

Appendix H

# Impact Dolphin Monitoring Survey

**CONTRACT NO. HY/2012/08**

**Hong Kong-Zhuhai-Macao Bridge Tuen Mun – Chek Lap Kok Link  
(Northern Connection Sub-sea Tunnel Section)  
Dolphin Quarterly Monitoring**

*25<sup>th</sup> Quarterly Progress Report (December 2019-February 2020)  
submitted to Dragages – Bouygues Joint Venture & ERM Hong Kong Ltd.*

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**1. Introduction**

- 1.1. As part of the Hong Kong-Zhuhai-Macao Bridge, the Tuen Mun-Chek Lap Kok Link (TM-CLKL) Northern Connection Sub-sea Tunnel Section (Contract no. HY/2012/08) comprises the sub-sea TBM tunnels (two tubes with cross passages) across the Urmston Road to connect Tuen Area 40 and Hong Kong Boundary Crossing Facilities (HKBCF) of approximately 4 km in length with dual 2-lane carriageway, the tunnels at both the southern landfall and the northern landfall for construction of approach roads to the sub-sea TBM tunnels of approximately 1.5 km in length, as well as the northern landfall reclamation of approximately 16.5 hectares and about 20.km long seawalls. Dragages – Bouygues Joint Venture (hereinafter called the “Contractor”) was awarded as the main contractor for the Northern Connection Sub-sea Tunnel Section, and ERM Hong Kong Limited would serve as the Environmental Team to implement the Environmental Monitoring and Audit (EM&A) programme.
- 1.2. According to the updated EM&A Manual (for TM-CLKL), monthly line-transect vessel surveys for Chinese White Dolphin should be conducted to cover the Northwest (NWL) and Northeast Lantau (NEL) survey areas as in AFCD annual marine mammal monitoring programme. However, as such surveys have been undertaken by the HKLR03 and HKBCF projects in the same areas (i.e. NWL and NEL), a combined monitoring approach is recommended by the Highways Department, that the TM-CLKL EM&A project can utilize the monitoring data collected by HKLR03 or HKBCF project to avoid any redundancy in monitoring effort. Such exemption for the dolphin monitoring has ended in September 2019, upon the completion of the dolphin monitoring carried out by HKLR03 contract. Starting in October 2019, the TMCLKL08 contract (i.e. the TM-CLKL Northern Connection Sub-sea Tunnel Section contract) takes over the dolphin monitoring works by conducting the regular vessel-based line-transect surveys.
- 1.3. In November 2013, the Director of Hong Kong Cetacean Research Project (HKCRP), Dr. Samuel Hung, has been appointed by ERM Hong Kong Limited as the dolphin specialist for the TMCLKL08 EM&A project. He is responsible for the dolphin monitoring study,

including the data collection on Chinese White Dolphins during the construction phase (i.e. impact period) of the TMCLKL08 project in Northwest Lantau (NWL) and Northeast Lantau (NEL) survey areas.

- 1.4. During the construction period of HKLR, the dolphin specialist would be in charge of reviewing and collating information collected by HKLR03 dolphin monitoring programme to examine any potential impacts of TMCLKL08 construction works on the dolphins up until September 2019. Thereafter, the dolphin specialist would utilize the monitoring data collected by TMCLKL08 dolphin monitoring programme to produce regular progress reports. From the monitoring results, any changes in dolphin occurrence within the study area will be examined for possible causes, and appropriate actions and additional mitigation measures will be recommended as necessary.
- 1.5. This report is the 25<sup>th</sup> quarterly progress report under the TM-CLKL construction phase dolphin monitoring programme submitted to the Contractor, which summarizes the results of the survey findings during the period of December 2019 to February 2020 by utilizing the survey data collected by TMCLKL08 impact phase monitoring project.

## 2. Monitoring Methodology

### 2.1. Vessel-based Line-transect Survey

- 2.1.1. According to the requirement of the updated EM&A manual, dolphin monitoring programme should cover all transect lines in NEL and NWL survey areas (see Figure 1) twice per month throughout the entire construction period. The co-ordinates of all transect lines are shown in Table 1.

Table 1 Co-ordinates of transect lines conducted by TMCLKL08 project

Line No.		Easting	Northing		Line No.	Easting	Northing	
1	Start Point	804671	815456		13	Start Point	816506	819480
1	End Point	804671	831404		13	End Point	816506	824859
2	Start Point	805476	820800		14	Start Point	817537	820220
2	End Point	805476	826654		14	End Point	817537	824613
3	Start Point	806464	821150		15	Start Point	818568	820735
3	End Point	806464	822911		15	End Point	818568	824433
4	Start Point	807518	821500		16	Start Point	819532	821420
4	End Point	807518	829230		16	End Point	819532	824209
5	Start Point	808504	821850		17	Start Point	820451	822125
5	End Point	808504	828602		17	End Point	820451	823671
6	Start Point	809490	822150		18	Start Point	821504	822371
6	End Point	809490	825352		18	End Point	821504	823761

7	Start Point	810499	822000		19	Start Point	822513	823268
7	End Point	810499	824613		19	End Point	822513	824321
8	Start Point	811508	821123		20	Start Point	823477	823402
8	End Point	811508	824254		20	End Point	823477	824613
9	Start Point	812516	821303		21	Start Point	805476	827081
9	End Point	812516	824254		21	End Point	805476	830562
10	Start Point	813525	821176		22	Start Point	806464	824033
10	End Point	813525	824657		22	End Point	806464	829598
11	Start Point	814556	818853		23	Start Point	814559	821739
11	End Point	814556	820992		23	End Point	814559	824768
12	Start Point	815542	818807		24	Start Point	805476	815900
12	End Point	815542	824882		24	End Point	805476	819100

- 2.1.2. The TMCLKL08 survey team used standard line-transect methods (Buckland et al. 2001) to conduct the systematic vessel surveys, and followed the same technique of data collection that has been adopted over the last 22 years of marine mammal monitoring surveys in Hong Kong developed by HKCRP (see Hung 2018). For each monitoring vessel survey, a 15-m inboard vessel with an open upper deck (about 4.5 m above water surface) was used to make observations from the flying bridge area.
- 2.1.3. Two experienced observers (a data recorder and a primary observer) made up the on-effort survey team, and the survey vessel transited different transect lines at a constant speed of 13-15 km per hour. The data recorder searched with unaided eyes and filled out the datasheets, while the primary observer searched for dolphins and porpoises continuously through 7 x 50 *Fujinon* marine binoculars. Both observers searched the sea ahead of the vessel, between 270° and 90° (in relation to the bow, which is defined as 0°). One to two additional experienced observers were available on the boat to work in shift (i.e. rotate every 30 minutes) in order to minimize fatigue of the survey team members. All observers were experienced in small cetacean survey techniques and identifying local cetacean species.
- 2.1.4. During on-effort survey periods, the survey team recorded effort data including time, positions (latitude and longitude), weather conditions (Beaufort sea state and visibility), and distance traveled in each series (a continuous period of search effort) with the assistance of a handheld GPS (*Garmin eTrex Legend*).
- 2.1.5. Data including time, position and vessel speed were also automatically and continuously logged by handheld GPS throughout the entire survey for subsequent review.
- 2.1.6. When dolphins were sighted, the survey team would end the survey effort, and immediately record the initial sighting distance and angle of the dolphin group from the survey vessel, as well as the sighting time and position. Then the research vessel was diverted from its course to approach the animals for species identification, group size

estimation, assessment of group composition, and behavioural observations. The perpendicular distance (PSD) of the dolphin group to the transect line was later calculated from the initial sighting distance and angle.

- 2.1.7. Survey effort being conducted along the parallel transect lines that were perpendicular to the coastlines (as indicated in Figure 1) was labeled as “primary” survey effort, while the survey effort conducted along the connecting lines between parallel lines was labeled as “secondary” survey effort. According to HKCRP long-term dolphin monitoring data, encounter rates of Chinese white dolphins deduced from effort and sighting data collected along primary and secondary lines were similar in NEL and NWL survey areas. Therefore, both primary and secondary survey effort were presented as on-effort survey effort in this report.

## 2.2. Photo-identification Work

- 2.2.1. When a group of Chinese White Dolphins were sighted during the line-transect survey, the TMCLKL08 survey team would end effort and approach the group slowly from the side and behind to take photographs of them. Every attempt was made to photograph every dolphin in the group, and even photograph both sides of the dolphins, since the colouration and markings on both sides may not be symmetrical.
- 2.2.2. A professional digital camera (*Canon EOS 7D* model), equipped with long telephoto lenses (100-400 mm zoom), were available on board for researchers to take sharp, close-up photographs of dolphins as they surfaced. The images were shot at the highest available resolution and stored on Compact Flash memory cards for downloading onto a computer.
- 2.2.3. All digital images taken in the field were first examined, and those containing potentially identifiable individuals were sorted out. These photographs would then be examined in greater detail, and were carefully compared to the existing Chinese White Dolphin photo-identification catalogue maintained by HKCRP since 1995.
- 2.2.4. Chinese White Dolphins can be identified by their natural markings, such as nicks, cuts, scars and deformities on their dorsal fin and body, and their unique spotting patterns were also used as secondary identifying features (Jefferson 2000).
- 2.2.5. All photographs of each individual were then compiled and arranged in chronological order, with data including the date and location first identified (initial sighting), re-sightings, associated dolphins, distinctive features, and age classes entered into a computer database.

## 2.3. Data Analysis

- 2.3.1. Distribution Analysis – The line-transect survey data was integrated with the Geographic Information System (GIS) in order to visualize and interpret different spatial and temporal patterns of dolphin distribution using sighting positions. Location data of dolphin groups were plotted on map layers of Hong Kong using a desktop GIS (ArcView<sup>®</sup> 3.1) to examine their distribution patterns in details. The dataset was also stratified into

different subsets to examine distribution patterns of dolphin groups with different categories of group sizes, young calves and activities.

- 2.3.2. Encounter rate analysis – Encounter rates of Chinese white dolphins (number of on-effort sightings per 100 km of survey effort, and total number of dolphins sighted on-effort per 100 km of survey effort) were calculated in NEL and NWL survey areas in relation to the amount of survey effort conducted during each month of monitoring survey. Only data collect under Beaufort 3 or below condition would be used for the encounter rate analyses. Dolphin encounter rates were calculated in two ways for comparisons with the HZMB baseline monitoring results as well as to AFCD long-term marine mammal monitoring results.

Firstly, for the comparison with the HZMB baseline monitoring results, the encounter rates were calculated using primary survey effort alone. The average encounter rate of sightings (STG) and average encounter rate of dolphins (ANI) were deduced based on the encounter rates from six events during the present quarter (i.e. six sets of line-transect surveys in North Lantau), which was also compared with the one deduced from the six events during the baseline period (i.e. six sets of line-transect surveys in North Lantau).

Secondly, the encounter rates were calculated using both primary and secondary survey effort collected under Beaufort 3 or below condition as in AFCD long-term monitoring study. The encounter rate of sightings and dolphins were deduced by dividing the total number of on-effort sightings (STG) and total number of dolphins (ANI) by the amount of survey effort for the present quarterly period.

- 2.3.3. Quantitative grid analysis on habitat use – To conduct quantitative grid analysis of habitat use, positions of on-effort sightings of Chinese White Dolphins collected during the quarterly impact phase monitoring period were plotted onto 1-km<sup>2</sup> grids among NWL and NEL survey areas on GIS. Sighting densities (number of on-effort sightings per km<sup>2</sup>) and dolphin densities (total number of dolphins from on-effort sightings per km<sup>2</sup>) were then calculated for each 1 km by 1 km grid with the aid of GIS.

Sighting density grids and dolphin density grids were then further normalized with the amount of survey effort conducted within each grid. The total amount of survey effort spent on each grid was calculated by examining the survey coverage on each line-transect survey to determine how many times the grid was surveyed during the study period. For example, when the survey boat traversed through a specific grid 50 times, 50 units of survey effort were counted for that grid. With the amount of survey effort calculated for each grid, the sighting density and dolphin density of each grid were then normalized (i.e. divided by the unit of survey effort).

The newly-derived unit for sighting density was termed SPSE, representing the number of on-effort sightings per 100 units of survey effort. In addition, the derived unit for actual dolphin density was termed DPSE, representing the number of dolphins per 100 units of survey effort. Among the 1-km<sup>2</sup> grids that were partially covered by land, the percentage of sea area was calculated using GIS tools, and their SPSE and DPSE values were adjusted accordingly. The following formulae were used to estimate SPSE and

DPSE in each 1-km<sup>2</sup> grid within the study area:

$$SPSE = ((S / E) \times 100) / SA\%$$

$$DPSE = ((D / E) \times 100) / SA\%$$

where S = total number of on-effort sightings  
D = total number of dolphins from on-effort sightings  
E = total number of units of survey effort  
SA% = percentage of sea area

- 2.3.4. Behavioural analysis – When dolphins were sighted during vessel surveys, their behaviour was observed. Different activities were categorized (i.e. feeding, socializing, traveling, and milling/resting) and recorded on sighting datasheets. This data was then input into a separate database with sighting information, which can be used to determine the distribution of behavioural data with a desktop GIS. Distribution of sightings of dolphins engaged in different activities and behaviours would then be plotted on GIS and carefully examined to identify important areas for different activities of the dolphins.
- 2.3.5. Ranging pattern analysis – Location data of individual dolphins that occurred during the 3-month impact phase monitoring period were obtained from the dolphin sighting database and photo-identification catalogue. To deduce home ranges for individual dolphins using the fixed kernel methods, the program Animal Movement Analyst Extension, was loaded as an extension with ArcView<sup>®</sup> 3.1 along with another extension Spatial Analyst 2.0. Using the fixed kernel method, the program calculated kernel density estimates based on all sighting positions, and provided an active interface to display kernel density plots. The kernel estimator then calculated and displayed the overall ranging area at 95% UD level.

### 3. Monitoring Results

- 3.1. *Summary of survey effort and dolphin sightings*
- 3.1.1. During the period of December 2019 to February 2020, six sets of systematic line-transect vessel surveys were conducted under the TMCLKL08 monitoring works to cover all transect lines in NWL and NEL survey areas twice per month.
- 3.1.2. From these TMCLKL08 surveys, a total of 781.78 km of survey effort was collected, with 100% of the total survey effort being conducted under favourable weather conditions (i.e. Beaufort Sea State 3 or below with good visibility). Among the two areas, 287.40 km and 494.38 km of survey effort were conducted in NEL and NWL survey areas respectively.
- 3.1.3. The total survey effort conducted on primary lines was 570.93 km, while the effort on secondary lines was 210.85 km. Survey effort conducted on both primary and secondary lines were considered to be on-effort survey data. A summary table of the survey effort is shown in Appendix I.

