

Appendix H

Post Construction
(Operational) Dolphin
Monitoring Survey

CONTRACT NO. HY/2012/08

**Hong Kong-Zhuhai-Macao Bridge Tuen Mun – Chek Lap Kok Link
(Northern Connection Sub-sea Tunnel Section)
Post-Construction Dolphin Monitoring**

2nd Quarterly Progress Report (September-November 2020)

submitted to Dragages – Bouygues Joint Venture & ERM Hong Kong Ltd.

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1. Introduction

- 1.1. As part of the Hong Kong-Zhuhai-Macao Bridge, the Tuen Mun-Chek Lap Kok Link (TM-CLKL) Northern Connection Sub-sea Tunnel Section (Contract no. HY/2012/08) comprises the sub-sea TBM tunnels (two tubes with cross passages) across the Urmston Road to connect Tuen Area 40 and Hong Kong Boundary Crossing Facilities (HKBCF) of approximately 4 km in length with dual 2-lane carriageway, the tunnels at both the southern landfall and the northern landfall for construction of approach roads to the sub-sea TBM tunnels of approximately 1.5 km in length, as well as the northern landfall reclamation of approximately 16.5 hectares and about 20.km long seawalls. Dragages – Bouygues Joint Venture (hereinafter called the “Contractor”) was awarded as the main contractor for the Northern Connection Sub-sea Tunnel Section, and ERM Hong Kong Limited would serve as the Environmental Team to implement the Environmental Monitoring and Audit (EM&A) programme.
- 1.2. According to the updated EM&A Manual (for TM-CLKL), monthly line-transect vessel surveys for Chinese White Dolphin should be conducted to cover the Northwest (NWL) and Northeast Lantau (NEL) survey areas as in AFCD annual marine mammal monitoring programme. Between 2013 and 2019, as such surveys have already been undertaken by the HKLR03 and HKBCF projects in the survey same areas of NEL and NWL, a combined monitoring approach was recommended by the Highways Department, that the TM-CLKL EM&A project can utilize the monitoring data collected by HKLR03 or HKBCF project to avoid any redundancy in monitoring effort. Such exemption for the dolphin monitoring has ended in September 2019 as the dolphin monitoring works carried out by HKLR03 and HKBCF contract have been completed. Starting in October 2019, TMCLKL08 contract takes over the dolphin monitoring works by conducting the regular vessel-based line-transect surveys during the construction phase. And as the construction works for the TMCLKL08 contract has also been completed in May 2020, the post-construction dolphin monitoring works have subsequently commenced in June 2020.

- 1.3. Since November 2013, the Director of Hong Kong Cetacean Research Project (HKCRP), Dr. Samuel Hung, has been appointed by ERM Hong Kong Limited as the dolphin specialist for the TMCLKL Northern Connection Sub-sea Tunnel Section EM&A project. He is responsible for the dolphin monitoring study, including the data collection on Chinese White Dolphins during the construction phase (i.e. impact period) as well as the post-construction phase of the TMCLKL project in Northwest Lantau (NWL) and Northeast Lantau (NEL) survey areas. During both phases, the dolphin specialist is responsible to utilize the collected monitoring data in order to examine any potential impacts on the dolphins during and after the TMCLKL construction works.
- 1.4. This report is the second quarterly progress report under the TM-CLKL post-construction phase dolphin monitoring programme submitted to the Contractor, which summarizes the results of the survey findings during the period of September to November 2020.

2. Monitoring Methodology

2.1. Vessel-based Line-transect Survey

- 2.1.1. According to the requirement of the updated EM&A manual, dolphin monitoring programme should cover all transect lines in NEL and NWL survey areas (see Figure 1) twice per month throughout the entire construction and post-construction monitoring period. The co-ordinates of all transect lines are shown in Table 1.

Table 1 Co-ordinates of transect lines conducted by TMCLKL08 project

| Line No. | | Easting | Northing | | Line No. | Easting | Northing | |
|----------|-------------|---------|----------|--|----------|-------------|----------|--------|
| 1 | Start Point | 804671 | 815456 | | 13 | Start Point | 816506 | 819480 |
| 1 | End Point | 804671 | 831404 | | 13 | End Point | 816506 | 824859 |
| 2 | Start Point | 805476 | 820800 | | 14 | Start Point | 817537 | 820220 |
| 2 | End Point | 805476 | 826654 | | 14 | End Point | 817537 | 824613 |
| 3 | Start Point | 806464 | 821150 | | 15 | Start Point | 818568 | 820735 |
| 3 | End Point | 806464 | 822911 | | 15 | End Point | 818568 | 824433 |
| 4 | Start Point | 807518 | 821500 | | 16 | Start Point | 819532 | 821420 |
| 4 | End Point | 807518 | 829230 | | 16 | End Point | 819532 | 824209 |
| 5 | Start Point | 808504 | 821850 | | 17 | Start Point | 820451 | 822125 |
| 5 | End Point | 808504 | 828602 | | 17 | End Point | 820451 | 823671 |
| 6 | Start Point | 809490 | 822150 | | 18 | Start Point | 821504 | 822371 |
| 6 | End Point | 809490 | 825352 | | 18 | End Point | 821504 | 823761 |
| 7 | Start Point | 810499 | 822000 | | 19 | Start Point | 822513 | 823268 |
| 7 | End Point | 810499 | 824613 | | 19 | End Point | 822513 | 824321 |

