

Appendix L

Event Action Plan

Appendix L1 Event/ Action Plan for Air Quality

| EVENT | ET ⁽¹⁾ | ACTION | | |
|---|---|---|---|--|
| | | IEC ⁽¹⁾ | SOR ⁽¹⁾ | Contractor |
| Action Level | | | | |
| 1. Exceedance for one sample | <ol style="list-style-type: none"> 1. Identify the source. 2. Inform the IEC and the SOR. 3. Repeat measurement to confirm finding. 4. Increase monitoring frequency to daily. | <ol style="list-style-type: none"> 1. Check monitoring data submitted by the ET. 2. Check Contractor's working method. | <ol style="list-style-type: none"> 1. Notify Contractor. | <ol style="list-style-type: none"> 1. Rectify any unacceptable practice 2. Amend working methods if appropriate |
| 2. Exceedance for two or more consecutive samples | <ol style="list-style-type: none"> 1. Identify the source. 2. Inform the IEC and the SOR. 3. Repeat measurements to confirm findings. 4. Increase monitoring frequency to daily. 5. Discuss with the IEC and the Contractor on remedial actions required. 6. If exceedance continues, arrange meeting with the IEC and the SOR. 7. If exceedance stops, cease additional monitoring. | <ol style="list-style-type: none"> 1. Check monitoring data submitted by the ET. 2. Check the Contractor's working method. 3. Discuss with the ET and the Contractor on possible remedial measures. 4. Advise the SOR on the effectiveness of the proposed remedial measures. 5. Supervisor implementation of remedial measures. | <ol style="list-style-type: none"> 1. Confirm receipt of notification of failure in writing. 2. Notify the Contractor. 3. Ensure remedial measures properly implemented. | <ol style="list-style-type: none"> 1. Submit proposals for remedial actions to IEC within 3 working days of notification 2. Implement the agreed proposals 3. Amend proposal if appropriate |

| EVENT | ET ⁽¹⁾ | ACTION | | |
|---|---|---|--|---|
| | | IEC ⁽¹⁾ | SOR ⁽¹⁾ | Contractor |
| Limit Level | | | | |
| 1. Exceedance for one sample | <ol style="list-style-type: none"> 1. Identify the source. 2. Inform the SOR and the DEP. 3. Repeat measurement to confirm finding. 4. Increase monitoring frequency to daily. 5. Assess effectiveness of Contractor's remedial actions and keep the IEC, the DEP and the SOR informed of the results. | <ol style="list-style-type: none"> 1. Check monitoring data submitted by the ET. 2. Check Contractor's working method. 3. Discuss with the ET and the Contractor on possible remedial measures. 4. Advise the SOR on the effectiveness of the proposed remedial measures. 5. Supervisor implementation of remedial measures. | <ol style="list-style-type: none"> 1. Confirm receipt of notification of failure in writing. 2. Notify the Contractor. 3. Ensure remedial measures are properly implemented. | <ol style="list-style-type: none"> 1. Take immediate action to avoid further exceedance 2. Submit proposals for remedial actions to IEC within 3 working days of notification 3. Implement the agreed proposals 4. Amend proposal if appropriate |
| 2. Exceedance for two or more consecutive samples | <ol style="list-style-type: none"> 1. Notify the IEC, the SOR, the DEP and the Contractor. 2. Identify the source. 3. Repeat measurements to confirm findings. 4. Increase monitoring frequency to daily. 5. Carry out analysis of the Contractor's working procedures to determine possible mitigation to be implemented. 6. Arrange meeting with the IEC and the SOR to discuss the remedial actions to be taken. 7. Assess effectiveness of the Contractor's remedial actions | <ol style="list-style-type: none"> 1. Discuss amongst the SOR, ET and the Contractor on the potential remedial actions. 2. Review the Contractor's remedial actions whenever necessary to assure their effectiveness and advise the SOR accordingly. 3. Supervise the implementation of remedial measures. | <ol style="list-style-type: none"> 1. Confirm receipt of notification of failure in writing. 2. Notify the Contractor. 3. In consultation with the IEC, agree with the Contractor on the remedial measures to be implemented. 4. Ensure remedial measures are properly implemented. 5. If exceedance continues, consider what activity of the work is responsible and instruct the Contractor to stop that activity of work until the exceedance is abated. | <ol style="list-style-type: none"> 1. Take immediate action to avoid further exceedance. 2. Submit proposals for remedial actions to IEC within 3 working days of notification. 3. Implement the agreed proposals. 4. Resubmit proposals if problem still not under control. 5. Stop the relevant activity of works as determined by the SOR until the exceedance is abated. |