- 3.1.4. During the six sets of TMCLKL08 monitoring surveys from December 2019 to February 2020, seven groups of 29 Chinese White Dolphins were sighted. All seven dolphin sightings were made on primary lines during on-effort search in this quarter. A summary table of dolphin sightings is shown in Appendix II.
- 3.1.5. In this quarterly period, all dolphin groups were sighted in NWL, and no dolphin was sighted at all in NEL. In fact, since August 2014, only two sightings of two lone dolphins were made respectively in NEL during the HKLR03/TMCLKL08 monitoring surveys.
- 3.2. *Distribution*
- 3.2.1. Distribution of dolphin sightings made during the TMCLKL08 monitoring surveys from December 2019 to February 2020 is shown in Figure 1. Among the seven groups, two were sighted to the west of Lung Kwu Chau, and another two were located in the Urmston Road section between Lung Kwu Tan and Lung Kwu Chau (Figure 1). The rest of them were scattered to the west of Sha Chau and the airport platform respectively, as well as near the HKLR09 alignment at the southwestern corner of the NWL survey area (Figure 1). And as consistently recorded in the previous monitoring quarters in recent years, the dolphins were completely absent from the central and eastern portions of North Lantau waters (Figure 1).
- 3.2.2. Notably, all dolphin sightings were located far away from the TMCLKL alignment as well as the HKBCF and HKLR03 reclamation sites during the quarterly period (Figure 1).
- 3.2.3. Sighting distribution of dolphins during the present impact phase monitoring period was drastically different from the one during the baseline monitoring period (Figure 1). In the present quarter, dolphins have disappeared from the NEL region, which was in stark contrast to their frequent occurrence around the Brothers Islands, near Shum Shui Kok and in the vicinity of HKBCF reclamation site during the baseline period (Figure 1). The nearly complete abandonment of NEL region by the dolphins has been consistently recorded in the past six years of HKLR03/TMCLKL08 impact phase monitoring, which has resulted in zero to extremely low dolphin encounter rates in this area.
- 3.2.4. In NWL survey area, dolphin occurrences were also drastically different between the baseline and impact phase periods. During the present impact monitoring period, dolphins were sighted infrequently here, and mostly at the western end of the North Lantau region. This was in contrary to their frequent occurrences throughout the area during the baseline period (Figure 1).
- 3.2.5. Another comparison in dolphin distribution was made between the six quarterly periods of winter months in 2014-20 (Figure 2). Dolphins were sighted regularly in NWL waters in the first four quarterly periods, but their occurrences have progressively diminished in the winter quarters of 2018-19 and 2019-20 (Figure 2). On the other hand, dolphins were consistently absent from the NEL survey area throughout the six quarterly periods.



### 3.3. Encounter rate

3.3.1. During the present quarterly period, the encounter rates of Chinese White Dolphins deduced from the survey effort and on-effort sighting data from the primary transect lines under favourable conditions (Beaufort 3 or below) for each set of the TMCLKL08 surveys in NEL and NWL are shown in Table 2. The average encounter rates deduced from the six sets of surveys were also compared with the ones deduced from the baseline monitoring period (September-November 2011) (Table 3).

Table 2. Dolphin encounter rates (sightings per 100 km of survey effort) during December 2019-February 2020

SURVEY AREA	DOLPHIN MONITORING DATES	Encounter rate (STG) (no. of on-effort dolphin sightings per 100 km of survey effort)	Encounter rate (ANI) (no. of dolphins from all on-effort sightings per 100 km of survey effort)
		Primary Lines Only	Primary Lines Only
Northeast Lantau	Set 1 (3 & 10 Dec 2019)	0.00	0.00
	Set 2 (12 & 16 Dec 2019)	0.00	0.00
	Set 3 (2 & 6 Jan 2020)	0.00	0.00
	Set 4 (9 & 16 Jan 2020)	0.00	0.00
	Set 5 (10 & 18 Feb 2020)	0.00	0.00
	Set 6 (20 & 24 Feb 2020)	0.00	0.00
Northwest Lantau	Set 1 (3 & 10 Dec 2019)	0.00	0.00
	Set 2 (12 & 16 Dec 2019)	5.03	21.81
	Set 3 (2 & 6 Jan 2020)	0.00	0.00
	Set 4 (9 & 16 Jan 2020)	0.00	0.00
	Set 5 (10 & 18 Feb 2020)	3.35	5.03
	Set 6 (20 & 24 Feb 2020)	3.39	22.05

Table 3. Comparison of average dolphin encounter rates from impact monitoring period (December 2019-February 2020) and baseline monitoring period (September-November 2011) (Note: encounter rates deduced from the baseline monitoring period have been recalculated based only on survey effort and on-effort sighting data made along the primary transect lines under favourable conditions;  $\pm$  denotes the standard deviation of the average encounter rates)

	Encounter rate (STG) (no. of on-effort dolphin sightings per 100 km of survey effort)		Encounter rate (ANI) (no. of dolphins from all on-effort sightings per 100 km of survey effort)	
	December 2019 – February 2020	September – November 2011	December 2019 – February 2020	September – November 2011
Northeast Lantau	0.0	6.00 $\pm$ 5.05	0.0	22.19 $\pm$ 26.81
Northwest Lantau	1.96 $\pm$ 2.23	9.85 $\pm$ 5.85	8.15 $\pm$ 10.85	44.66 $\pm$ 29.85

3.3.2. To facilitate the comparison with the AFCD long-term monitoring results, the encounter

rates were also calculated for the present quarter using both primary and secondary survey effort. The encounter rates of sightings (STG) and dolphins (ANI) in NWL were 1.42 sightings and 5.87 dolphins per 100 km of survey effort respectively, while the encounter rates of sightings (STG) and dolphins (ANI) in NEL were both nil for this quarter.

- 3.3.3 In NEL, the average dolphin encounter rates (both STG and ANI) in the present quarterly impact monitoring period were both zero with no on-effort sighting being made, and such extremely low occurrence of dolphins in NEL have been consistently recorded during the same winter quarters throughout the HKLR03/TMCLKL08 monitoring in the past seven consecutive years (Table 4).

Table 4. Comparison of average dolphin encounter rates in Northeast Lantau survey area from the same winter quarters of HKLR03/TMCLKL08 impact monitoring period since 2012 and the baseline monitoring period (September-November 2011) (Note: encounter rates deduced from the baseline monitoring period have been recalculated based only on survey effort and on-effort sighting data made along the primary transect lines under favourable conditions;  $\pm$  denotes the standard deviation of the average encounter rates)

	<b>Encounter rate (STG)</b> (no. of on-effort dolphin sightings per 100 km of survey effort)	<b>Encounter rate (ANI)</b> (no. of dolphins from all on-effort sightings per 100 km of survey effort)
<b>September-November 2011 (Baseline)</b>	6.00 $\pm$ 5.05	22.19 $\pm$ 26.81
<b>December 2012-February 2013 (Impact)</b>	3.14 $\pm$ 3.21	6.33 $\pm$ 8.64
<b>December 2013-February 2014 (Impact)</b>	0.45 $\pm$ 1.10	1.34 $\pm$ 3.29
<b>December 2014-February 2015 (Impact)</b>	0.00	0.00
<b>December 2015-February 2016 (Impact)</b>	0.00	0.00
<b>December 2016-February 2017 (Impact)</b>	0.00	0.00
<b>December 2017-February 2018 (Impact)</b>	0.00	0.00
<b>December 2018-February 2019 (Impact)</b>	0.00	0.00
<b>December 2019-February 2020 (Impact)</b>	0.00	0.00

- 3.3.4. On the other hand, the average dolphin encounter rates (STG and ANI) in NWL during the present quarterly period were only very small fractions of the ones recorded during the three-month baseline period (with reductions of 80.1% and 81.8% respectively), indicating a dramatic decline in dolphin usage of this survey area during the present quarterly period as compared to the baseline period (Table 5).
- 3.3.5. When comparing among the seven winter quarters since 2012-13, the quarterly encounter rates in 2019-20 continued to plummet to the lowest level among all winter quarters during the HKLR03/TMCLKL08 impact monitoring period (Table 5). Such dramatic drop in dolphin occurrence in NWL raises serious concerns, and the temporal trend should be closely monitored in the upcoming monitoring quarters as the construction activities of HZMB works will soon be completed in coming months.

Table 5. Comparison of average dolphin encounter rates in Northwest Lantau survey area from the same winter quarters of HKLR03/TMCLKL08 impact monitoring period since 2012 and the baseline monitoring period (September- November 2011) (Note: encounter rates deduced from the baseline monitoring period have been recalculated based only on survey effort and on-effort sighting data made along the primary transect lines under favourable conditions;  $\pm$  denotes the standard deviation of the average encounter rates)

	<b>Encounter rate (STG)</b> (no. of on-effort dolphin sightings per 100 km of survey effort)	<b>Encounter rate (ANI)</b> (no. of dolphins from all on-effort sightings per 100 km of survey effort)
<b>September-November 2011 (Baseline)</b>	9.85 $\pm$ 5.85	44.66 $\pm$ 29.85
<b>December 2012-February 2013 (Impact)</b>	8.36 $\pm$ 5.03	35.90 $\pm$ 23.10
<b>December 2013-February 2014 (Impact)</b>	8.21 $\pm$ 2.21	32.58 $\pm$ 11.21
<b>December 2014-February 2015 (Impact)</b>	2.91 $\pm$ 2.69	11.27 $\pm$ 15.19
<b>December 2015-February 2016 (Impact)</b>	2.64 $\pm$ 1.52	10.98 $\pm$ 3.81
<b>December 2016-February 2017 (Impact)</b>	3.80 $\pm$ 3.79	14.52 $\pm$ 17.21
<b>December 2017-February 2018 (Impact)</b>	4.75 $\pm$ 2.26	15.73 $\pm$ 15.94
<b>December 2018-February 2019 (Impact)</b>	2.40 $\pm$ 1.88	7.95 $\pm$ 6.60
<b>December 2019-February 2020 (Impact)</b>	1.96 $\pm$ 2.23	8.15 $\pm$ 10.85

- 3.3.6. A two-way ANOVA with repeated measures and unequal sample size was conducted to examine whether there were any significant differences in the average encounter rates between the baseline and HKLR03/TMCLKL08 impact monitoring periods. The two variables that were examined included the two periods (baseline and impact phases) and two locations (NEL and NWL).
- 3.3.7. For the comparison between the baseline period and the present quarter (29<sup>th</sup> quarter of the HKLR03/TMCLKL08 impact phase being assessed), the p-values for the differences in average dolphin encounter rates of STG and ANI were 0.0035 and 0.0239 respectively. If the alpha value is set at 0.05, significant differences were detected between the baseline period and present quarter in both the average dolphin encounter rates of STG and ANI.
- 3.3.8. For the comparison between the baseline period and the cumulative quarters of the HKLR03/TMCLKL08 impact phase (i.e. the first 29 quarters of the impact phase being assessed), the p-values for the differences in average dolphin encounter rates of STG and ANI were both 0.000000. Even if the alpha value is set at 0.00001, significant differences were still detected in both the average dolphin encounter rates of STG and ANI (i.e. between the two periods and the locations).
- 3.3.9. As indicated in both dolphin distribution patterns and encounter rates, dolphin usage has been significantly and dramatically reduced in both NEL and NWL survey areas during the present quarterly period, and such low occurrence of dolphins has also been consistently documented throughout the HKLR03/TMCLKL08 construction period.
- 3.3.10. Even though all marine works associated with the HZMB construction have already been

completed, and the Brothers Marine Park has been established as a compensation measure for the permanent habitat loss in association with the HZMB reclamation works since late 2016, apparently there has not been any sign of recovery of dolphin usage in North Lantau waters at all.

3.4. *Group size*

3.4.1. Group size of Chinese White Dolphins ranged from singletons to eleven individuals per group in North Lantau region during December 2019 to February 2020. The average dolphin group sizes from these three months were compared with the ones deduced from the baseline period in September to November 2011, as shown in Table 6.

Table 6. Comparison of average dolphin group sizes from impact monitoring period (December 2019 – February 2020) and baseline monitoring period (September – November 2011) (Note:  $\pm$  denotes the standard deviation of the average group size)

	Average Dolphin Group Size	
	December 2019 – February 2020	September – November 2011
<b>Overall</b>	4.14 $\pm$ 4.41 (n = 7)	3.72 $\pm$ 3.13 (n = 66)
<b>Northeast Lantau</b>	---	3.18 $\pm$ 2.16 (n = 17)
<b>Northwest Lantau</b>	4.14 $\pm$ 4.41 (n = 7)	3.92 $\pm$ 3.40 (n = 49)

3.4.2. The average dolphin group size in NWL waters during December 2019 to February 2020 was slightly higher than the one recorded during the three-month baseline period, but it should also be noted that the sample size of only seven dolphin groups in the present quarter was only a small fraction of the 66 dolphin groups sighted during the baseline period (Table 6).

3.4.3. Notably, five of the seven dolphin groups were small with 1-3 individuals per group only, but there were also two large groups of dolphins, with 10 and 11 individuals each (Appendix II).

3.4.4. The two large groups were sighted to the west of Lung Kwu Chau and near HKLR09 alignment (or at the southwestern corner of the NWL survey area) respectively (Figure 3). This is in stark contrast to the baseline period when the larger groups were frequently sighted and evenly distributed throughout NWL waters, with a few also sighted in NEL waters (Figure 3).

3.5. *Habitat use*

3.5.1. From December 2019 to February 2020, only seven grids in North Lantau waters recorded dolphin occurrences, with five of them recorded low to moderate dolphin densities and another two with very high densities (Figures 4a and 4b). Notably, all grids near TMCLKL alignment did not record any presence of dolphins at all during on-effort search in the present quarterly period (Figures 4a and 4b).

3.5.2. However, it should be emphasized that the amount of survey effort collected in each grid during the three-month period was fairly low (6-12 units of survey effort for most grids),

and therefore the habitat use pattern derived from the three-month dataset should be treated with caution. A more complete picture of dolphin habitat use pattern should be examined when more survey effort for each grid is collected throughout the impact phase monitoring programme.

- 3.5.3. When compared with the habitat use patterns during the baseline period, dolphin usage in NEL and NWL has drastically diminished in both areas during the present impact monitoring period (Figure 5). During the baseline period, many grids between Siu Mo To and Shum Shui Kok in NEL recorded moderately high to high dolphin densities, which was in stark contrast to the complete absence of dolphins there during the present impact phase period (Figure 5).
- 3.5.4. The density patterns were also very different in NWL between the baseline and present impact phase monitoring periods, with high dolphin usage throughout the area, especially around Sha Chau, near Black Point, to the west of the airport, as well as between Pillar Point and airport platform during the baseline period. In contrast, all grids with dolphin densities were distributed at the western end of the NWL survey area with most of them recorded low to moderate densities during the present impact phase period (Figure 5).
- 3.6. *Mother-calf pairs*
- 3.6.1. During the present quarterly period, a total of three unspotted juveniles were sighted with their mothers to the west of Lung Kwu Chau and near the HKLR09 alignment in the NWL survey area (Figure 6). In fact, in the past three years of HKLR03/TMCLKL08 impact phase monitoring, only one other young calf was sighted in January 2019.
- 3.6.2. It should be noted that the rare occurrence of young calves in the present quarter as well as in recent years of HKLR03/TMCLKL08 monitoring was very different from their regular occurrence in North Lantau waters during the baseline period (Figure 6).
- 3.7. *Activities and associations with fishing boats*
- 3.7.1. Among the seven dolphin groups, two of them were engaged in feeding activities, and another two were engaged in socializing activities during the quarterly period. The two dolphin groups engaged in feeding activities were located to the west of Lung Kwu Chau and the airport platform, while the other two groups engaged in socializing activities were sighted to the west of Lung Kwu Chau and near the HKLR09 alignment (Figure 7). Such distribution of sightings with feeding and socializing activities is in stark contrast with the regular occurrence and even distribution of dolphin groups engaged in different activities during the baseline period (Figure 7).
- 3.7.2. Notably, none of the seven dolphin groups was found to be associated with any operating fishing vessel during the present impact phase period.
- 3.8. *Summary of photo-identification works*
- 3.8.1. From December 2019 to February 2020, about 1,500 digital photographs of Chinese White Dolphins were taken during the present impact phase monitoring surveys for the photo-identification work.

