

Appendix K

## Event and Action Plan

*Event and Action Plan for Impact Air Monitoring*

	<b>Action</b>			
	ET (a)	IEC (a)	SOR (a)	Contractor(s)
<b>Action Level Exceedance</b>				
	<ol style="list-style-type: none"> <li>1. Identify the source.</li> <li>2. Repeat measurement to confirm finding. If two consecutive measurements exceed Action Level, the exceedance is then confirmed.</li> <li>3. Inform the IEC and the SOR.</li> <li>4. Investigate the cause of exceedance and check Contractor's working procedures to determine possible mitigation to be implemented.</li> <li>5. If the exceedance is confirmed to be Project related after investigation, increase monitoring frequency to daily.</li> <li>6. Discuss with the IEC and the Contractor on remedial actions required.</li> <li>7. If exceedance continues, arrange meeting with the IEC and the SOR.</li> <li>8. If exceedance stops, cease additional monitoring.</li> </ol>	<ol style="list-style-type: none"> <li>1. Check monitoring data submitted by the ET.</li> <li>2. Check the Contractor's working method.</li> <li>3. If the exceedance is confirmed to be Project related after investigation, discuss with the ET and the Contractor on possible remedial measures.</li> <li>4. Advise the SOR on the effectiveness of the proposed remedial measures.</li> <li>5. Supervise implementation of remedial measures.</li> </ol>	<ol style="list-style-type: none"> <li>1. Confirm receipt of notification of failure in writing.</li> <li>2. Notify the Contractor.</li> <li>3. Ensure remedial measures properly implemented.</li> </ol>	<ol style="list-style-type: none"> <li>1. Rectify any unacceptable practice</li> <li>2. Amend working methods if appropriate</li> <li>3. If the exceedance is confirmed to be Project related, submit proposals for remedial actions to IEC within 3 working days of notification</li> <li>4. Implement the agreed proposals</li> <li>5. Amend proposal if appropriate</li> </ol>

	<b>Action</b>			
	ET (a)	IEC (a)	SOR (a)	Contractor(s)
<b>Limit Level Exceedance</b>				
	<ol style="list-style-type: none"> <li>1. Identify the source.</li> <li>2. Repeat measurement to confirm finding. If two consecutive measurements exceed Limit Level, the exceedance is then confirmed.</li> <li>3. Inform the IEC, the SOR, the DEP and the Contractor.</li> <li>4. Investigate the cause of exceedance and check Contractor’s working procedures to determine possible mitigation to be implemented.</li> <li>5. If the exceedance is confirmed to be Project related after investigation, increase monitoring frequency to daily.</li> <li>6. Carry out analysis of the Contractor’s working procedures to determine possible mitigation to be implemented.</li> <li>7. Arrange meeting with the IEC and the SOR to discuss the remedial actions to be taken.</li> <li>8. Assess effectiveness of the Contractor’s remedial actions and keep the IEC, the DEP and the SOR informed of the results.</li> <li>9. If exceedance stops, cease additional monitoring.</li> </ol>	<ol style="list-style-type: none"> <li>1. Check monitoring data submitted by the ET.</li> <li>2. Check Contractor’s working method.</li> <li>3. If the exceedance is confirmed to be Project related after investigation, discuss with the ET and the Contractor on possible remedial measures.</li> <li>4. Advise the SOR on the effectiveness of the proposed remedial measures.</li> <li>5. Supervise implementation of remedial measures.</li> </ol>	<ol style="list-style-type: none"> <li>1. Confirm receipt of notification of failure in writing.</li> <li>2. Notify the Contractor.</li> <li>3. If the exceedance is confirmed to be Project related after investigation, in consultation with the IEC, agree with the Contractor on the remedial measures to be implemented.</li> <li>4. Ensure remedial measures are properly implemented.</li> <li>5. If exceedance continues, consider what activity of the work is responsible and instruct the Contractor to stop that activity of work until the exceedance is abated.</li> </ol>	<ol style="list-style-type: none"> <li>1. Take immediate action to avoid further exceedance.</li> <li>2. If the exceedance is confirmed to be Project related after investigation, submit proposals for remedial actions to IEC within 3 working days of notification.</li> <li>3. Implement the agreed proposals.</li> <li>4. Amend proposal if appropriate.</li> <li>5. Stop the relevant activity of works as determined by the SOR until the exceedance is abated.</li> </ol>

Note: (a) ET – Environmental Team; IEC – Independent Environmental Checker; SOR – Supervising Officer’s Representative