| | | | | | | | | |
|----|-------------|--------|--------|--|----|-------------|--------|--------|
| 8 | Start Point | 811508 | 821123 | | 20 | Start Point | 823477 | 823402 |
| 8 | End Point | 811508 | 824254 | | 20 | End Point | 823477 | 824613 |
| 9 | Start Point | 812516 | 821303 | | 21 | Start Point | 805476 | 827081 |
| 9 | End Point | 812516 | 824254 | | 21 | End Point | 805476 | 830562 |
| 10 | Start Point | 813525 | 821176 | | 22 | Start Point | 806464 | 824033 |
| 10 | End Point | 813525 | 824657 | | 22 | End Point | 806464 | 829598 |
| 11 | Start Point | 814556 | 818853 | | 23 | Start Point | 814559 | 821739 |
| 11 | End Point | 814556 | 820992 | | 23 | End Point | 814559 | 824768 |
| 12 | Start Point | 815542 | 818807 | | 24 | Start Point | 805476 | 815900 |
| 12 | End Point | 815542 | 824882 | | 24 | End Point | 805476 | 819100 |

- 2.1.2. The TMCLKL08 survey team used standard line-transect methods (Buckland et al. 2001) to conduct the systematic vessel surveys, and followed the same technique of data collection that has been adopted over the last 22 years of marine mammal monitoring surveys in Hong Kong developed by HKCRP (see Hung 2020). For each monitoring vessel survey, a 15-m inboard vessel with an open upper deck (about 4.5 m above water surface) was used to make observations from the flying bridge area.
- 2.1.3. Two experienced observers (a data recorder and a primary observer) made up the on-effort survey team, and the survey vessel transited different transect lines at a constant speed of 13-15 km per hour. The data recorder searched with unaided eyes and filled out the datasheets, while the primary observer searched for dolphins and porpoises continuously through 7 x 50 *Fujinon* marine binoculars. Both observers searched the sea ahead of the vessel, between 270° and 90° (in relation to the bow, which is defined as 0°). One to two additional experienced observers were available on the boat to work in shift (i.e. rotate every 30 minutes) in order to minimize fatigue of the survey team members. All observers were experienced in small cetacean survey techniques and identifying local cetacean species.
- 2.1.4. During on-effort survey periods, the survey team recorded effort data including time, positions (latitude and longitude), weather conditions (Beaufort sea state and visibility), and distance traveled in each series (a continuous period of search effort) with the assistance of a handheld GPS (*Garmin eTrex Legend*).
- 2.1.5. Data including time, position and vessel speed were also automatically and continuously logged by handheld GPS throughout the entire survey for subsequent review.
- 2.1.6. When dolphins were sighted, the survey team would end the survey effort, and immediately record the initial sighting distance and angle of the dolphin group from the survey vessel, as well as the sighting time and position. Then the research vessel was diverted from its course to approach the animals for species identification, group size estimation, assessment of group composition, and behavioural observations. The perpendicular distance (PSD) of the dolphin group to the transect line was later calculated from the initial sighting distance and angle.

2.1.7. Survey effort being conducted along the parallel transect lines that were perpendicular to the coastlines (as indicated in Figure 1) was labeled as “primary” survey effort, while the survey effort conducted along the connecting lines between parallel lines was labeled as “secondary” survey effort. According to HKCRP long-term dolphin monitoring data, encounter rates of Chinese white dolphins deduced from effort and sighting data collected along primary and secondary lines were similar in NEL and NWL survey areas. Therefore, both primary and secondary survey effort were presented as on-effort survey effort in this report.

2.2. Photo-identification Work

- 2.2.1. When a group of Chinese White Dolphins were sighted during the line-transect survey, the TMCLKL08 survey team would end effort and approach the group slowly from the side and behind to take photographs of them. Every attempt was made to photograph every dolphin in the group, and even photograph both sides of the dolphins, since the colouration and markings on both sides may not be symmetrical.
- 2.2.2. A professional digital camera (*Canon EOS 7D* model), equipped with long telephoto lenses (100-400 mm zoom), were available on board for researchers to take sharp, close-up photographs of dolphins as they surfaced. The images were shot at the highest available resolution and stored on Compact Flash memory cards for downloading onto a computer.
- 2.2.3. All digital images taken in the field were first examined, and those containing potentially identifiable individuals were sorted out. These photographs would then be examined in greater detail, and were carefully compared to the existing Chinese White Dolphin photo-identification catalogue maintained by HKCRP since 1995.
- 2.2.4. Chinese White Dolphins can be identified by their natural markings, such as nicks, cuts, scars and deformities on their dorsal fin and body, and their unique spotting patterns were also used as secondary identifying features (Jefferson 2000).
- 2.2.5. All photographs of each individual were then compiled and arranged in chronological order, with data including the date and location first identified (initial sighting), re-sightings, associated dolphins, distinctive features, and age classes entered into a computer database.

2.3. Data Analysis

- 2.3.1. Distribution Analysis – The line-transect survey data was integrated with the Geographic Information System (GIS) in order to visualize and interpret different spatial and temporal patterns of dolphin distribution using sighting positions. Location data of dolphin groups were plotted on map layers of Hong Kong using a desktop GIS (ArcView[®] 3.1) to examine their distribution patterns in details. The dataset was also stratified into different subsets to examine distribution patterns of dolphin groups with different categories of group sizes, young calves and activities.