- 3.8.2. In total, 17 individuals sighted 22 times altogether were identified (see summary table in Appendix III and photographs of identified individuals in Appendix IV). All of these re-sightings were made in NWL. Twelve identified individuals were re-sighted only once, while another five individuals (NL120, NL182, NL202, NL261 and WL214) were re-sighted twice during the quarterly monitoring period (Appendix III).
- 3.8.3. Notably, only one of these individuals (SL59) was also sighted in WL waters during the HKLR09 monitoring surveys under the same three-month period of December 2019 to February 2020.
- 3.9. *Individual range use*
- 3.9.1. Ranging patterns of the 17 individuals identified during the three-month study period were determined by fixed kernel method, and are shown in Appendix V.
- 3.9.2. All identified dolphins sighted in the present quarter were utilizing NWL waters only, but have completely avoided NEL waters where many of them have utilized as their core areas in the past (Appendix V). This is in contrary to the extensive movements between NEL and NWL survey areas observed in the earlier impact monitoring quarters as well as the baseline period.
- 3.9.3. Moreover, only one individual (SL59) has extended its range use to WL waters during the quarterly period (Appendix V), even though such movements between North and West Lantau have been quite frequent among many individuals in the past several years of HKLR03 impact phase monitoring.
- 3.9.4. Notably, while the majority of the 17 individuals that primarily centered their range use in North Lantau were still sighted within their normal ranges, there were a number of individuals (e.g. SL59, WL214, WL243) which have their primary ranges in West Lantau but have extended to NWL waters during the present quarterly period (Appendix V).

#### 4. Conclusion

- 4.1. During this quarter of dolphin monitoring, no adverse impact from the activities of the TMCLKL construction project on Chinese White Dolphins was noticeable from general observations.
- 4.2. Although the dolphins infrequently occurred along the alignment of TMCLKL southern connection viaduct in the past and during the baseline monitoring period, it is apparent that dolphin usage has been significantly reduced in NEL, and many individuals have shifted away from the important habitat around the Brothers Islands.
- 4.3. It is critical to monitor the dolphin usage in North Lantau region in the upcoming quarters, to determine whether the dolphins are continuously affected by the various construction activities in relation to the HZMB-related works, and whether suitable mitigation measure can be applied to revert the situation.

## 5. References

- Buckland, S. T., Anderson, D. R., Burnham, K. P., Laake, J. L., Borchers, D. L., and Thomas, L. 2001. Introduction to distance sampling: estimating abundance of biological populations. Oxford University Press, London.
- Hung, S. K. 2018. Monitoring of marine mammals in Hong Kong waters – data collection: final report (2017-18). An unpublished report submitted to the Agriculture, Fisheries and Conservation Department of Hong Kong SAR Government, 174 pp.
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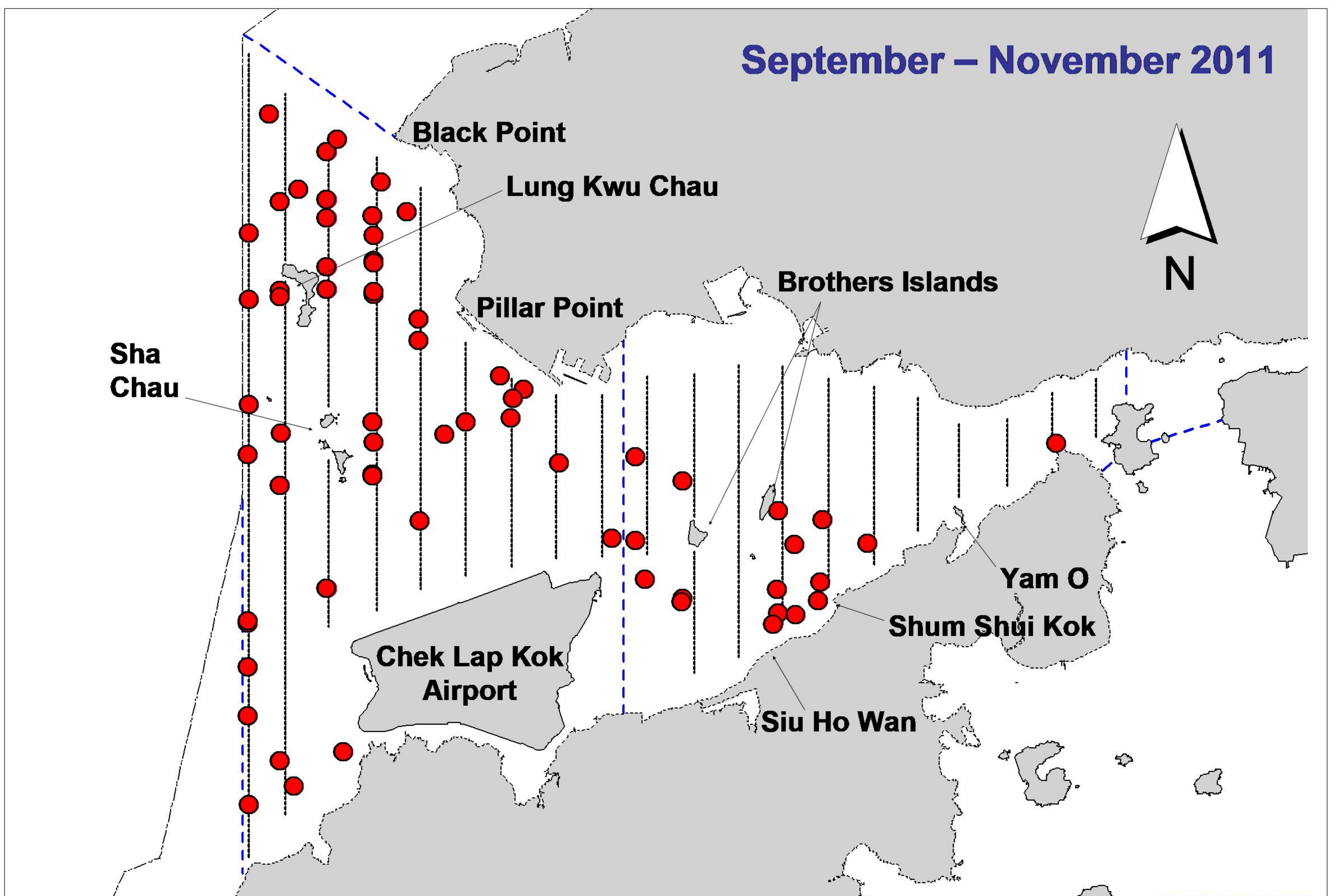
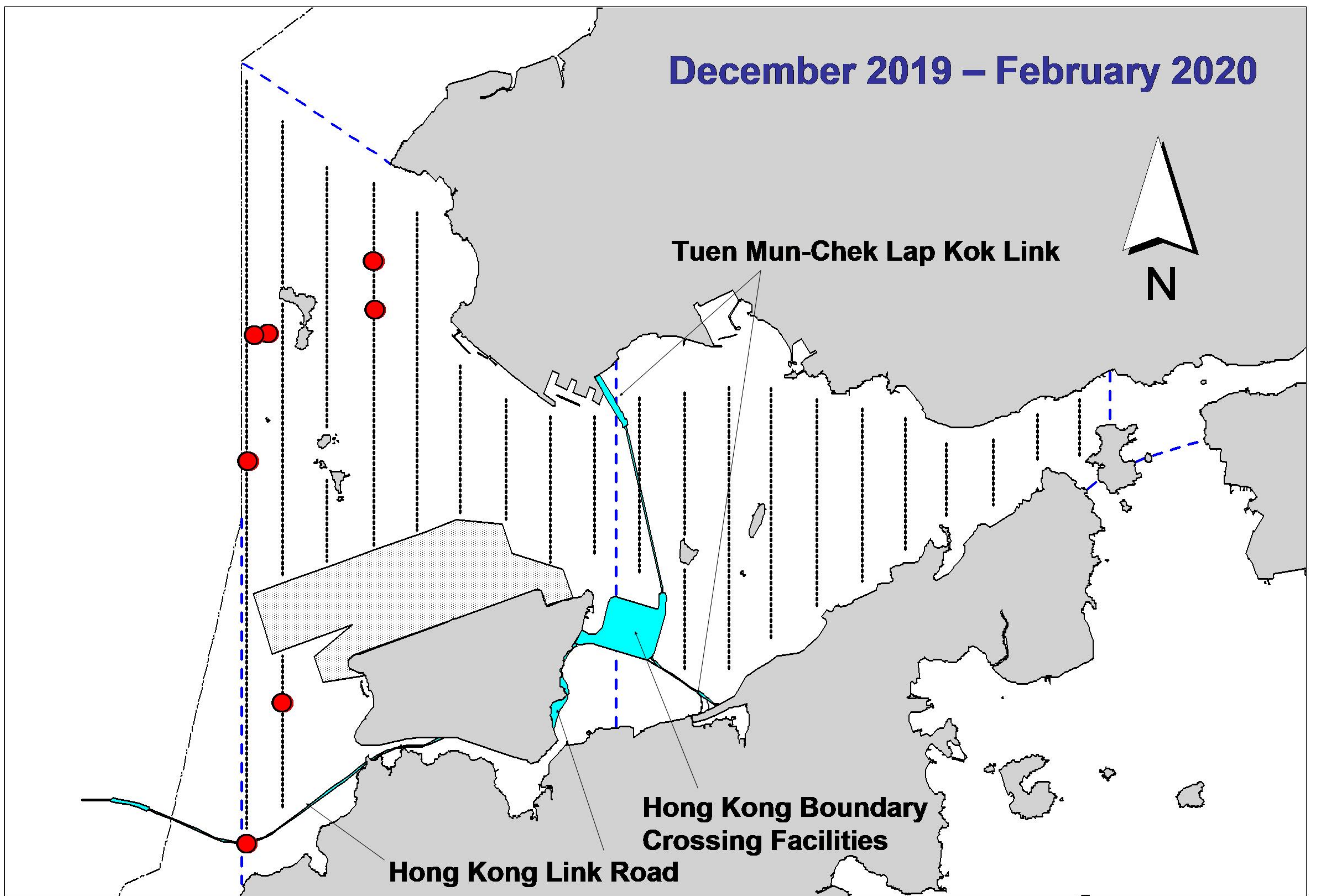


Figure 1. Distribution of Chinese white dolphin sighting in Northwest and Northeast Lantau during TMCLKL08 impact phase (top) and baseline monitoring surveys (bottom)