and keep the IEC, the DEP and
the SOR informed of the results.

8. If the exceedance stops, cease
additional monitoring.

Appendix L2 Event/ Action Plan for Construction Noise

| ACTION | | | | | |
|--------------|--|---|--|---|--|
| EVENT | ET | IEC | SOR | Contractor | |
| Action Level | <ol style="list-style-type: none"> 1. Notify the IEC and the Contractor. 2. Carry out investigation. 3. Report the results of investigation to the IEC and the Contractor. 4. Discuss with the Contractor and formulate remedial measures. 5. Increase monitoring frequency to check mitigation effectiveness. | <ol style="list-style-type: none"> 1. Review the analysed results submitted by the ET. 2. Review the proposed remedial measures by the Contractor and advise the SOR accordingly. 3. Supervise the implementation of remedial measures. | <ol style="list-style-type: none"> 1. Confirm receipt of notification of failure in writing. 2. Notify the Contractor. 3. Require the Contractor to propose remedial measures for the analysed noise problem. 4. Ensure remedial measures are properly implemented. | <ol style="list-style-type: none"> 1. Submit noise mitigation proposals to IEC 2. Implement noise mitigation proposals | |
| Limit Level | <ol style="list-style-type: none"> 1. Notify the IEC, the SOR, the DEP and the Contractor. 2. Identify the source. 3. Repeat measurement to confirm findings. 4. Increase monitoring frequency. 5. Carry out analysis of Contractor's working procedures to determine possible mitigation to be implemented. 6. Inform the IEC, the SOR and the DEP the causes & actions taken for the exceedances. 7. Assess effectiveness of the Contractor's remedial actions and keep the IEC, the DEP and the SOR informed of the results. 8. If exceedance stops, cease additional monitoring. | <ol style="list-style-type: none"> 1. Discuss amongst the SOR, the ET and the Contractor on the potential remedial actions. 2. Review the Contractor's remedial actions whenever necessary to assure their effectiveness and advise the SOR accordingly. 3. Supervise the implementation of remedial measures. | <ol style="list-style-type: none"> 1. Confirm receipt of notification of failure in writing. 2. Notify the Contractor. 3. Require the Contractor to propose remedial measures for the analysed noise problem. 4. Ensure remedial measures are properly implemented. 5. If exceedance continues, consider what activity of the work is responsible and instruct the Contractor to stop that activity of work until the exceedance is abated. | <ol style="list-style-type: none"> 1. Take immediate action to avoid further exceedance 2. Submit proposals for remedial actions to IEC within 3 working days of notification 3. Implement the agreed proposals 4. Resubmit proposals if problem still not under control 5. Stop the relevant activity of works as determined by the SOR until the exceedance is abated. | |