2.3.2. Encounter rate analysis – Encounter rates of Chinese white dolphins (number of on-effort sightings per 100 km of survey effort, and total number of dolphins sighted on-effort per 100 km of survey effort) were calculated in NEL and NWL survey areas in relation to the amount of survey effort conducted during each month of monitoring survey. Only data collect under Beaufort 3 or below condition would be used for the encounter rate analyses. Dolphin encounter rates were calculated in two ways for comparisons with the HZMB baseline monitoring results as well as to AFCD long-term marine mammal monitoring results.

Firstly, for the comparison with the HZMB baseline monitoring results, the encounter rates were calculated using primary survey effort alone. The average encounter rate of sightings (STG) and average encounter rate of dolphins (ANI) were deduced based on the encounter rates from six events during the present quarter (i.e. six sets of line-transect surveys in North Lantau), which was also compared with the one deduced from the six events during the baseline period (i.e. six sets of line-transect surveys in North Lantau).

Secondly, the encounter rates were calculated using both primary and secondary survey effort collected under Beaufort 3 or below condition as in AFCD long-term monitoring study. The encounter rate of sightings and dolphins were deduced by dividing the total number of on-effort sightings (STG) and total number of dolphins (ANI) by the amount of survey effort for the present quarterly period.

2.3.3. Quantitative grid analysis on habitat use – To conduct quantitative grid analysis of habitat use, positions of on-effort sightings of Chinese White Dolphins collected during the quarterly monitoring period were plotted onto 1-km² grids among NWL and NEL survey areas on GIS. Sighting densities (number of on-effort sightings per km²) and dolphin densities (total number of dolphins from on-effort sightings per km²) were then calculated for each 1 km by 1 km grid with the aid of GIS.

Sighting density grids and dolphin density grids were then further normalized with the amount of survey effort conducted within each grid. The total amount of survey effort spent on each grid was calculated by examining the survey coverage on each line-transect survey to determine how many times the grid was surveyed during the study period. For example, when the survey boat traversed through a specific grid 50 times, 50 units of survey effort were counted for that grid. With the amount of survey effort calculated for each grid, the sighting density and dolphin density of each grid were then normalized (i.e. divided by the unit of survey effort).

The newly-derived unit for sighting density was termed SPSE, representing the number of on-effort sightings per 100 units of survey effort. In addition, the derived unit for actual dolphin density was termed DPSE, representing the number of dolphins per 100 units of survey effort. Among the 1-km² grids that were partially covered by land, the percentage of sea area was calculated using GIS tools, and their SPSE and DPSE values were adjusted accordingly. The following formulae were used to estimate SPSE and DPSE in each 1-km² grid within the study area:

$$SPSE = ((S / E) \times 100) / SA\%$$
$$DPSE = ((D / E) \times 100) / SA\%$$

where S = total number of on-effort sightings
D = total number of dolphins from on-effort sightings
E = total number of units of survey effort
SA% = percentage of sea area

- 2.3.4. Behavioural analysis – When dolphins were sighted during vessel surveys, their behaviour was observed. Different activities were categorized (i.e. feeding, socializing, traveling, and milling/resting) and recorded on sighting datasheets. This data was then input into a separate database with sighting information, which can be used to determine the distribution of behavioural data with a desktop GIS. Distribution of sightings of dolphins engaged in different activities and behaviours would then be plotted on GIS and carefully examined to identify important areas for different activities of the dolphins.
- 2.3.5. Ranging pattern analysis – Location data of individual dolphins that occurred during the 3-month impact phase monitoring period were obtained from the dolphin sighting database and photo-identification catalogue. To deduce home ranges for individual dolphins using the fixed kernel methods, the program Animal Movement Analyst Extension, was loaded as an extension with ArcView[®] 3.1 along with another extension Spatial Analyst 2.0. Using the fixed kernel method, the program calculated kernel density estimates based on all sighting positions, and provided an active interface to display kernel density plots. The kernel estimator then calculated and displayed the overall ranging area at 95% UD level.

3. Monitoring Results

3.1. Summary of survey effort and dolphin sightings

- 3.1.1. During the period of September to November 2020, six sets of systematic line-transect vessel surveys were conducted under the TMCLKL08 post-construction dolphin monitoring works to cover all transect lines in NWL and NEL survey areas twice per month.
- 3.1.2. From these TMCLKL08 surveys, a total of 772.93 km of survey effort was collected, with 100% of the total survey effort being conducted under favourable weather conditions (i.e. Beaufort Sea State 3 or below with good visibility). Among the two areas, 287.50 km and 485.43 km of survey effort were conducted in NEL and NWL survey areas respectively.
- 3.1.3. The total survey effort conducted on primary lines was 573.77 km, while the effort on secondary lines was 199.16 km. Survey effort conducted on both primary and secondary lines were considered to be on-effort survey data. A summary table of the survey effort is shown in Appendix I.
- 3.1.4. During the six sets of TMCLKL08 monitoring surveys from September to November

2020, only two groups of four Chinese White Dolphins were sighted. Both dolphin sightings were made on primary lines during on-effort search in this quarter. A summary table of dolphin sightings is shown in Appendix II.

3.1.5. In this quarterly period, both dolphin groups were sighted in NWL, and no dolphin was sighted at all in NEL. In fact, since August 2014, only two sightings of two lone dolphins were made respectively in NEL during the HKLR03/TMCLKL08 monitoring surveys.