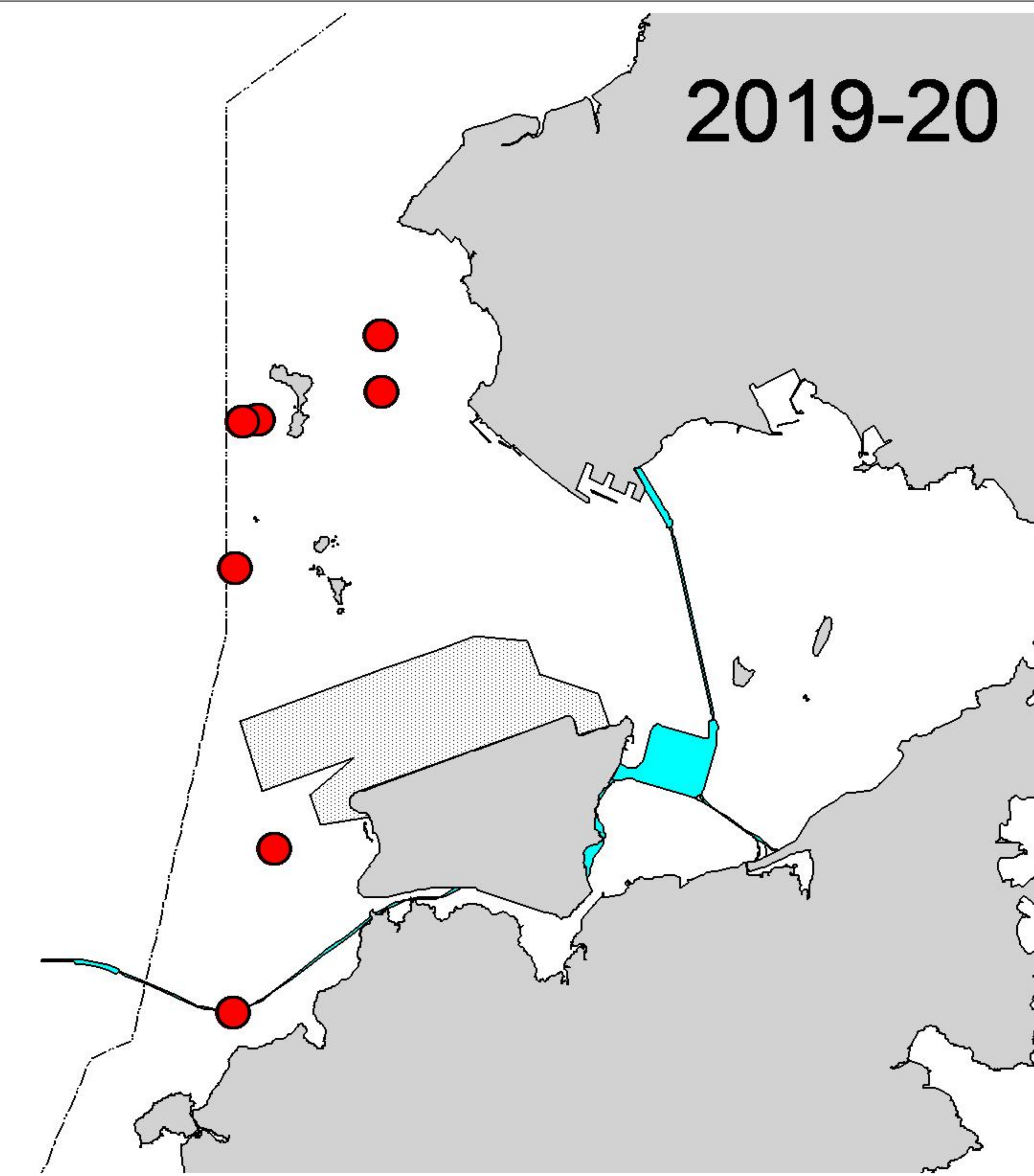
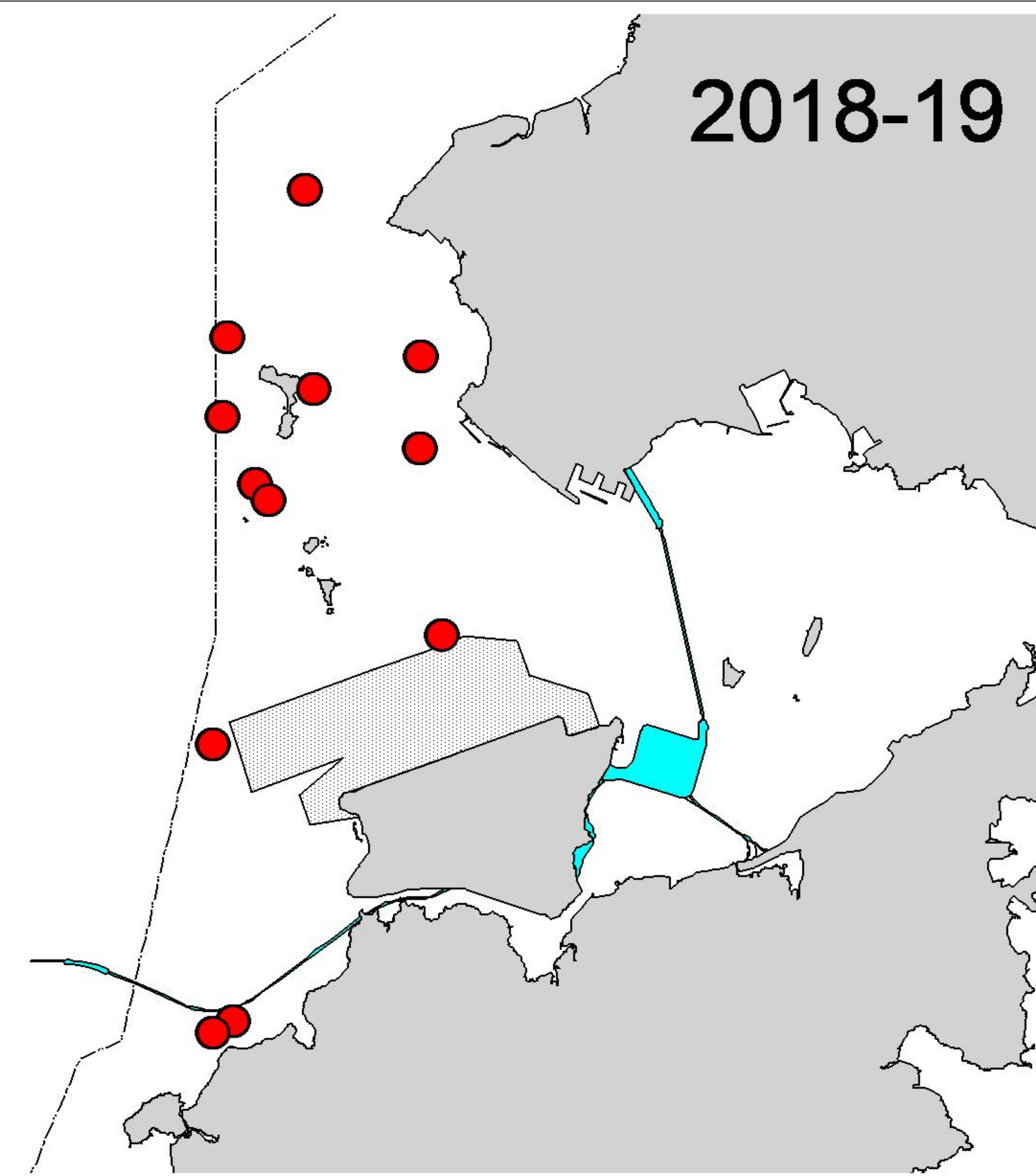
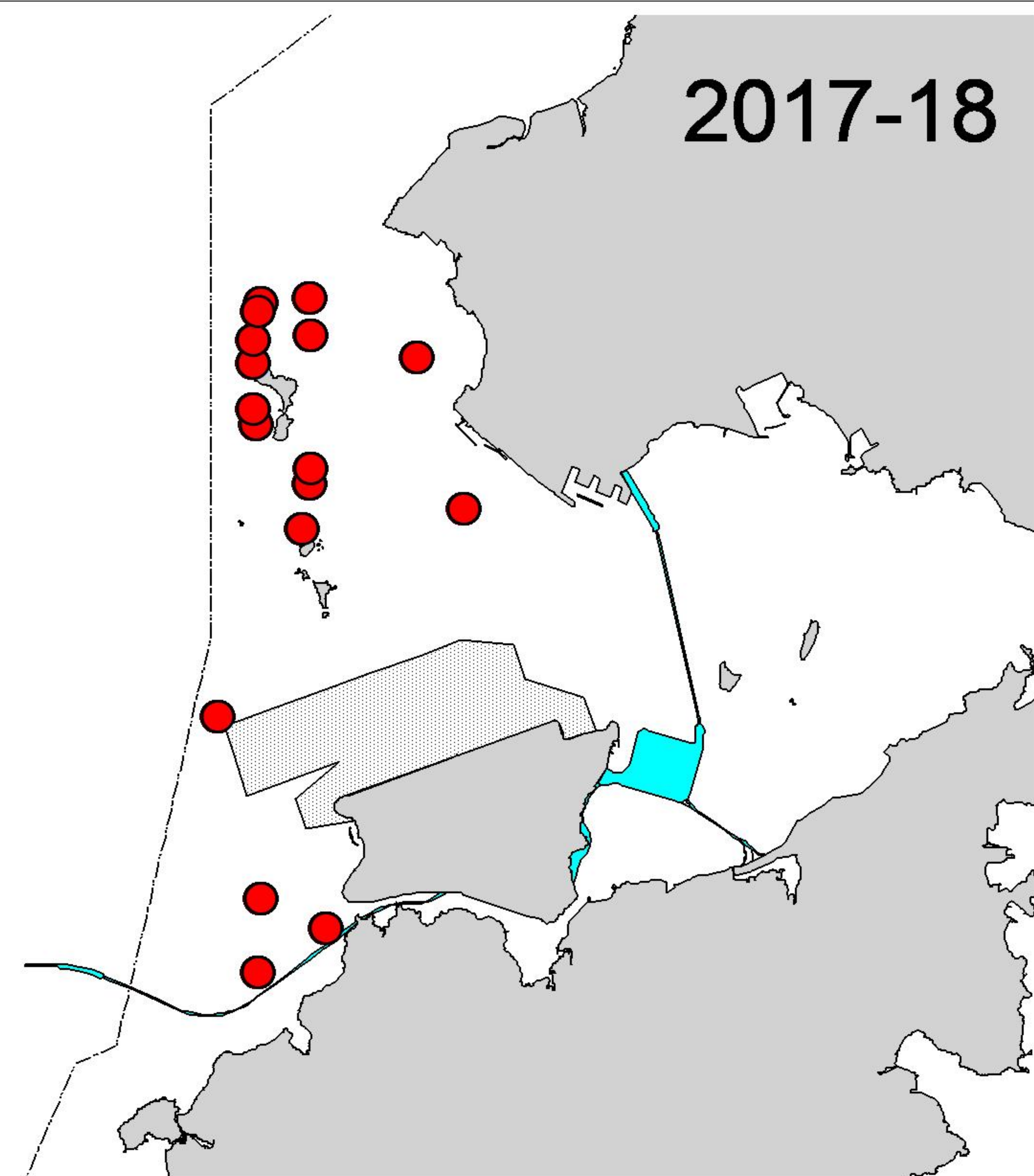
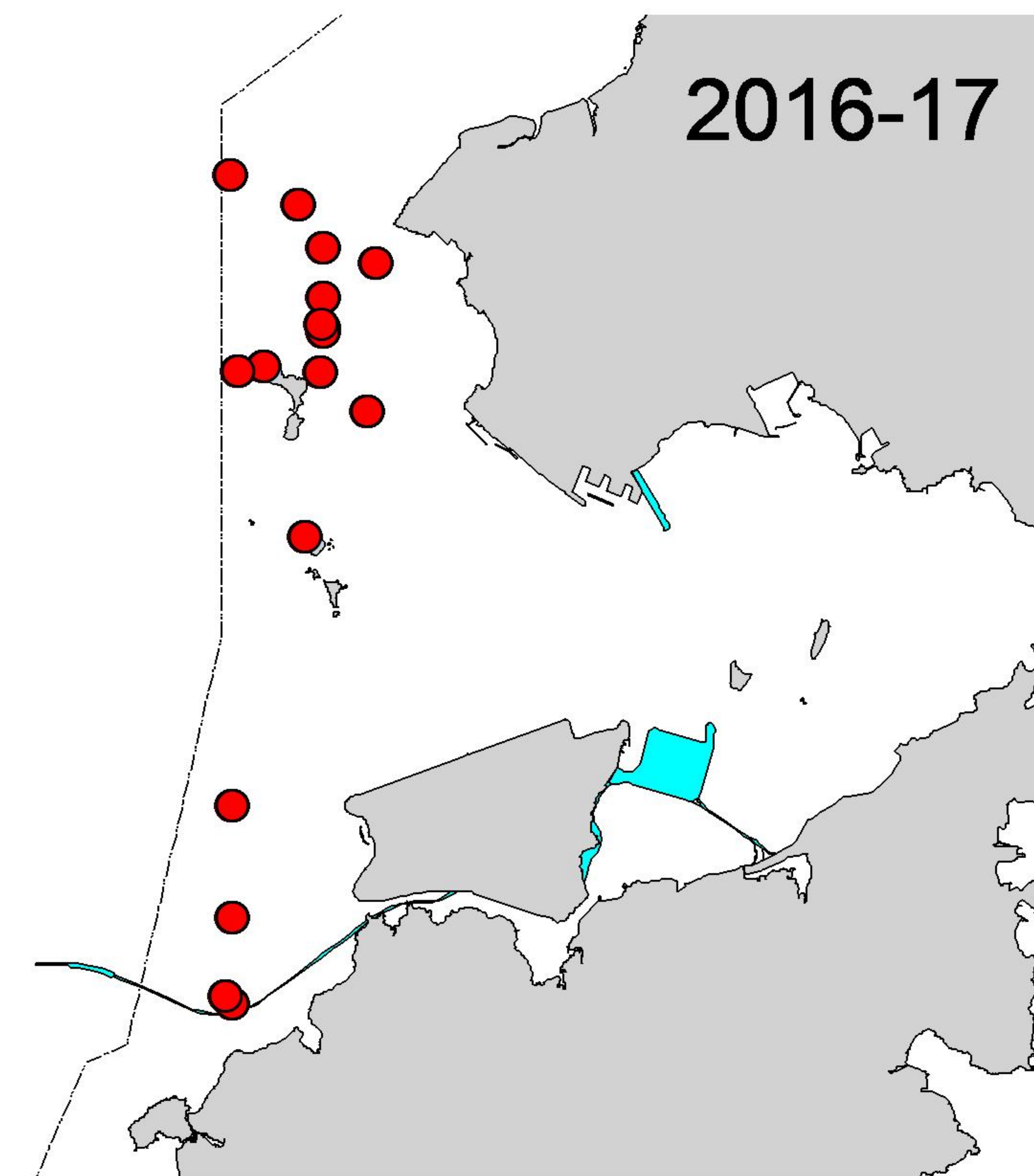
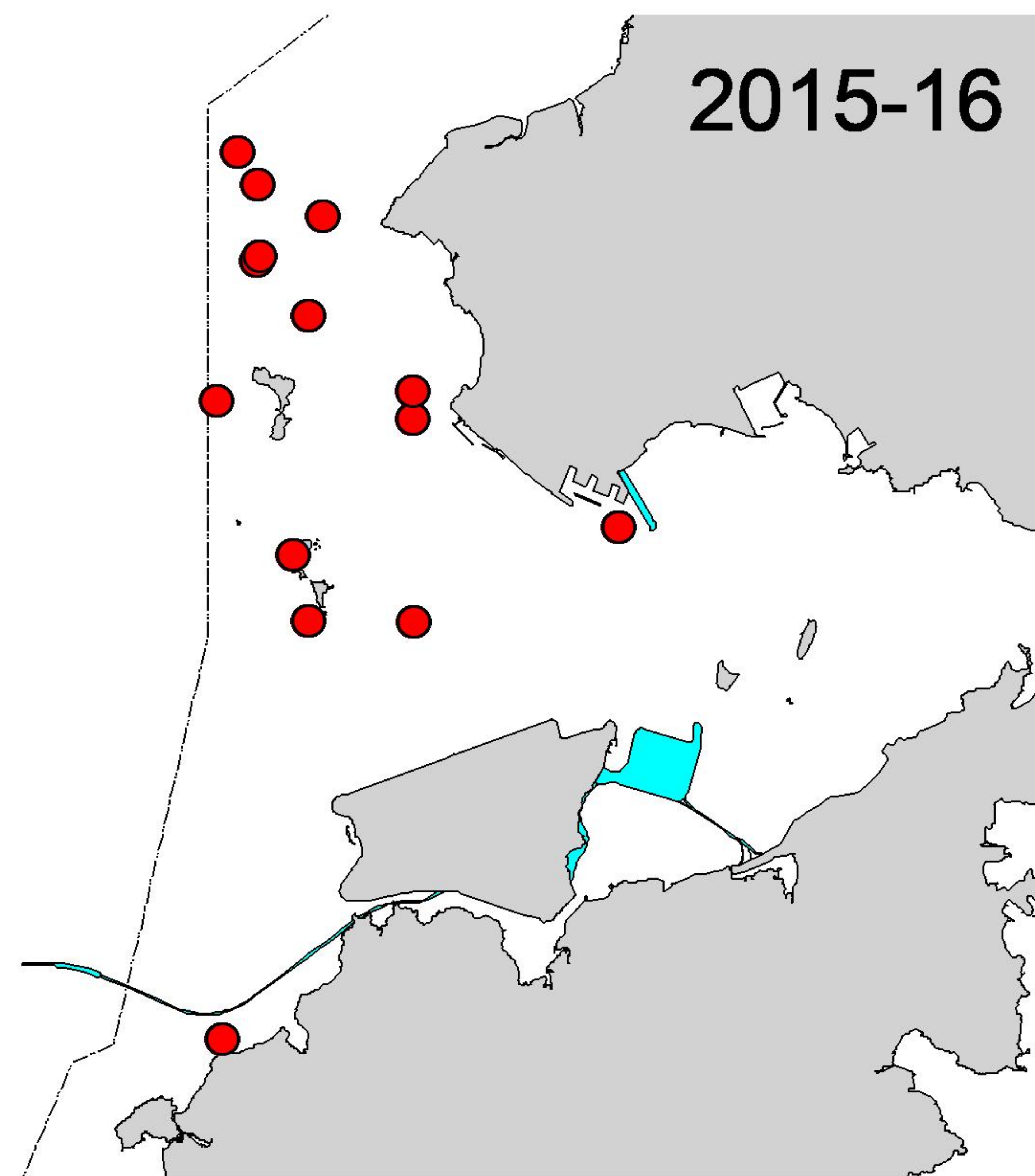
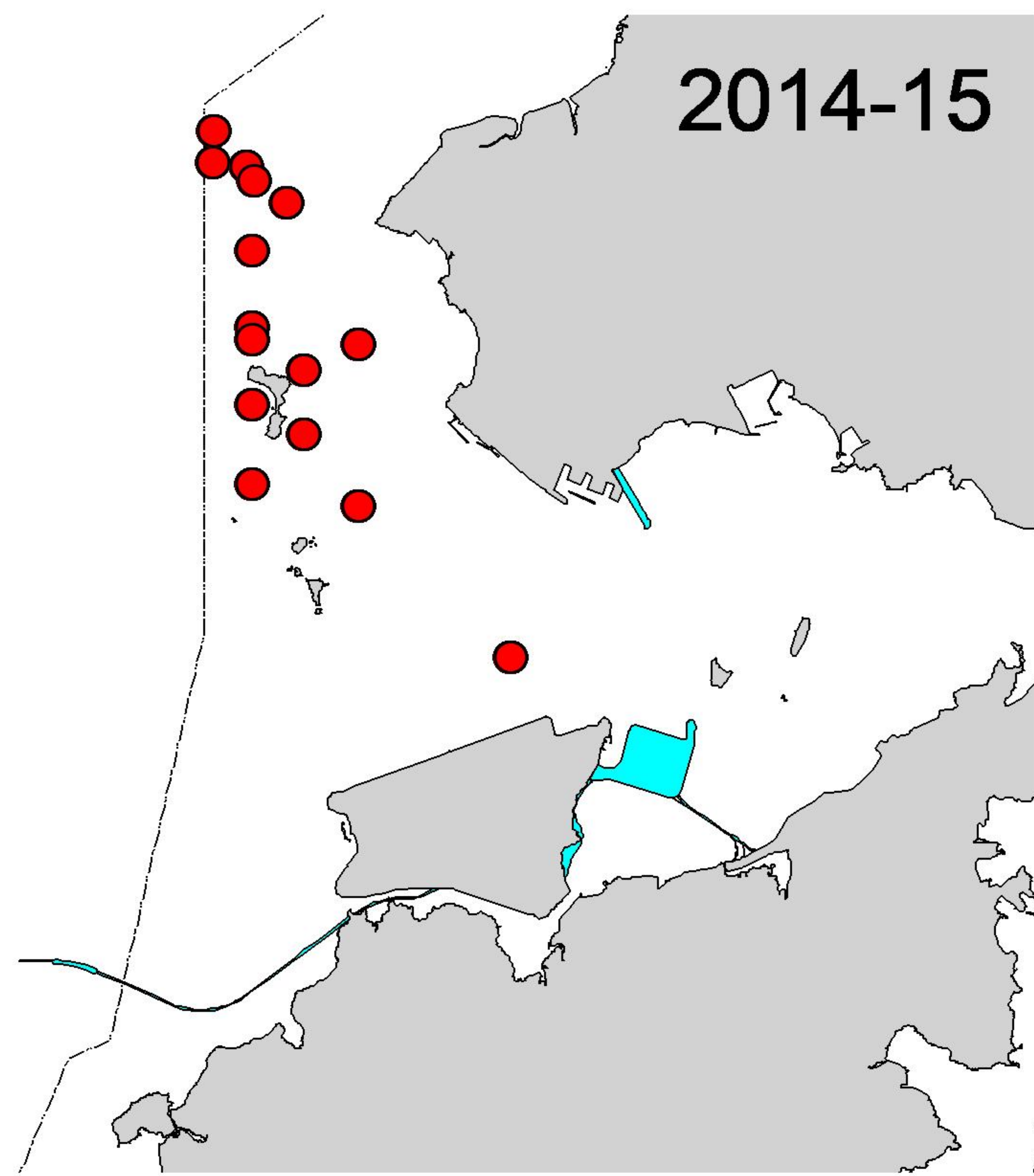