Appendix L3 *Event/ Action Plan for Water Quality*

| Event | ET Leader | IEC | SOR | Contractor |
|--|--|---|---|--|
| Action level being exceeded by one sampling day | <ol style="list-style-type: none"> Repeat in situ measurement on next day of exceedance to confirm findings; Identify source(s) of impact; Inform IEC, contractor and SOR; Check monitoring data, all plant, equipment and Contractor's working methods. | <ol style="list-style-type: none"> Check monitoring data submitted by ET and Contractor's working methods. | <ol style="list-style-type: none"> Confirm receipt of notification of non-compliance in writing; Notify Contractor. | <ol style="list-style-type: none"> Inform the SOR and confirm notification of the non-compliance in writing; Rectify unacceptable practice; Amend working methods if appropriate. |
| Action level being exceeded by two or more consecutive sampling days | <ol style="list-style-type: none"> Repeat measurement on next day of exceedance to confirm findings; Identify source(s) of impact; Inform IEC, contractor, SOR and EPD; Check monitoring data, all plant, equipment and Contractor's working methods; Discuss mitigation measures with IEC, SOR and Contractor; Ensure mitigation measures are implemented; Increase the monitoring frequency to daily until no exceedance of Action level; | <ol style="list-style-type: none"> Check monitoring data submitted by ET and Contractor's working method; Discuss with ET and Contractor on possible remedial actions; Review the proposed mitigation measures submitted by Contractor and advise the SOR accordingly; Supervise the implementation of mitigation measures. | <ol style="list-style-type: none"> Discuss with IEC on the proposed mitigation measures; Ensure mitigation measures are properly implemented; Assess the effectiveness of the implemented mitigation measures. | <ol style="list-style-type: none"> Inform the Supervising Officer and confirm notification of the non-compliance in writing; Rectify unacceptable practice; Check all plant and equipment and consider changes of working methods; Submit proposal of additional mitigation measures to SOR within 3 working days of notification and discuss with ET, IEC and SOR; Implement the agreed mitigation measures. |
| Limit level being exceeded by one sampling day | <ol style="list-style-type: none"> Repeat measurement on next day of exceedance to confirm findings; | <ol style="list-style-type: none"> Check monitoring data submitted by ET and Contractor's working method; | <ol style="list-style-type: none"> Confirm receipt of notification of failure in writing; | <ol style="list-style-type: none"> Inform the SOR and confirm notification of the non-compliance in writing; |

| Event | ET Leader | IEC | SOR | Contractor |
|---|--|---|---|---|
| | 2. Identify source(s) of impact; | | 2. Discuss with IEC, ET and Contractor on the proposed mitigation measures; | 2. Rectify unacceptable practice; |
| | 3. Inform IEC, contractor, SOR and EPD; | 2. Discuss with ET and Contractor on possible remedial actions; | | 3. Check all plant and equipment and consider changes of working methods; |
| | 4. Check monitoring data, all plant, equipment and Contractor's working methods; | 3. Review the proposed mitigation measures submitted by Contractor and advise the SOR accordingly. | 3. Request Contractor to review the working methods. | 4. Submit proposal of mitigation measures to SOR within 3 working days of notification and discuss with ET, IEC and SOR. |
| | 5. Discuss mitigation measures with IEC, SOR and Contractor; | | | |
| Limit level being exceeded by two or more consecutive sampling days | 1. Repeat measurement on next day of exceedance to confirm findings; | 1. Check monitoring data submitted by ET and Contractor's working method; | 1. Discuss with IEC, ET and Contractor on the proposed mitigation measures; | 1. Take immediate action to avoid further exceedance; |
| | 2. Identify source(s) of impact; | | | 2. Submit proposal of mitigation measures to SOR within 3 working days of notification and discuss with ET, IEC and SOR; |
| | 3. Inform IEC, contractor, SOR and EPD; | 2. Discuss with ET and Contractor on possible remedial actions; | 2. Request Contractor to critically review the working methods; | 3. Implement the agreed mitigation measures; |
| | 4. Check monitoring data, all plant, equipment and Contractor's working methods; | 3. Review the Contractor's mitigation measures whenever necessary to assure their effectiveness and advise the SOR accordingly; | 3. Make agreement on the mitigation measures to be implemented; | 4. Resubmit proposals of mitigation measures if problem still not under control; |
| | 5. Discuss mitigation measures with IEC, SOR and Contractor; | | 4. Ensure mitigation measures are properly implemented; | |
| | 6. Ensure mitigation measures are implemented; | 4. Supervise the implementation of mitigation measures. | 6. Consider and instruct, if necessary, the Contractor to slow down or to stop all or part of the construction activities until no exceedance of Limit level. | 5. As directed by the Supervising Officer, to slow down or to stop all or part of the construction activities until no exceedance of Limit level. |
| | 7. Increase the monitoring frequency to daily until no exceedance of Limit level for two consecutive days; | | | |

