMCLKL	S
21-Sep-20	NE LANTAU	2	13.67	AUTUMN	STANDARD36826	TMCLKL	P
21-Sep-20	NE LANTAU	3	21.76	AUTUMN	STANDARD36826	TMCLKL	P
21-Sep-20	NE LANTAU	2	6.48	AUTUMN	STANDARD36826	TMCLKL	S
21-Sep-20	NE LANTAU	3	5.39	AUTUMN	STANDARD36826	TMCLKL	S
23-Sep-20	NW LANTAU	1	14.56	AUTUMN	STANDARD36826	TMCLKL	P
23-Sep-20	NW LANTAU	2	16.32	AUTUMN	STANDARD36826	TMCLKL	P
23-Sep-20	NW LANTAU	3	2.00	AUTUMN	STANDARD36826	TMCLKL	P
23-Sep-20	NW LANTAU	2	8.42	AUTUMN	STANDARD36826	TMCLKL	S

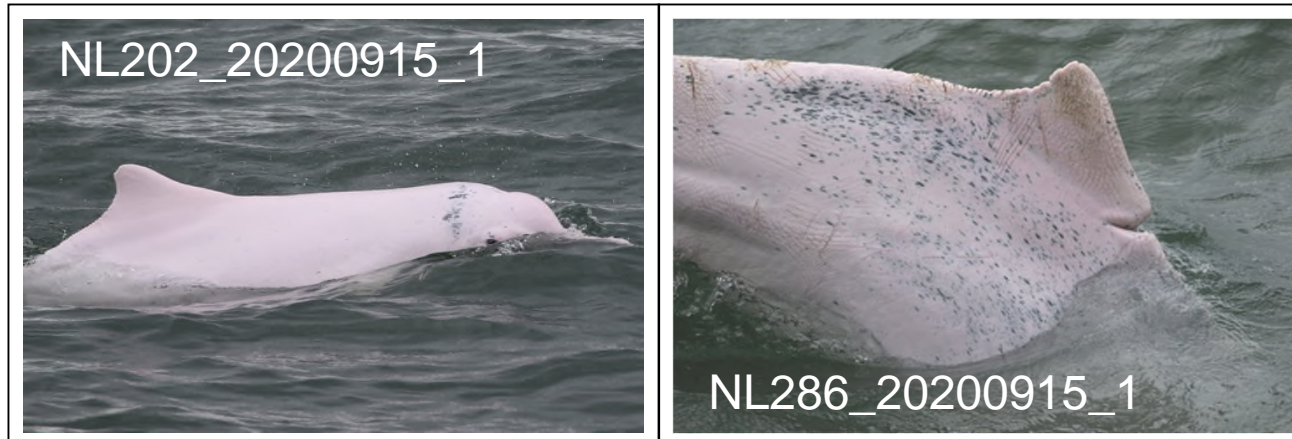
Appendix II. TMCLKL Chinese White Dolphin Sighting Database (September 2020)

(Abberviations: STG# = Sighting Number; HRD SZ = Dolphin Herd Size; BEAU = Beaufort Sea State; PSD = Perpendicular Distance; BOAT ASSOC. = Fishing Boat Association; P/S: Sighting Made on Primary/Secondary Lines)

DATE	STG #	TIME	HRD SZ	AREA	BEAU	PSD	EFFORT	TYPE	NORTHING	EASTING	SEASON	BOAT ASSOC.	P/S
15-Sep-20	1	1213	2	NW LANTAU	1	218	ON	TMCLKL	827104	806457	AUTUMN	NONE	P

Appendix III. Individual dolphins identified during TMCLKL monitoring surveys in (September 2020)

ID#	DATE	STG#	AREA
NL202	15/09/20	1	NW LANTAU
NL286	15/09/20	1	NW LANTAU



Appendix IV. Photograph of Identified Individual Dolphin in September 2020 (TMCLKL)