Figure 2. Distribution of Chinese white dolphin sightings in Northwest and Northeast Lantau during the past six winter quarters (December-February) of HKLR03/TMCLKL08 impact phase in 2014-20

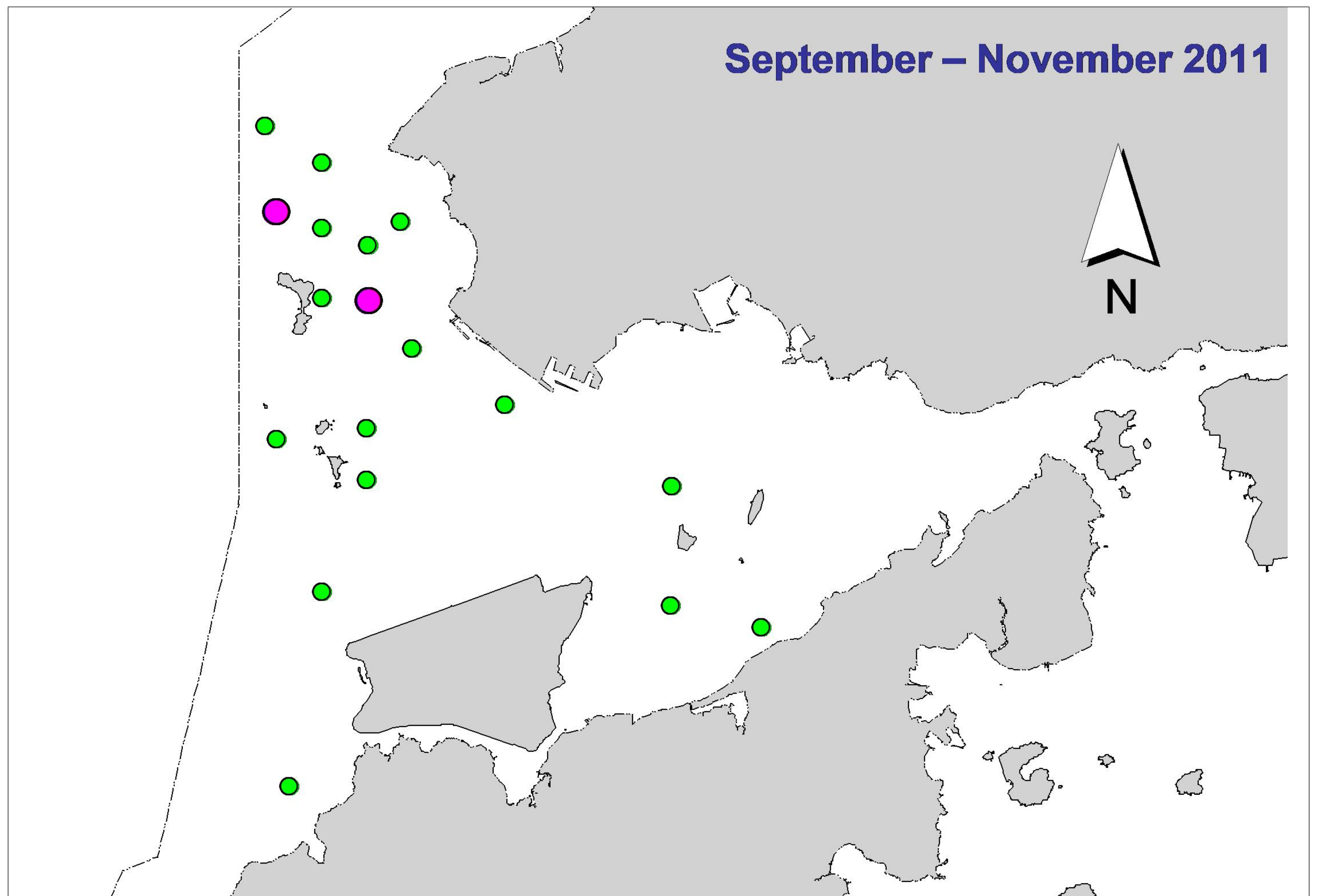
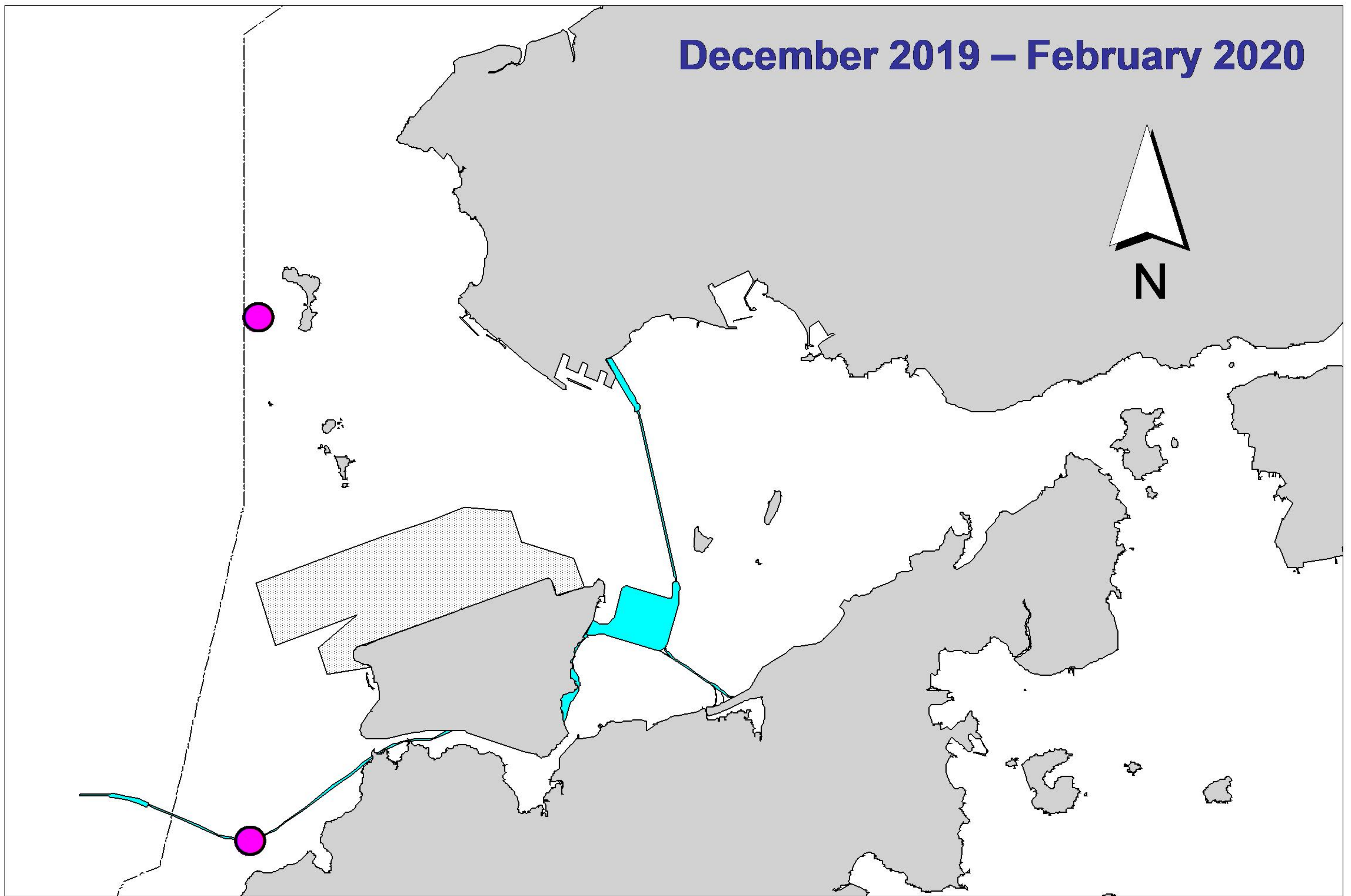


Figure 3. Distribution of Chinese white dolphins with larger group sizes during TMCLKL08 impact phase (top) and baseline monitoring surveys (bottom) (green dots: group sizes of 5 or more; purple dots: group sizes of 10 or more)

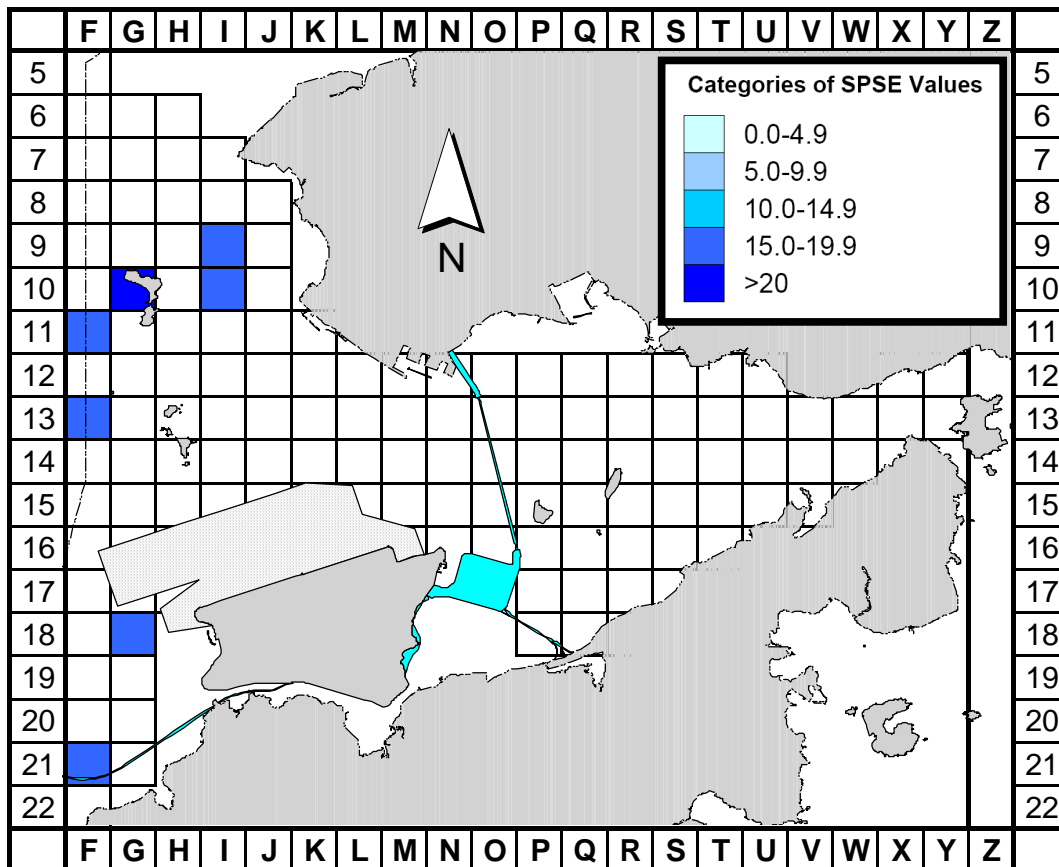


Figure 4a. Sighting density of Chinese white dolphins with corrected survey effort per km<sup>2</sup> in Northeast and Northwest Lantau survey areas, using data collected during TMCLKL08 impact monitoring period (December 2019-February 2020) (SPSE = no. of on-effort sightings per 100 units of survey effort)