Appendix L4 Implementation of Event-Action Plan for Dolphin Monitoring

| Event | ET Leader | IEC | SOR | Contractor |
|--------------|--|--|---|--|
| Action Level | <ol style="list-style-type: none"> 1. Repeat statistical data analysis to confirm findings; 2. Review all available and relevant data, including raw data and statistical analysis results of other parameters covered in the EM&A, to ascertain if differences are as a result of natural variation or previously observed seasonal differences; 3. Identify source(s) of impact; 4. Inform the IEC, SOR and Contractor; 5. Check monitoring data. 6. Review to ensure all the dolphin protective measures are fully and properly implemented and advise on additional measures if necessary. | <ol style="list-style-type: none"> 1. Check monitoring data submitted by ET and Contractor; 2. Discuss monitoring results and findings with the ET and the Contractor. | <ol style="list-style-type: none"> 1. Discuss monitoring with the IEC and any other measures proposed by the ET; 2. If SOR is satisfied with the proposal of any other measures, SOR to signify the agreement in writing on the measures to be implemented. | <ol style="list-style-type: none"> 1. Inform the SOR and confirm notification of the non-compliance in writing; 2. Discuss with the ET and the IEC and propose measures to the IEC and the SOR; 3. Implement the agreed measures. |

| Event | ET Leader | IEC | SOR | Contractor |
|--------------|---|---|---|---|
| Limit Level | <ol style="list-style-type: none"> 1. Repeat statistical data analysis to confirm findings; 2. Review all available and relevant data, including raw data and statistical analysis results of other parameters covered in the EM&A, to ascertain if differences are as a result of natural variation or previously observed seasonal differences; 3. Identify source(s) of impact; 4. Inform the IEC, ER/SOR and Contractor of findings; 5. Check monitoring data; 6. Repeat review to ensure all the dolphin protective measures are fully and properly implemented and advise on additional measures if necessary; 7. If ET proves that the source of impact is caused by any of the construction activity by the works contract, ET to arrange a meeting to discuss with IEC, ER/SOR and Contractor the necessity of additional dolphin monitoring and/or any other potential mitigation measures (e.g., consider to modify the perimeter silt curtain or consider to control/temporarily stop relevant construction activity etc.) and submit to IEC a proposal of additional dolphin monitoring and/or mitigation measures where necessary. | <ol style="list-style-type: none"> 1. Check monitoring data submitted by ET and Contractor; 2. Discuss monitoring results and findings with the ET and the Contractor; 3. Attend the meeting to discuss with ET, ER/SOR and Contractor the necessity of additional dolphin monitoring and any other potential mitigation measures; 4. Review proposals for additional monitoring and any other mitigation measures submitted by ET and Contractor and advise ER/SOR of the results and findings accordingly; 5. Supervise / Audit the implementation of additional monitoring and/or any other mitigation measures and advise ER/SOR the results and findings accordingly. | <ol style="list-style-type: none"> 1. Attend the meeting to discuss with ET, IEC and Contractor the necessity of additional dolphin monitoring and any other potential mitigation measures; 2. If ER/SOR is satisfied with the proposals for additional dolphin monitoring and/or any other mitigation measures submitted by ET and Contractor and verified by IEC, ER/SOR to signify the agreement in writing on such proposals and any other mitigation measures; 3. Supervise the implementation of additional monitoring and/or any other mitigation measures. | <ol style="list-style-type: none"> 1. Inform the ER/SOR and confirm notification of the non-compliance in writing; 2. Attend the meeting to discuss with ET, IEC and ER/SOR the necessity of additional dolphin monitoring and any other potential mitigation measures; 3. Jointly submit with ET to IEC a proposal of additional dolphin monitoring and/or any other mitigation measures when necessary; 4. Implement the agreed additional dolphin monitoring and/or any other mitigation measures. |