3.2. *Distribution*

3.2.1. Distribution of dolphin sightings made during the TMCLKL08 monitoring surveys from September to November 2020 is shown in Figure 1. The two sightings were made to the northeast of Lung Kwu Chau and to the west of the airport platform respectively (Figure 1). As consistently recorded in previous monitoring quarters in recent years, the dolphins were completely absent from the central and eastern portions of North Lantau waters (Figure 1).

3.2.2. Notably, both dolphin sightings were located far away from the TMCLKL alignment as well as the HKBCF and HKLR03 reclamation sites during the quarterly period (Figure 1).

3.2.3. Sighting distribution of dolphins during the present post-construction monitoring period was drastically different from the one during the baseline monitoring period (Figure 1). In the present quarter, dolphins have disappeared from the NEL region, which was in stark contrast to their frequent occurrence around the Brothers Islands, near Shum Shui Kok and in the vicinity of HKBCF reclamation site during the baseline period (Figure 1). The nearly complete abandonment of NEL region by the dolphins has been consistently recorded in the past seven years of HKLR03/TMCLKL08 dolphin monitoring, which has resulted in zero to extremely low encounter rates in this area.

3.2.4. In NWL survey area, dolphin occurrences were also drastically different between the baseline and the present post-construction monitoring periods. During the present quarter, dolphins were rarely sighted here, and only at the western end of the North Lantau region. This was in contrary to their frequent occurrences throughout the area during the baseline period (Figure 1).

3.2.5. Another comparison in dolphin distribution was made between the six quarterly periods of autumn months in 2015-20 (Figure 2). Dolphins were sighted mostly around the Sha Chau and Lung Kwu Chau Marine Park and near the HKLR09 alignment in NWL waters during the first four autumn quarters, and their occurrence has progressively diminished further in the past three autumn quarters in 2018-20 (Figure 2). Notably, they were consistently absent from the NEL survey area throughout the six quarterly periods.

3.3. *Encounter rate*

3.3.1. During the present quarterly period, the encounter rates of Chinese White Dolphins deduced from the survey effort and on-effort sighting data from the primary transect lines under favourable conditions (Beaufort 3 or below) for each set of the TMCLKL08 surveys in NEL and NWL are shown in Table 2. The average encounter rates deduced

from the six sets of surveys were also compared with the ones deduced from the baseline monitoring period (September-November 2011) (Table 3).

Table 2. Dolphin encounter rates (sightings per 100 km of survey effort) during September-November 2020

| SURVEY AREA | DOLPHIN MONITORING DATES | Encounter rate (STG) (no. of on-effort dolphin sightings per 100 km of survey effort) | Encounter rate (ANI) (no. of dolphins from all on-effort sightings per 100 km of survey effort) |
|------------------|--------------------------|--|--|
| | | Primary Lines Only | Primary Lines Only |
| Northeast Lantau | Set 1 (9 & 15 Sep 2020) | 0.00 | 0.00 |
| | Set 2 (21 & 23 Sep 2020) | 0.00 | 0.00 |
| | Set 3 (7 & 12 Oct 2020) | 0.00 | 0.00 |
| | Set 4 (19 & 22 Oct 2020) | 0.00 | 0.00 |
| | Set 5 (4 & 9 Nov 2020) | 0.00 | 0.00 |
| | Set 6 (17 & 23 Nov 2020) | 0.00 | 0.00 |
| Northwest Lantau | Set 1 (9 & 15 Sep 2020) | 1.61 | 3.22 |
| | Set 2 (21 & 23 Sep 2020) | 0.00 | 0.00 |
| | Set 3 (7 & 12 Oct 2020) | 0.00 | 0.00 |
| | Set 4 (19 & 22 Oct 2020) | 0.00 | 0.00 |
| | Set 5 (4 & 9 Nov 2020) | 0.00 | 0.00 |
| | Set 6 (17 & 23 Nov 2020) | 1.66 | 3.32 |

Table 3. Comparison of average dolphin encounter rates from the present post-construction monitoring period (September-November 2020) and baseline monitoring period (September-November 2011) (Note: encounter rates deduced from the baseline monitoring period have been recalculated based only on survey effort and on-effort sighting data made along the primary transect lines under favourable conditions; \pm denotes the standard deviation of the average encounter rates)

| | Encounter rate (STG) (no. of on-effort dolphin sightings per 100 km of survey effort) | | Encounter rate (ANI) (no. of dolphins from all on-effort sightings per 100 km of survey effort) | |
|------------------|--|---------------------------|--|---------------------------|
| | September – November 2020 | September – November 2011 | September – November 2020 | September – November 2011 |
| Northeast Lantau | 0.0 | 6.00 \pm 5.05 | 0.0 | 22.19 \pm 26.81 |
| Northwest Lantau | 0.54 \pm 0.84 | 9.85 \pm 5.85 | 1.09 \pm 1.69 | 44.66 \pm 29.85 |

3.3.2. To facilitate the comparison with the AFCD long-term monitoring results, the encounter rates were also calculated for the present quarter using both primary and secondary survey effort. The encounter rates of sightings (STG) and dolphins (ANI) in NWL were 0.41 sightings and 0.82 dolphins per 100 km of survey effort respectively, while the encounter rates of sightings (STG) and dolphins (ANI) in NEL were both nil for this quarter.

3.3.3 In NEL, the average dolphin encounter rates (both STG and ANI) in the present quarterly

post-construction monitoring period were both zero with no on-effort sighting being made, and such extremely low occurrence of dolphins in NEL have been consistently recorded during the same autumn quarters throughout the HKLR03/TMCLKL08 dolphin monitoring in the past eight consecutive years (Table 4).