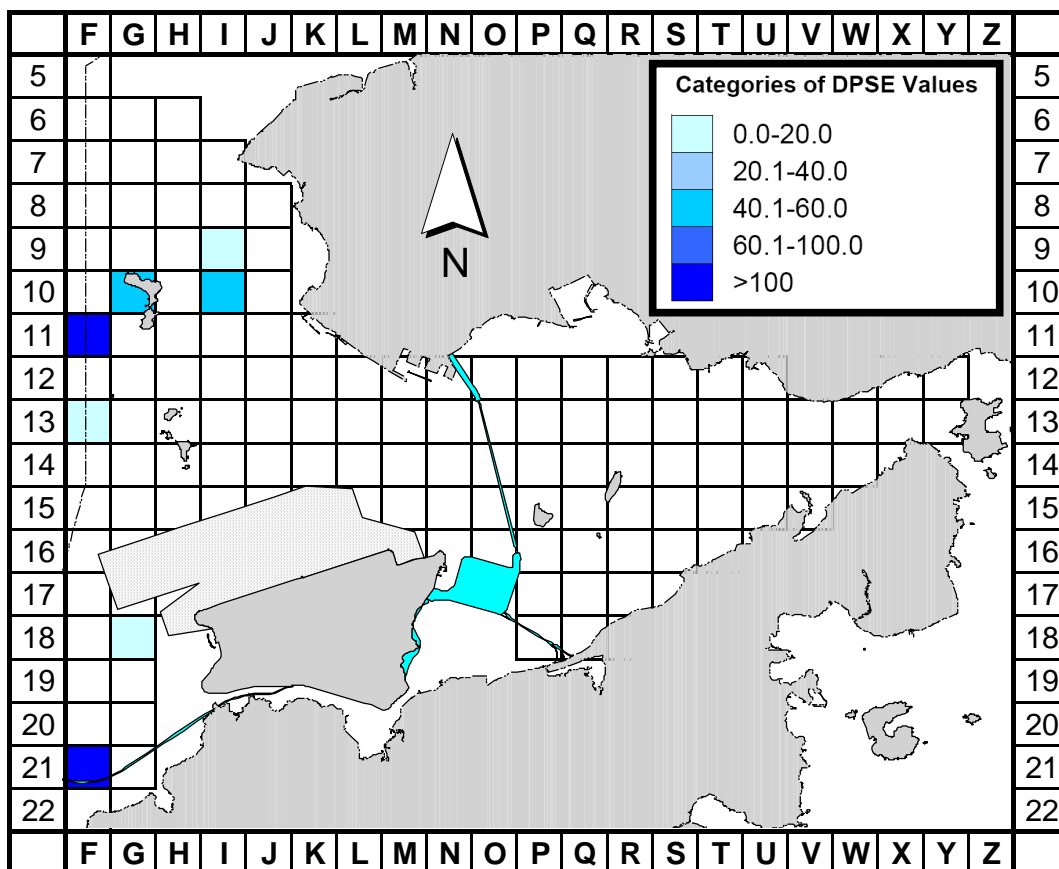


Figure 4b. Density of Chinese white dolphins with corrected survey effort per km<sup>2</sup> in Northeast and Northwest Lantau survey areas, using data collected during TMCLKL08 impact monitoring period (December 2019-February 2020) (DPSE = no. of dolphins per 100 units of survey effort)

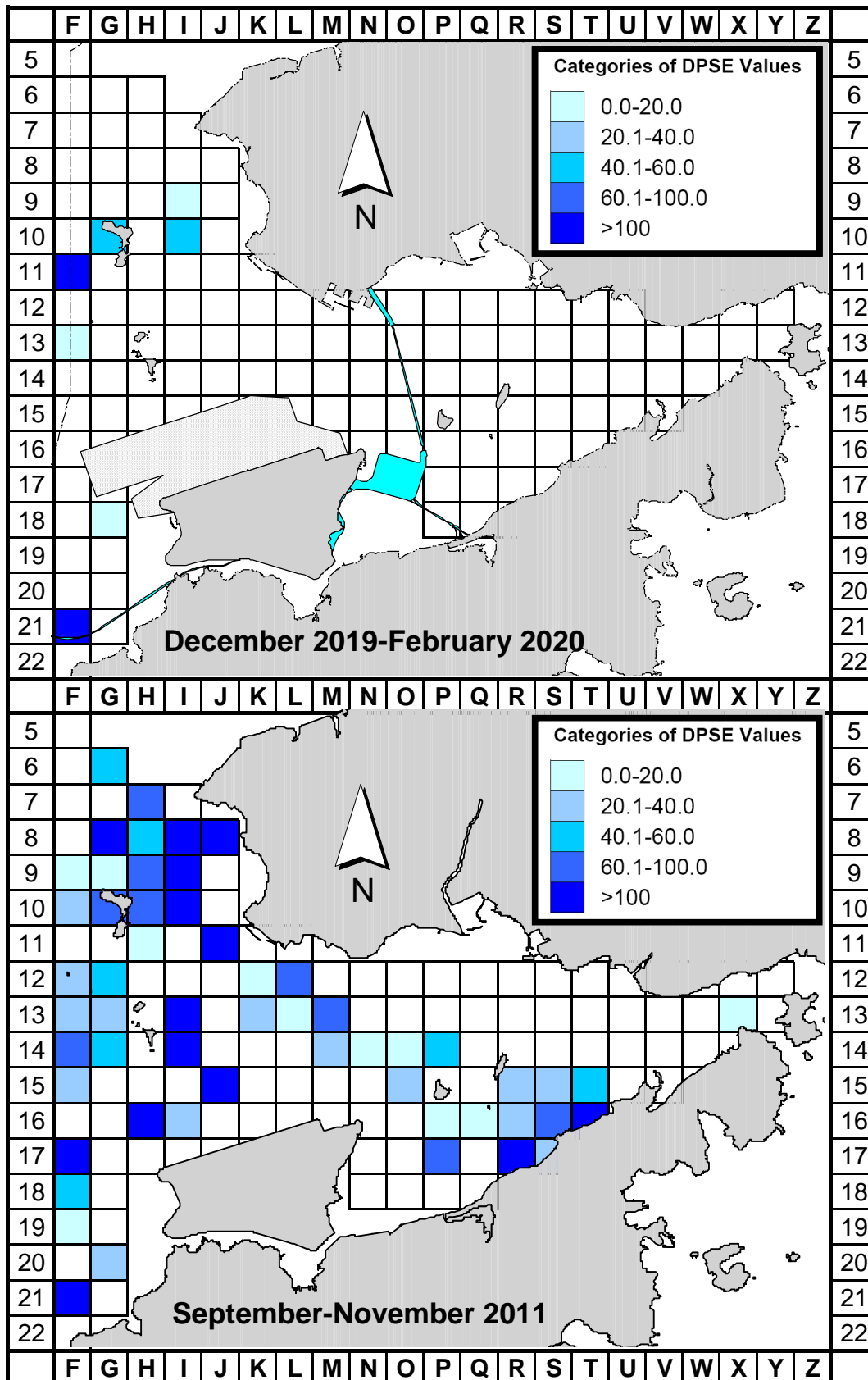


Figure 5. Comparison of density of Chinese white dolphins with corrected survey effort per km<sup>2</sup> in Northwest and Northeast Lantau survey area between the TMCLKL08 impact monitoring period (December 2019 - February 2020) and baseline monitoring period (September-November 2011) (DPSE = no. of dolphins per 100 units of survey effort)

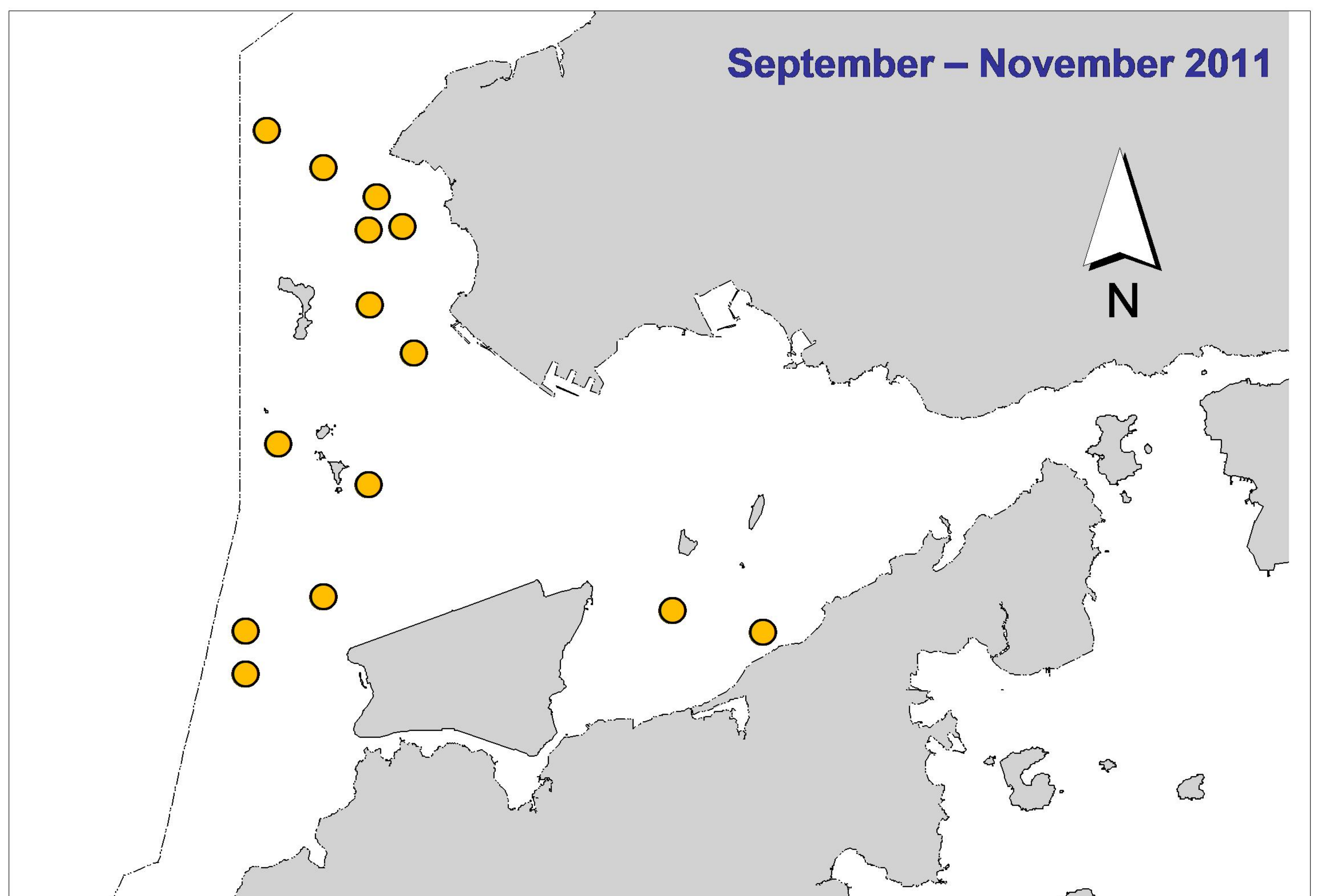
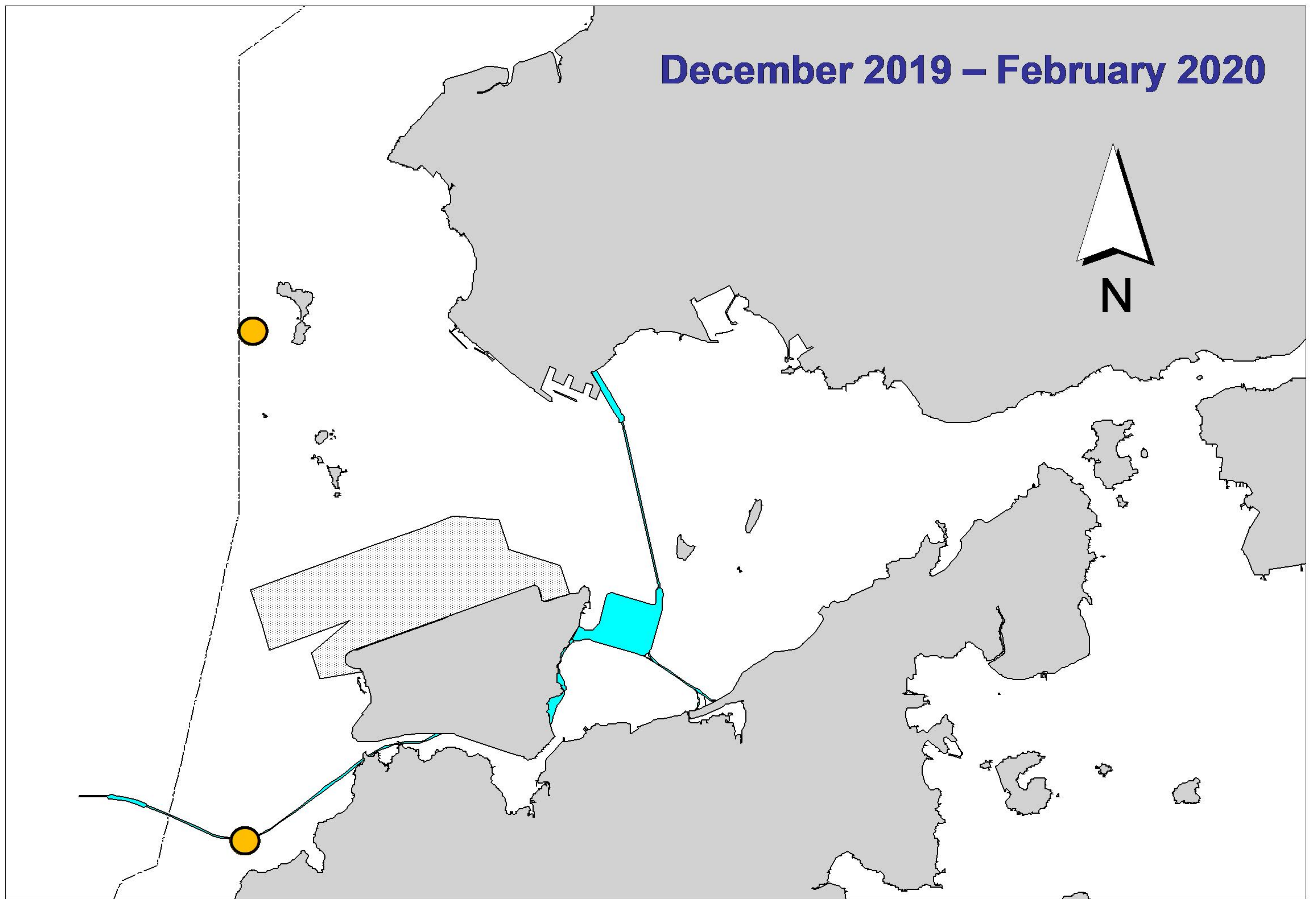


Figure 6. Distribution of young calves of Chinese white dolphins during TMCLKL08 impact phase (top) and baseline monitoring surveys (bottom)