Appendix L5 Event and Action Plan on Dolphin Acoustic Behaviour

| EVENT | ACTION | | | |
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| | ET Leader | IEC | SO | Contractor |
| <p><u>Action Level</u></p> <p>With the numerical values presented in <i>Table 5.7 of Baseline Monitoring Report</i>, when any of the response variable for dolphin acoustic behaviour recorded in the construction phase monitoring is 20% lower or higher than that recorded in the baseline monitoring (see <i>Table 5.8 of Baseline Monitoring Report</i>), or when there is a difference of 20% in dolphin acoustic signal detection at nighttime period at Site C1 only, the action level should be triggered</p> | <ol style="list-style-type: none"> 1. Repeat statistical data analysis to confirm findings; 2. Review all available and relevant data to ascertain if differences are as a result of natural variation or seasonal differences; 3. Identify source(s) of impact; 4. Inform the IEC, SO and Contractor; 5. Check monitoring data; 6. Carry out audit to ensure all dolphin protective measures are implemented fully and additional measures be proposed if necessary | <ol style="list-style-type: none"> 1. Check monitoring data submitted by ET and Contractor; 2. Discuss monitoring with the ET and the Contractor; | <ol style="list-style-type: none"> 1. Discuss with the IEC the repeat monitoring and any other measures proposed by the ET; 2. Make agreement on measures to be implemented. | <ol style="list-style-type: none"> 1. Inform the SO and confirm notification of the non-compliance in writing; 2. Discuss with the ET and the IEC and propose measures to the IEC and the SO; 3. Implement the agreed measures. |

| EVENT | ACTION | | | |
|---|---|--|--|--|
| | ET Leader | IEC | SO | Contractor |
| <p><u>Limit Level</u></p> <p>With the numerical values presented in Table 5.7 of <i>Baseline Monitoring Report</i>, when any of the response variable for dolphin acoustic behaviour recorded in the construction phase monitoring is 40% lower or higher than that recorded in the baseline monitoring (see Table 5.8 of <i>Baseline Monitoring Report</i>), or when there is a difference of 40% in dolphin acoustic signal detection at nighttime at Site C1 only, the limit level should be triggered</p> | <ol style="list-style-type: none"> 1. Repeat statistical data analysis to confirm findings; 2. Review all available and relevant data to ascertain if differences are as a result of natural variation or seasonal differences; 3. Identify source(s) of impact; 4. Inform the IEC, SO and Contractor; 5. Check monitoring data; 6. Carry out audit to ensure all dolphin protective measures are implemented fully and additional measures be proposed if necessary 7. Discuss additional dolphin monitoring and any other potential mitigation measures (eg consider to temporarily stop relevant portion of construction activity) with the IEC and Contractor. | <ol style="list-style-type: none"> 1. Check monitoring data submitted by ET and Contractor; 2. Discuss monitoring with the ET and the Contractor; 3. Review proposals for additional monitoring and any other measures submitted by the Contractor and advise ER accordingly. | <ol style="list-style-type: none"> 1. Discuss with the IEC the repeat monitoring and any other measures proposed by the ET; 2. Make agreement on measures to be implemented. | <ol style="list-style-type: none"> 1. Inform the SO and confirm notification of the non-compliance in writing; 2. Discuss with the ET and the IEC and propose measures to the IEC and the SO; 3. Implement the agreed measures. |

Abbreviations: ET – Environmental Team, IEC – Independent Environmental Checker, SO – Supervising Office, DEP – Director of Environmental Protection