*Event / Action Plan for Impact Dolphin Monitoring*

EVENT	ACTION			
	ET	IEC	SOR	Contractor
Action Level	<ol style="list-style-type: none"> <li>1. Repeat statistical data analysis to confirm findings;</li> <li>2. Review all available and relevant data, including raw data and statistical analysis results of other parameters covered in the EM&amp;A, to ascertain if differences are as a result of natural variation or previously observed seasonal differences;</li> <li>3. Identify source(s) of impact;</li> <li>4. Inform the IEC, SOR and Contractor;</li> <li>5. Check monitoring data.</li> <li>6. Review to ensure all the dolphin protective measures are fully and properly implemented and advise on additional measures if necessary.</li> </ol>	<ol style="list-style-type: none"> <li>1. Check monitoring data submitted by ET and Contractor;</li> <li>2. Discuss monitoring results and finding with the ET and the Contractor.</li> </ol>	<ol style="list-style-type: none"> <li>1. Discuss monitoring with the IEC and any other measures proposed by the ET;</li> <li>2. If SOR is satisfied with the proposal of any other measures, SOR to signify the agreement in writing on the measures to be implemented.</li> </ol>	<ol style="list-style-type: none"> <li>1. Inform the SOR and confirm notification of the non-compliance in writing;</li> <li>2. Discuss with the ET and the IEC and propose measures to the IEC and the SOR;</li> <li>3. Implement the agreed measures.</li> </ol>
Limit Level	<ol style="list-style-type: none"> <li>1. Repeat statistical data analysis to confirm findings;</li> <li>2. Review all available and relevant data, including raw data and statistical analysis results of other parameters covered in the EM&amp;A, to ascertain if differences are as a result of natural variation or previously observed seasonal differences;</li> </ol>	<ol style="list-style-type: none"> <li>1. Check monitoring data submitted by ET and Contractor;</li> <li>2. Discuss monitoring results and findings with the ET and the Contractor;</li> <li>3. Attend the meeting to discuss with ET, SOR and</li> </ol>	<ol style="list-style-type: none"> <li>1. Attend the meeting to discuss with ET, IEC and Contractor the necessity of additional dolphin monitoring and any other potential mitigation measures.</li> <li>2. If SOR is satisfied with the</li> </ol>	<ol style="list-style-type: none"> <li>1. Inform the SOR and confirm notification of the non-compliance in writing;</li> <li>2. Attend the meeting to discuss with ET, IEC and SOR the necessity of additional dolphin monitoring and any other</li> </ol>

EVENT	ACTION			
	ET	IEC	SOR	Contractor
	<ol style="list-style-type: none"> <li>3. Identify source(s) of impact;</li> <li>4. Inform the IEC, SOR and Contractor of findings;</li> <li>5. Check monitoring data;</li> <li>6. Repeat review to ensure all the dolphin protective measures are fully and properly implemented and advise on additional measures if necessary.</li> <li>7. If ET proves that the source of impact is caused by any of the construction activity by the works contract, ET to arrange a meeting to discuss with IEC, SOR and Contractor the necessity of additional dolphin monitoring and/or any other potential mitigation measures (e.g., consider to modify the perimeter silt curtain or consider to control/temporarily stop relevant construction activity etc.) and submit to IEC a proposal of additional dolphin monitoring and/or mitigation measures where necessary.</li> </ol>	<p>Contractor the necessity of additional dolphin monitoring and any other potential mitigation measures.</p> <ol style="list-style-type: none"> <li>4. Review proposals for additional monitoring and any other mitigation measures submitted by ET and Contractor and advise SOR of the results and findings accordingly.</li> <li>5. Supervise / Audit the implementation of additional monitoring and/or any other mitigation measures and advise SOR the results and findings accordingly.</li> </ol>	<p>proposals for additional dolphin monitoring and/or any other mitigation measures submitted by ET and Contractor and verified by IEC, SOR to signify the agreement in writing on such proposals and any other mitigation measures.</p> <ol style="list-style-type: none"> <li>3. Supervise the implementation of additional monitoring and/or any other mitigation measures.</li> </ol>	<p>potential mitigation measures.</p> <ol style="list-style-type: none"> <li>3. Jointly submit with ET to IEC a proposal of additional dolphin monitoring and/or any other mitigation measures when necessary.</li> <li>4. Implement the agreed additional dolphin monitoring and/or any other mitigation measures.</li> </ol>

Note: ET – Environmental Team, IEC – Independent Environmental Checker, SOR – Supervising Officer’s Representative