Table 4. Comparison of average dolphin encounter rates in Northeast Lantau survey area from the same autumn quarters of HKLR03/TMCLKL08 impact and post-construction monitoring periods since 2012 and the baseline monitoring period (September-November 2011) (Note: encounter rates deduced from the baseline monitoring period have been recalculated based only on survey effort and on-effort sighting data made along the primary transect lines under favourable conditions; \pm denotes the standard deviation of the average encounter rates)

| | Encounter rate (STG) (no. of on-effort dolphin sightings per 100 km of survey effort) | Encounter rate (ANI) (no. of dolphins from all on-effort sightings per 100 km of survey effort) |
|--|---|---|
| September-November 2011 (Baseline) | 6.00 \pm 5.05 | 22.19 \pm 26.81 |
| September-November 2013 (Impact) | 1.01 \pm 1.59 | 3.77 \pm 6.49 |
| September-November 2014 (Impact) | 0.00 | 0.00 |
| September-November 2015 (Impact) | 0.00 | 0.00 |
| September-November 2016 (Impact) | 0.00 | 0.00 |
| September-November 2017 (Impact) | 0.00 | 0.00 |
| September-November 2018 (Impact) | 0.00 | 0.00 |
| September-November 2019 (Impact) | 0.00 | 0.00 |
| September-November 2020 (Post-Construction) | 0.00 | 0.00 |

- 3.3.4. On the other hand, the average dolphin encounter rates (STG and ANI) in NWL during the present quarterly period were only tiny fractions of the ones recorded during the three-month baseline period (with reductions of 94.5% and 97.6% respectively), indicating a dramatic decline in dolphin usage of this survey area during the present quarterly period as compared to the baseline period in 2011 (Table 5).
- 3.3.5. When comparing to the past seven autumn quarters in 2013-19, the quarterly encounter rates in 2020 continued to plummet to the lowest level among all autumn quarters during the HKLR03/TMCLKL08 monitoring period (Table 5). Such dramatic drop in dolphin occurrence in NWL raises serious concerns, and the temporal trend should be closely monitored in the upcoming monitoring quarters while all construction activities of HZMB works has already been completed.

Table 5. Comparison of average dolphin encounter rates in Northwest Lantau survey area from the same autumn quarters of HKLR03/TMCLKL08 impact and post-construction monitoring periods since 2012 and the baseline monitoring period (September- November 2011) (Note: encounter rates deduced from the baseline monitoring period have been recalculated based only on survey effort and on-effort sighting data made along the primary transect lines under favourable conditions; \pm denotes the standard deviation of the average encounter rates)

| | Encounter rate (STG) (no. of on-effort dolphin sightings per 100 km of survey effort) | Encounter rate (ANI) (no. of dolphins from all on-effort sightings per 100 km of survey effort) |
|--|---|---|
| September-November 2011 (Baseline) | 9.85 \pm 5.85 | 44.66 \pm 29.85 |
| September-November 2013 (Impact) | 8.04 \pm 1.10 | 32.48 \pm 26.51 |
| September-November 2014 (Impact) | 5.10 \pm 4.40 | 20.52 \pm 15.10 |
| September-November 2015 (Impact) | 3.94 \pm 1.57 | 21.05 \pm 17.19 |
| September-November 2016 (Impact) | 2.86 \pm 1.98 | 10.89 \pm 10.98 |
| September-November 2017 (Impact) | 3.12 \pm 1.91 | 10.35 \pm 9.66 |
| September-November 2018 (Impact) | 1.51 \pm 2.25 | 2.70 \pm 3.78 |
| September-November 2019 (Impact) | 0.83 \pm 0.91 | 1.10 \pm 1.34 |
| September-November 2020 (Post-Construction) | 0.54 \pm 0.84 | 1.09 \pm 1.69 |

- 3.3.6. A two-way ANOVA with repeated measures and unequal sample size was conducted to examine whether there were any significant differences in the average encounter rates between the baseline and HKLR03/TMCLKL08 monitoring periods. The two variables that were examined included the two periods (baseline and impact phases) and two locations (NEL and NWL).
- 3.3.7. For the comparison between the baseline period and the present quarter (the second quarter of the TMCLKL08 post-construction monitoring period being assessed), the p-values for the differences in average dolphin encounter rates of STG and ANI were 0.0015 and 0.0242 respectively. If the alpha value is set at 0.05, significant differences were detected between the baseline period and present quarter in both the average dolphin encounter rates of STG and ANI.
- 3.3.8. For the comparison between the baseline period and the cumulative quarters of the HKLR03/TMCLKL08 monitoring period (i.e. the first 32 quarters of the impact and post-construction phases being assessed), the p-values for the differences in average dolphin encounter rates of STG and ANI were both 0.000000. Even if the alpha value is set at 0.00001, significant differences were still detected in both the average dolphin encounter rates of STG and ANI (i.e. between the cumulative periods and the locations).
- 3.3.9. As indicated in both dolphin distribution patterns and encounter rates, dolphin usage has been significantly and dramatically reduced in both NEL and NWL survey areas during the present quarterly period, and such low occurrence of dolphins has also been consistently documented throughout the HKLR03/TMCLKL08 monitoring period.

3.3.10. Even though all marine works associated with the HZMB construction have already been completed, and the Brothers Marine Park has been established as a compensation measure for the permanent habitat loss in association with the HZMB reclamation works since late 2016, apparently there has been no sign of recovery of dolphin usage in North Lantau waters at all, while such usage has continued to diminish to the lowest ever level.