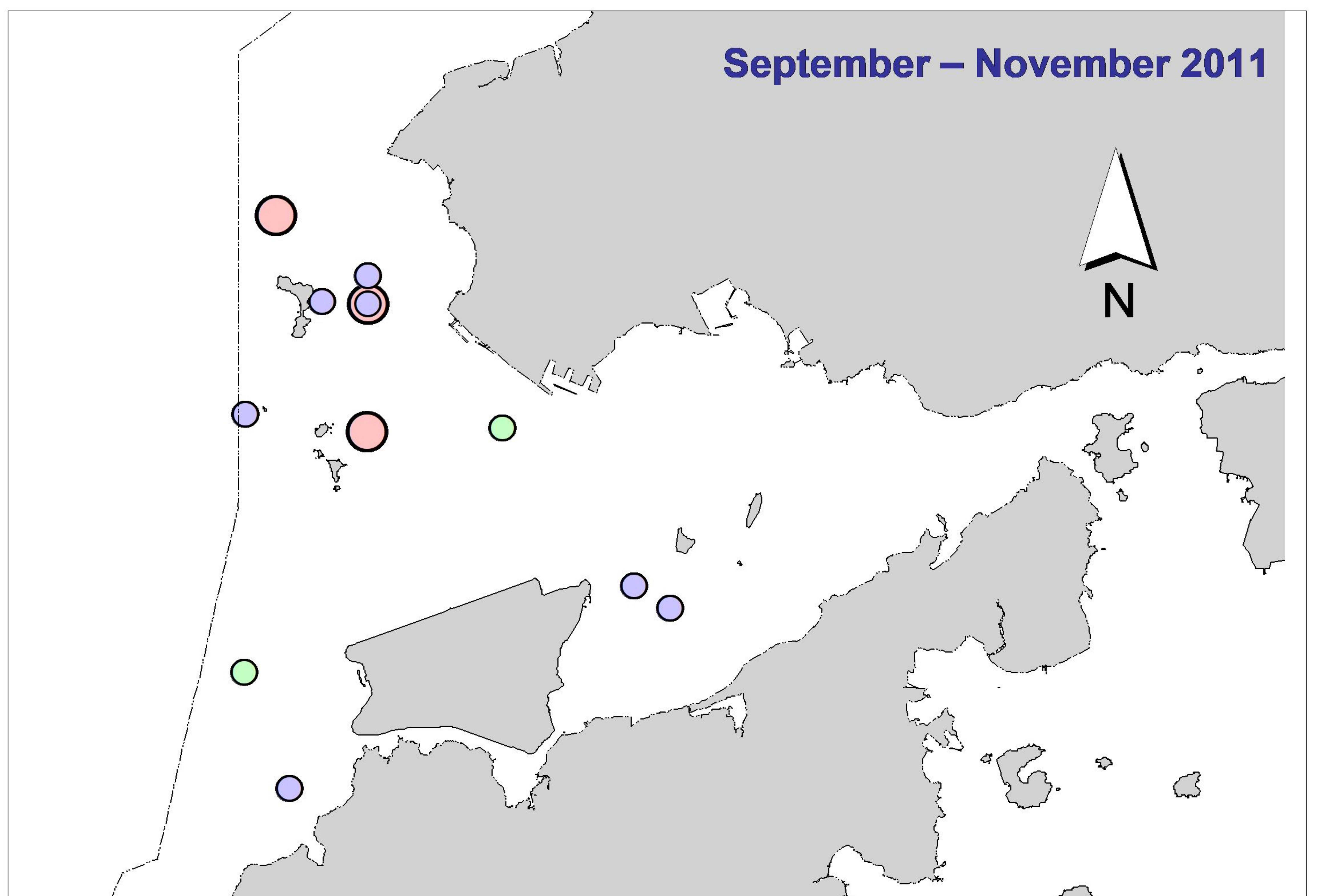
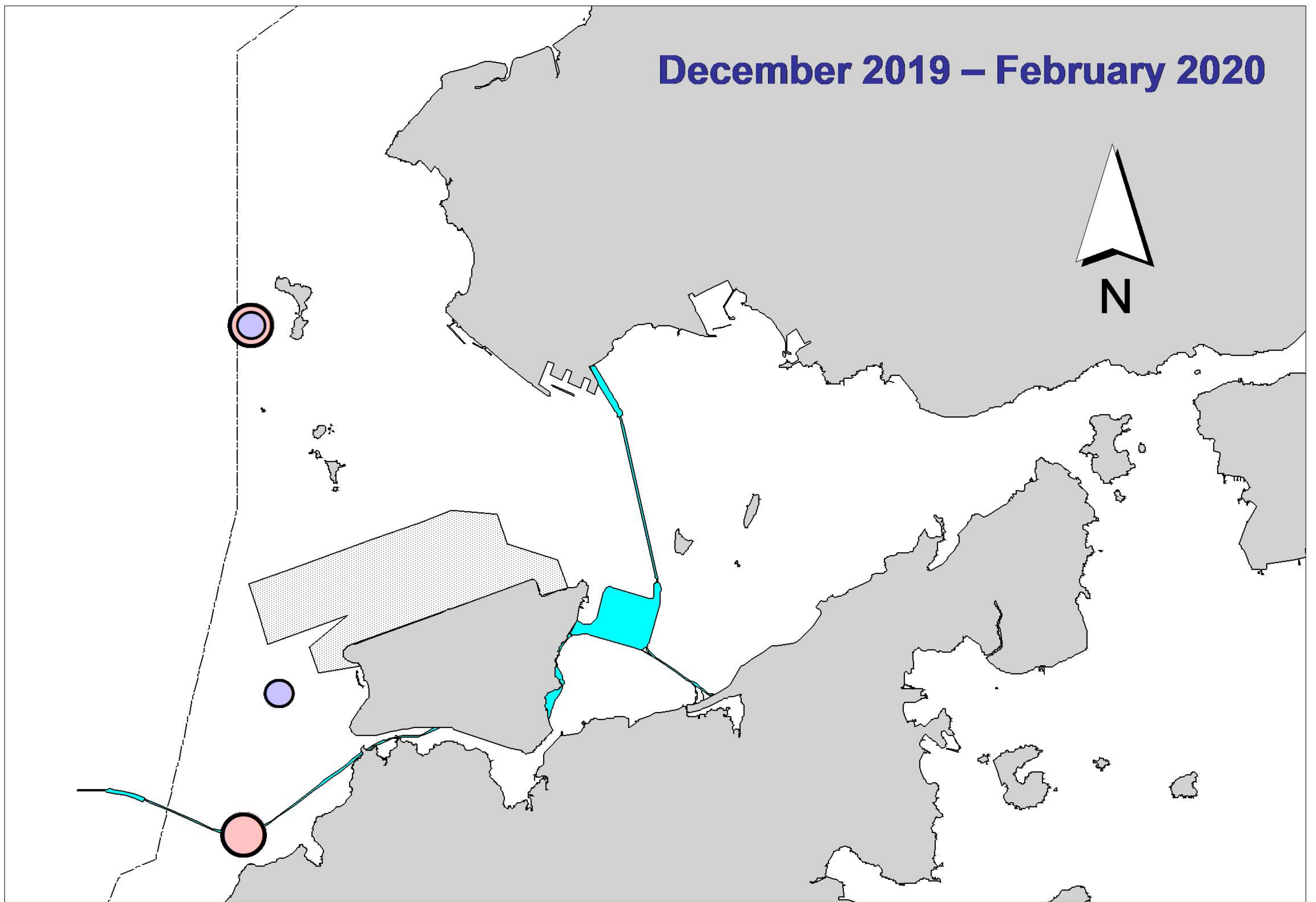


Figure 7. Distribution of Chinese white dolphins engaged in feeding (purple dots), socializing (pink dots) and traveling (green dots) activities during TMCLKL08 impact phase (top) and baseline monitoring surveys (bottom)

## Appendix I. TMCLKL08 Survey Effort Database (December 2019-February 2020)

(Abbreviations: BEAU = Beaufort Sea State; P = Primary Line Effort; S = Secondary Line Effort)

DATE	AREA	BEAU	EFFORT	SEASON	VESSEL	TYPE	P/S
3-Dec-19	NW LANTAU	2	12.20	WINTER	STANDARD36826	TMCLKL	P
3-Dec-19	NW LANTAU	3	14.35	WINTER	STANDARD36826	TMCLKL	P
3-Dec-19	NW LANTAU	2	2.10	WINTER	STANDARD36826	TMCLKL	S
3-Dec-19	NW LANTAU	3	10.85	WINTER	STANDARD36826	TMCLKL	S
3-Dec-19	NE LANTAU	2	35.34	WINTER	STANDARD36826	TMCLKL	P
3-Dec-19	NE LANTAU	2	13.06	WINTER	STANDARD36826	TMCLKL	S
3-Dec-19	NE LANTAU	3	1.20	WINTER	STANDARD36826	TMCLKL	S
10-Dec-19	NW LANTAU	1	2.21	WINTER	STANDARD36826	TMCLKL	P
10-Dec-19	NW LANTAU	2	30.56	WINTER	STANDARD36826	TMCLKL	P
10-Dec-19	NW LANTAU	1	1.72	WINTER	STANDARD36826	TMCLKL	S
10-Dec-19	NW LANTAU	2	9.41	WINTER	STANDARD36826	TMCLKL	S
12-Dec-19	NW LANTAU	1	1.88	WINTER	STANDARD36826	TMCLKL	P
12-Dec-19	NW LANTAU	2	20.64	WINTER	STANDARD36826	TMCLKL	P
12-Dec-19	NW LANTAU	3	9.32	WINTER	STANDARD36826	TMCLKL	P
12-Dec-19	NW LANTAU	2	9.59	WINTER	STANDARD36826	TMCLKL	S
12-Dec-19	NW LANTAU	3	1.29	WINTER	STANDARD36826	TMCLKL	S
12-Dec-19	NE LANTAU	2	35.13	WINTER	STANDARD36826	TMCLKL	P
12-Dec-19	NE LANTAU	2	11.07	WINTER	STANDARD36826	TMCLKL	S
16-Dec-19	NW LANTAU	0	1.25	WINTER	STANDARD36826	TMCLKL	P
16-Dec-19	NW LANTAU	1	7.14	WINTER	STANDARD36826	TMCLKL	P
16-Dec-19	NW LANTAU	2	19.38	WINTER	STANDARD36826	TMCLKL	P
16-Dec-19	NW LANTAU	1	1.60	WINTER	STANDARD36826	TMCLKL	S
16-Dec-19	NW LANTAU	2	10.73	WINTER	STANDARD36826	TMCLKL	S
2-Jan-20	NW LANTAU	2	32.30	WINTER	STANDARD36826	TMCLKL	P
2-Jan-20	NW LANTAU	2	11.20	WINTER	STANDARD36826	TMCLKL	S
2-Jan-20	NE LANTAU	2	36.31	WINTER	STANDARD36826	TMCLKL	P
2-Jan-20	NE LANTAU	2	12.59	WINTER	STANDARD36826	TMCLKL	S
6-Jan-20	NW LANTAU	2	13.30	WINTER	STANDARD36826	TMCLKL	P
6-Jan-20	NW LANTAU	3	14.25	WINTER	STANDARD36826	TMCLKL	P
6-Jan-20	NW LANTAU	2	7.90	WINTER	STANDARD36826	TMCLKL	S
6-Jan-20	NW LANTAU	3	4.85	WINTER	STANDARD36826	TMCLKL	S
9-Jan-20	NW LANTAU	2	10.10	WINTER	STANDARD36826	TMCLKL	P
9-Jan-20	NW LANTAU	3	17.66	WINTER	STANDARD36826	TMCLKL	P
9-Jan-20	NW LANTAU	2	1.20	WINTER	STANDARD36826	TMCLKL	S
9-Jan-20	NW LANTAU	3	9.84	WINTER	STANDARD36826	TMCLKL	S
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9-Jan-20	NE LANTAU	3	14.81	WINTER	STANDARD36826	TMCLKL	P
9-Jan-20	NE LANTAU	2	7.70	WINTER	STANDARD36826	TMCLKL	S
9-Jan-20	NE LANTAU	3	5.78	WINTER	STANDARD36826	TMCLKL	S
16-Jan-20	NW LANTAU	2	16.55	WINTER	STANDARD36826	TMCLKL	P
16-Jan-20	NW LANTAU	3	16.60	WINTER	STANDARD36826	TMCLKL	P
16-Jan-20	NW LANTAU	2	8.05	WINTER	STANDARD36826	TMCLKL	S
10-Feb-20	NW LANTAU	2	32.50	WINTER	STANDARD36826	TMCLKL	P
10-Feb-20	NW LANTAU	2	10.60	WINTER	STANDARD36826	TMCLKL	S
18-Feb-20	NW LANTAU	2	19.10	WINTER	STANDARD36826	TMCLKL	P
18-Feb-20	NW LANTAU	3	8.06	WINTER	STANDARD36826	TMCLKL	P
18-Feb-20	NW LANTAU	2	10.43	WINTER	STANDARD36826	TMCLKL	S
18-Feb-20	NW LANTAU	3	1.67	WINTER	STANDARD36826	TMCLKL	S
18-Feb-20	NE LANTAU	2	25.24	WINTER	STANDARD36826	TMCLKL	P
18-Feb-20	NE LANTAU	3	10.09	WINTER	STANDARD36826	TMCLKL	P
18-Feb-20	NE LANTAU	2	9.40	WINTER	STANDARD36826	TMCLKL	S

## Appendix I. (cont'd)

(Abbreviations: BEAU = Beaufort Sea State; P = Primary Line Effort; S = Secondary Line Effort)

DATE	AREA	BEAU	EFFORT	SEASON	VESSEL	TYPE	P/S
18-Feb-20	NE LANTAU	3	3.07	WINTER	STANDARD36826	TMCLKL	S
20-Feb-20	NW LANTAU	1	14.10	WINTER	STANDARD36826	TMCLKL	P
20-Feb-20	NW LANTAU	2	17.97	WINTER	STANDARD36826	TMCLKL	P
20-Feb-20	NW LANTAU	1	6.00	WINTER	STANDARD36826	TMCLKL	S
20-Feb-20	NW LANTAU	2	4.63	WINTER	STANDARD36826	TMCLKL	S
20-Feb-20	NE LANTAU	2	17.89	WINTER	STANDARD36826	TMCLKL	P
20-Feb-20	NE LANTAU	3	17.90	WINTER	STANDARD36826	TMCLKL	P
20-Feb-20	NE LANTAU	2	7.11	WINTER	STANDARD36826	TMCLKL	S
20-Feb-20	NE LANTAU	3	3.80	WINTER	STANDARD36826	TMCLKL	S
24-Feb-20	NW LANTAU	2	15.23	WINTER	STANDARD36826	TMCLKL	P
24-Feb-20	NW LANTAU	3	11.66	WINTER	STANDARD36826	TMCLKL	P
24-Feb-20	NW LANTAU	2	7.51	WINTER	STANDARD36826	TMCLKL	S
24-Feb-20	NW LANTAU	3	4.90	WINTER	STANDARD36826	TMCLKL	S



**Appendix II. TMCLKL08 Chinese White Dolphin Sighting Database (December 2019-February 2020)**

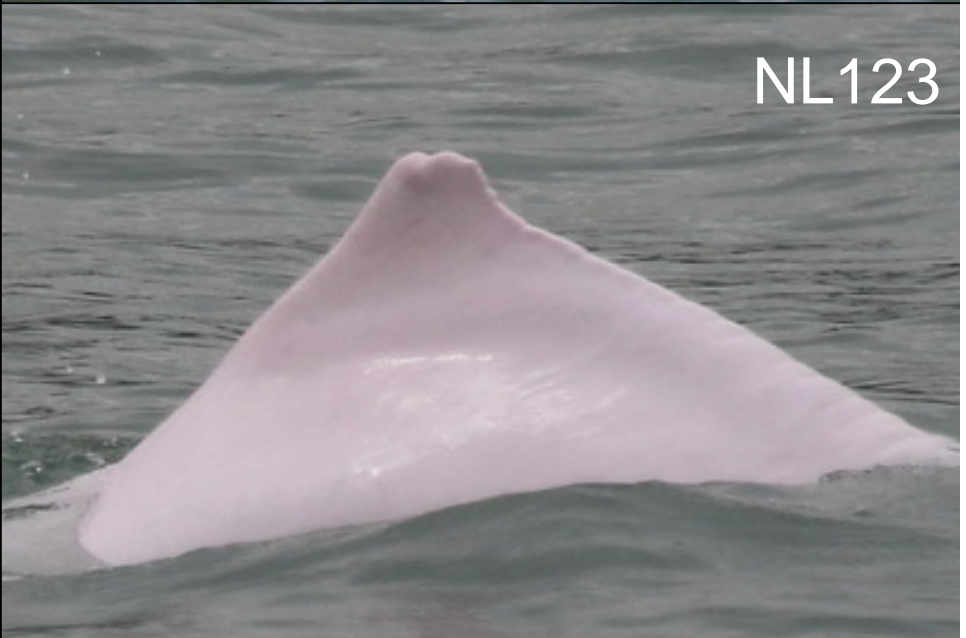
(Abberviations: STG# = Sighting Number; HRD SZ = Dolphin Herd Size; BEAU = Beaufort Sea State; PSD = Perpendicular Distance; BOAT ASSOC. = Fishing Boat Association; P/S: Sighting Made on Primary/Secondary Lines)