3.4. *Group size*

3.4.1. Group size of both Chinese White Dolphin sightings were two animals in North Lantau region during September to November 2020. The average dolphin group sizes from these three months were compared with the ones deduced from the baseline period in September to November 2011, as shown in Table 6.

Table 6. Comparison of average dolphin group sizes from the present post-construction monitoring period (September – November 2020) and baseline monitoring period (September – November 2011) (Note: \pm denotes the standard deviation of the average group size)

| | Average Dolphin Group Size | |
|-------------------------|----------------------------|---------------------------|
| | September – November 2020 | September – November 2011 |
| Overall | 2.00 \pm 0.00 (n = 2) | 3.72 \pm 3.13 (n = 66) |
| Northeast Lantau | --- | 3.18 \pm 2.16 (n = 17) |
| Northwest Lantau | 2.00 \pm 0.00 (n = 2) | 3.92 \pm 3.40 (n = 49) |

3.4.2. The average dolphin group size in NWL waters during the present quarter was much lower than the one recorded during the three-month baseline period, but it should also be noted that the sample size of only two dolphin groups in the present quarter was only a tiny fraction of the 66 dolphin groups sighted during the baseline period (Table 6).

3.5. *Habitat use*

3.5.1. From September to November 2020, only two grids in North Lantau waters have recorded dolphin occurrences, and both of them recorded very low dolphin densities (Figures 3a and 3b). Notably, all grids near TMCLKL alignment did not record any presence of dolphins at all during on-effort search in the present quarterly period (Figures 3a and 3b).

3.5.2. It should be emphasized that the amount of survey effort collected in each grid during the three-month period was fairly low (6-12 units of survey effort for most grids), and therefore the habitat use pattern derived from the three-month dataset should be treated with caution.

3.5.3. When compared with the habitat use patterns during the baseline period, dolphin usage in NEL and NWL has drastically diminished in both areas during the present post-construction monitoring period (Figure 4). During the baseline period, many grids between Siu Mo To and Shum Shui Kok in NEL recorded moderately high to high dolphin densities, which was in stark contrast to the complete absence of dolphins there during the present quarter (Figure 4).

- 3.5.4. The density patterns were also very different in NWL between the baseline and present post-construction monitoring periods, with high dolphin usage throughout the area, especially around Sha Chau, near Black Point, to the west of the airport, as well as between Pillar Point and airport platform during the baseline period. In contrast, both grids with dolphin records were distributed at the western end of the NWL survey area in low densities during the present quarter (Figure 4).
- 3.6. *Mother-calf pairs*
- 3.6.1. During the present quarterly period, no mother-calf pair was sighted.
- 3.7. *Activities and associations with fishing boats*
- 3.7.1. From September to November 2020, neither of the two dolphin groups was engaged in any activities. Furthermore, both groups were not associated with any operating fishing vessel during this post-construction monitoring period.
- 3.8. *Summary of photo-identification works*
- 3.8.1. About 150 digital photographs of Chinese White Dolphins were taken during the present post-construction monitoring period for the photo-identification work. In total, four individuals sighted four times were identified (see summary table in Appendix III and photographs of identified individuals in Appendix IV). Both re-sightings were made in NWL.
- 3.9. *Individual range use*
- 3.9.1. Ranging patterns of the four individuals identified during the present quarterly period were determined by fixed kernel method, and are shown in Appendix V.
- 3.9.2. All four identified dolphins sighted in the present quarter were utilizing NWL waters only, but have completely avoided NEL waters where many of them have utilized as their core areas in the past (Appendix V). This is in contrary to the extensive movements between NEL and NWL survey areas observed in the earlier impact monitoring quarters as well as the baseline period.

4. References

- Buckland, S. T., Anderson, D. R., Burnham, K. P., Laake, J. L., Borchers, D. L., and Thomas, L. 2001. Introduction to distance sampling: estimating abundance of biological populations. Oxford University Press, London.
- Hung, S. K. 2020. Monitoring of marine mammals in Hong Kong waters – data collection: final report (2019-20). An unpublished report submitted to the Agriculture, Fisheries and Conservation Department of Hong Kong SAR Government, 138 pp.
- Jefferson, T. A. 2000. Population biology of the Indo-Pacific hump-backed dolphin in Hong Kong waters. Wildlife Monographs 144:1-65.