DATE	STG #	TIME	HRD SZ	AREA	BEAU	PSD	EFFORT	TYPE	NORTHING	EASTING	SEASON	BOAT ASSOC.	P/S
12-Dec-19	1	1016	11	NW LANTAU	2	55	ON	TMCLKL	815115	804650	WINTER	NONE	P
12-Dec-19	2	1112	1	NW LANTAU	3	36	ON	TMCLKL	823299	804678	WINTER	NONE	P
16-Dec-19	1	1126	1	NW LANTAU	2	674	ON	TMCLKL	827556	807529	WINTER	NONE	P
18-Feb-20	1	1014	1	NW LANTAU	2	94	ON	TMCLKL	818137	805450	WINTER	NONE	P
18-Feb-20	2	1059	2	NW LANTAU	3	176	ON	TMCLKL	826011	805136	WINTER	NONE	P
20-Feb-20	1	1057	10	NW LANTAU	2	272	ON	TMCLKL	825978	804817	WINTER	NONE	P
24-Feb-20	1	1115	3	NW LANTAU	2	69	ON	TMCLKL	826515	807537	WINTER	NONE	P

**Appendix III. Individual dolphins identified during TMCLKL08 monitoring surveys in December 2019 - February 2020**

<b>ID#</b>	<b>DATE</b>	<b>STG#</b>	<b>AREA</b>
CH108	12/12/19	1	NW LANTAU
NL33	12/12/19	1	NW LANTAU
NL120	12/12/19	1	NW LANTAU
	24/02/20	1	NW LANTAU
NL123	16/12/19	1	NW LANTAU
NL182	18/02/20	2	NW LANTAU
	24/02/20	1	NW LANTAU
NL202	18/02/20	2	NW LANTAU
	20/02/20	1	NW LANTAU
NL261	20/02/20	1	NW LANTAU
	24/02/20	1	NW LANTAU
NL321	20/02/20	1	NW LANTAU
NL322	20/02/20	1	NW LANTAU
SL59	20/02/20	1	NW LANTAU
WL100	12/12/19	1	NW LANTAU
WL145	12/12/19	1	NW LANTAU
WL214	12/12/19	1	NW LANTAU
	20/02/20	1	NW LANTAU
WL243	18/02/20	1	NW LANTAU
WL268	12/12/19	2	NW LANTAU
WL279	20/02/20	1	NW LANTAU
WL284	12/12/19	1	NW LANTAU

Appendix IV. Seventeen individual dolphins that were identified between December 2019 and February 2020 under TMCLKL08 monitoring surveys



Appendix IV. (cont'd)



NL182



NL202



NL261



NL321

Appendix IV. (cont'd)



NL322



SL59



WL100



WL145

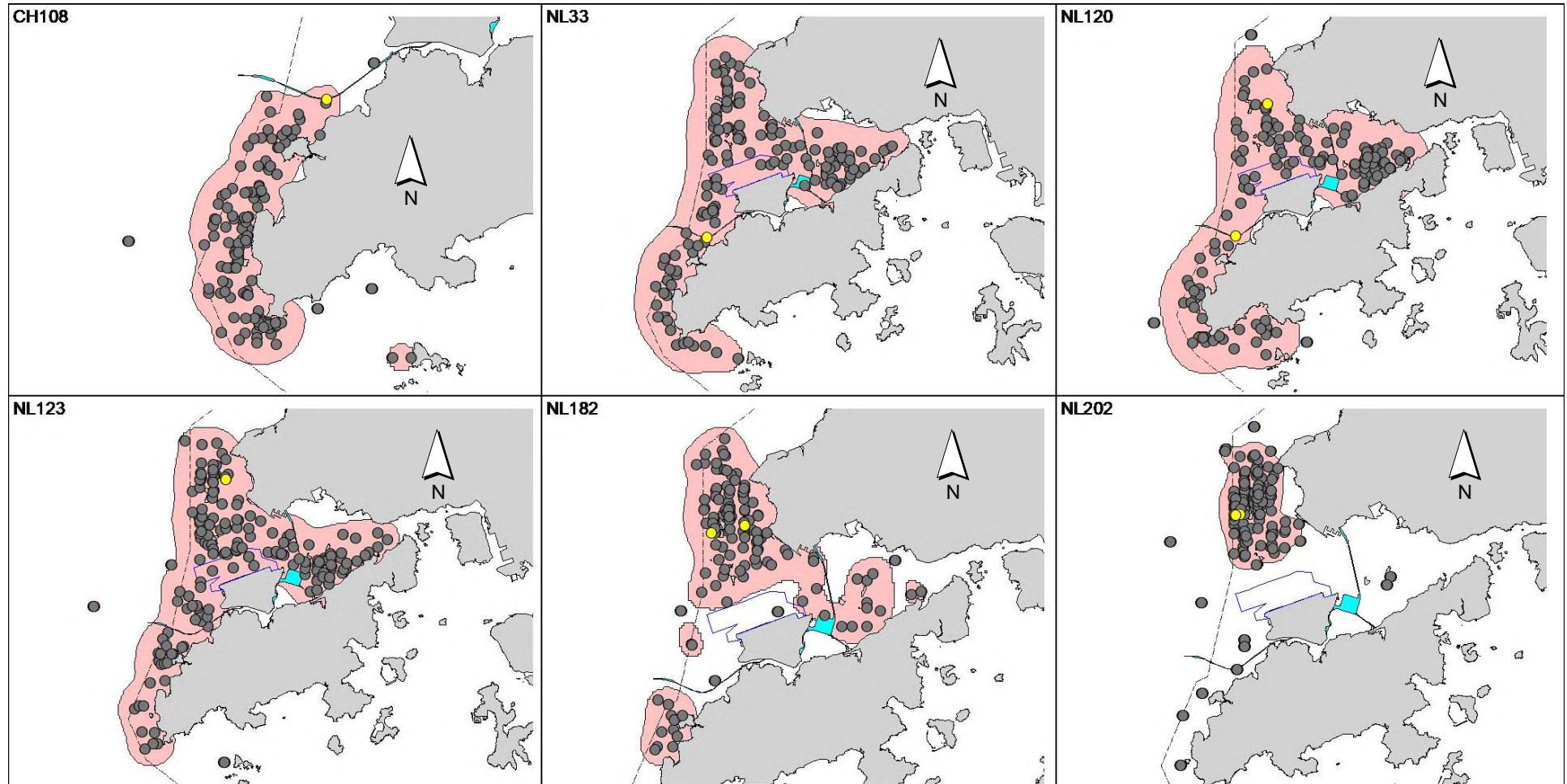
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Appendix IV. (cont'd)



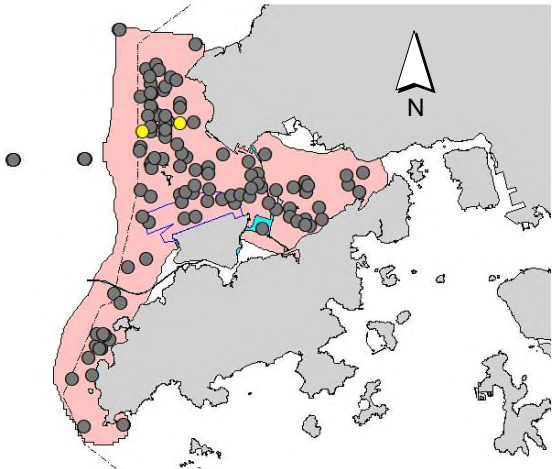
Appendix V. Ranging patterns (95% kernel ranges) of 17 individual dolphins that were sighted during TMCLKL08 impact phase monitoring period  
(note: yellow dots indicate sightings made in December 2019 – February 2020 during TMCLKL08 monitoring surveys)



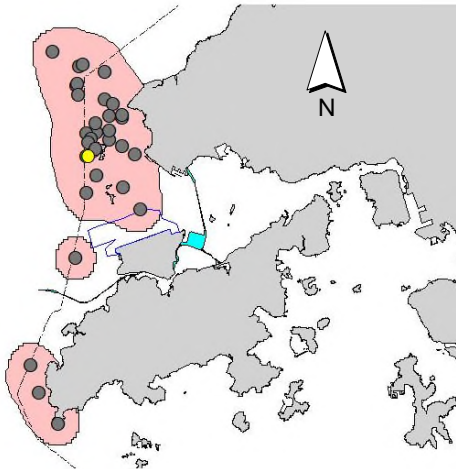


Appendix V. (cont'd)

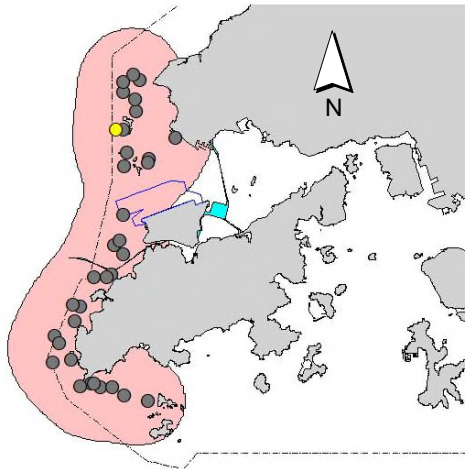
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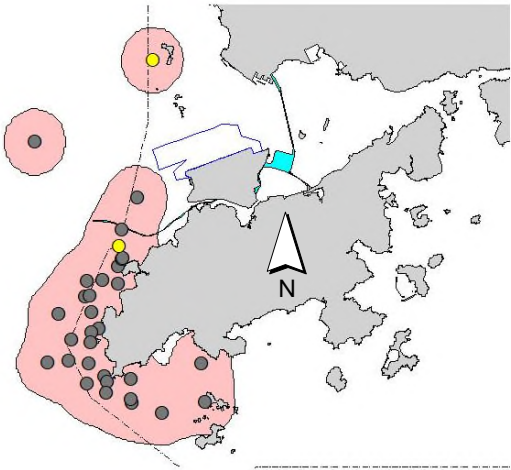
NL321



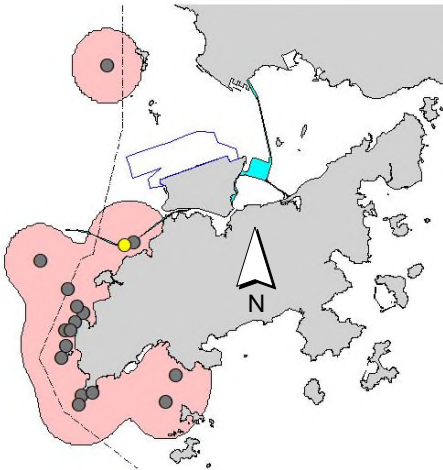
NL322



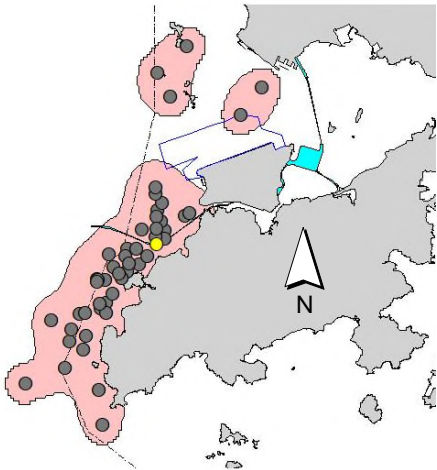
SL59



WL100

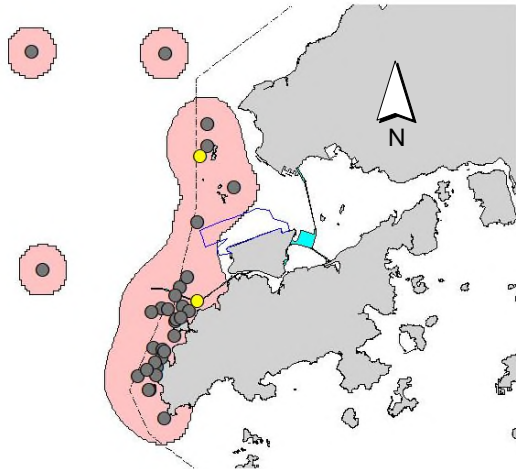


WL145

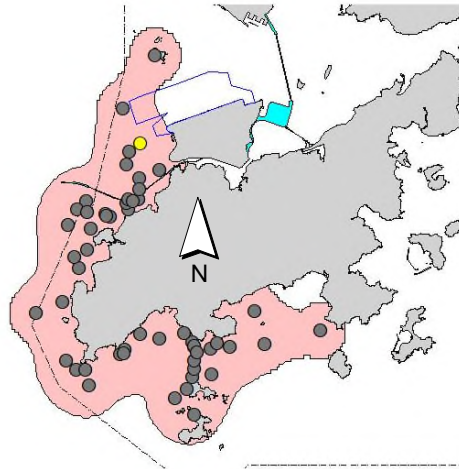


Appendix V. (cont'd)

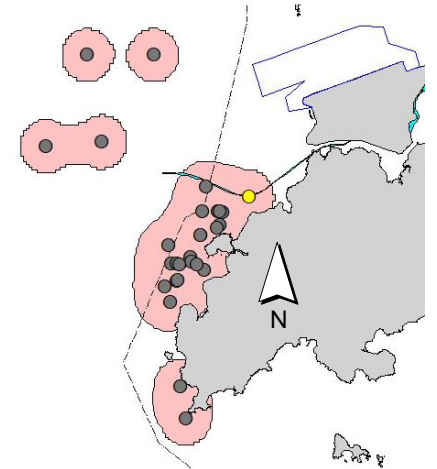
WL214



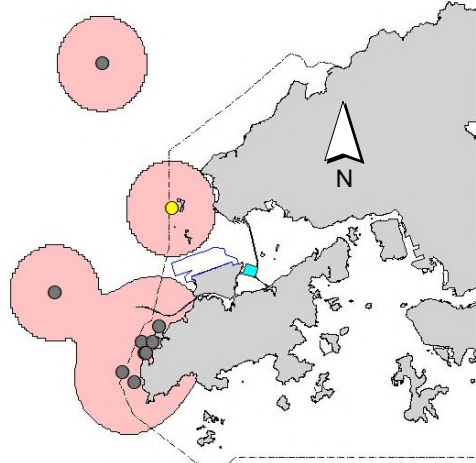
WL243



WL268



WL279



WL284