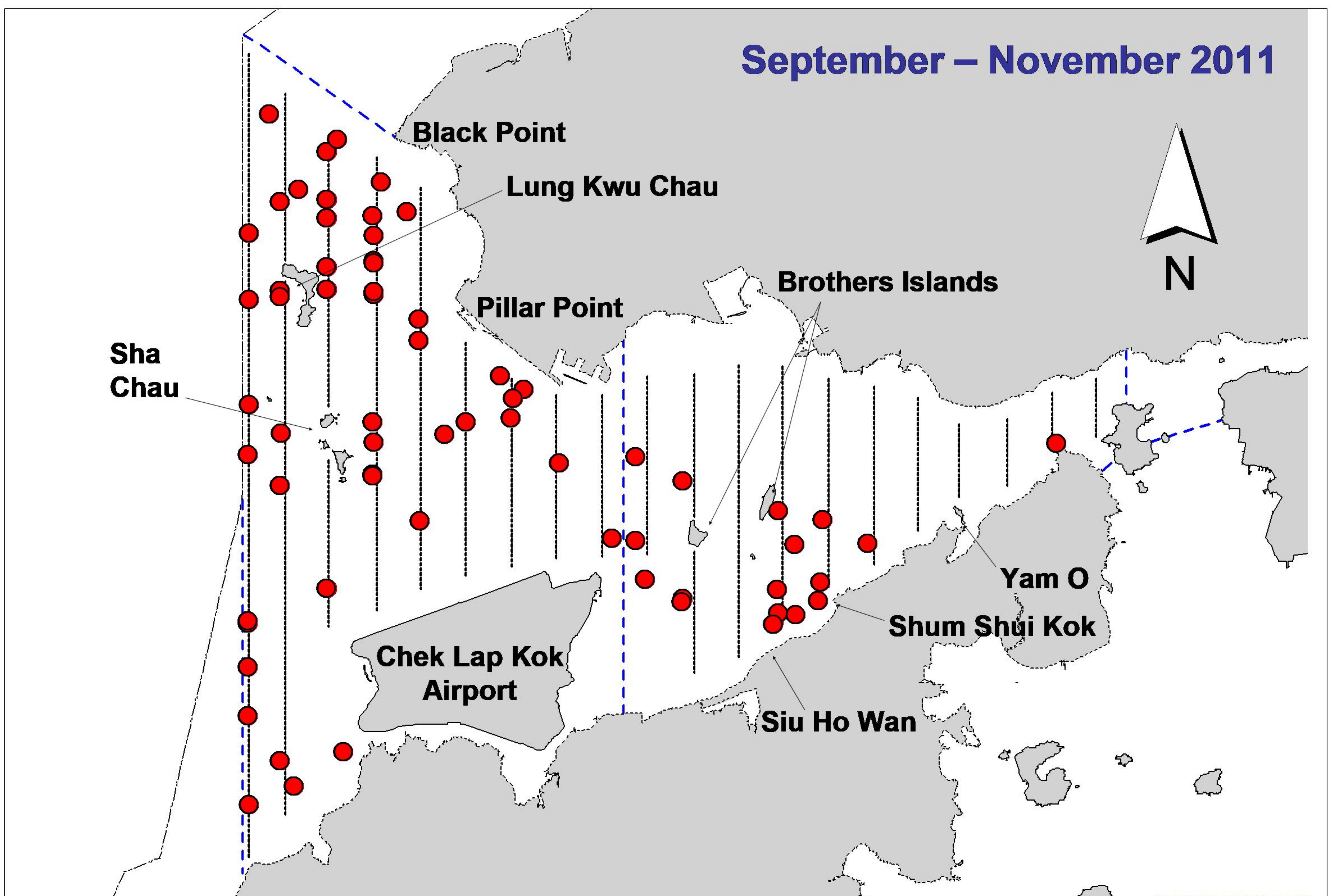
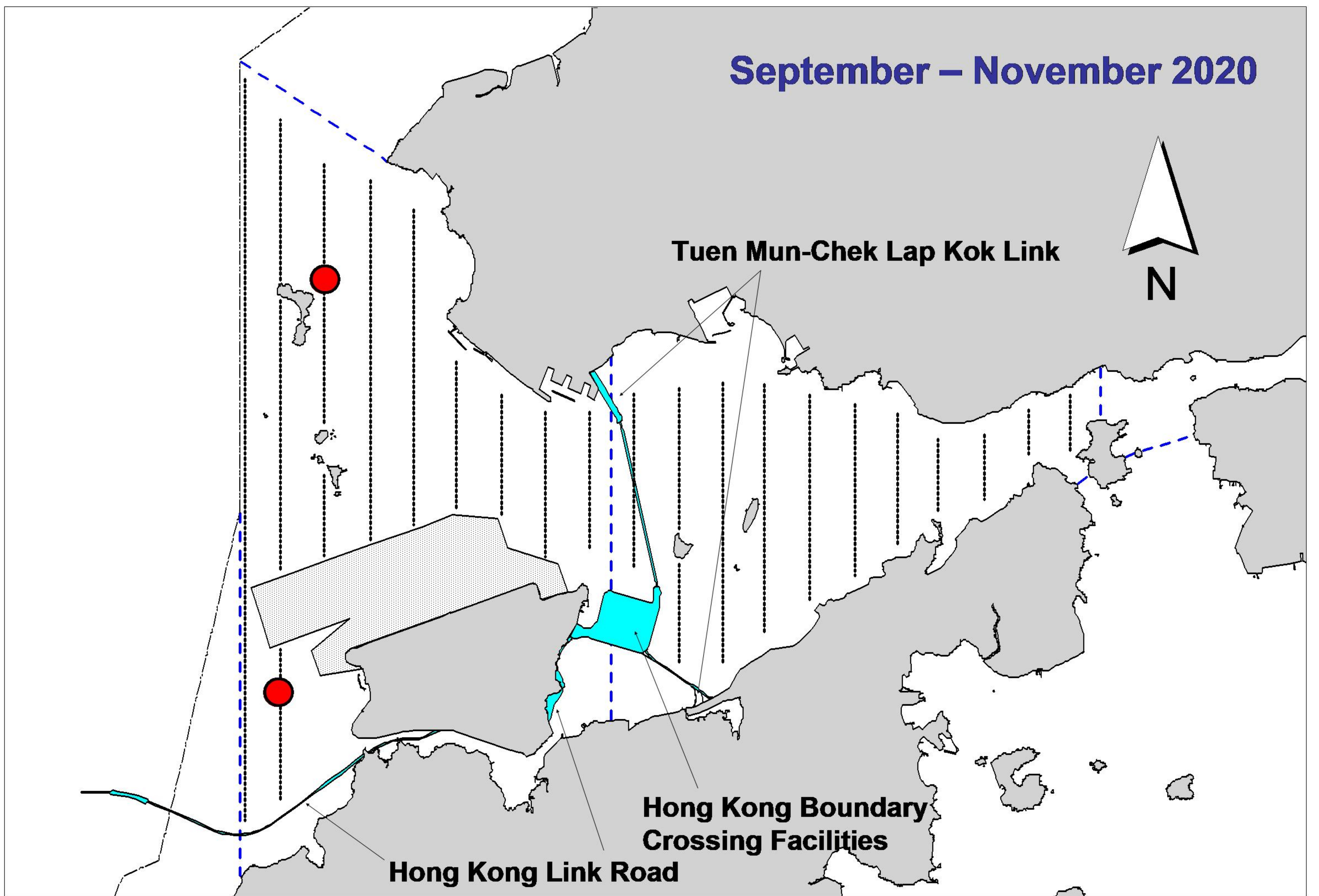


Figure 1. Distribution of Chinese White Dolphin sightings in Northwest and Northeast Lantau during the present TMCLKL08 monitoring period (top) and the baseline period in 2011 (bottom)

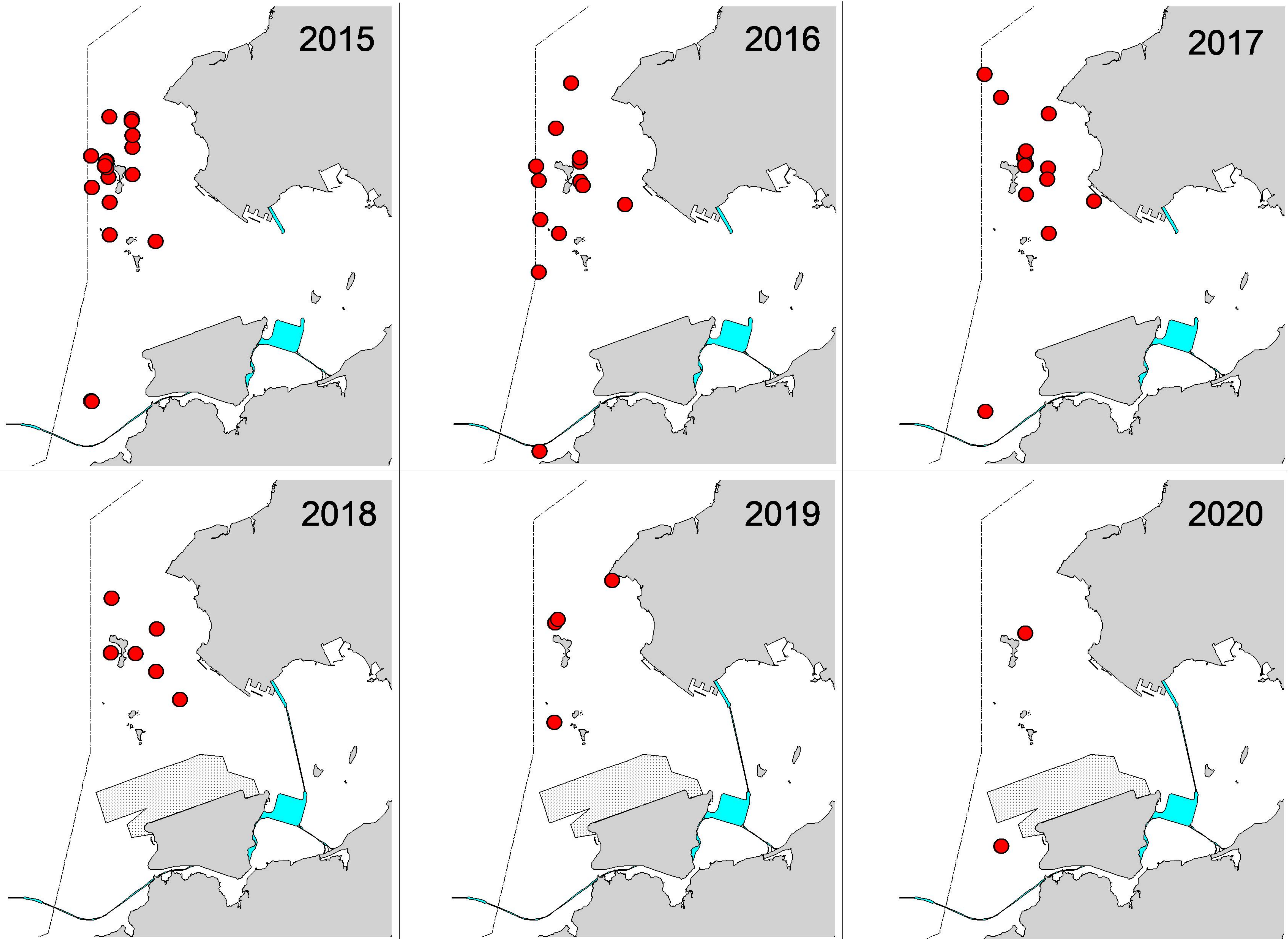


Figure 2. Distribution of Chinese White Dolphin sightings in Northwest and Northeast Lantau during the past six autumn quarters (September-November) of HKLR03/TMCLKL08 monitoring period in 2015-20

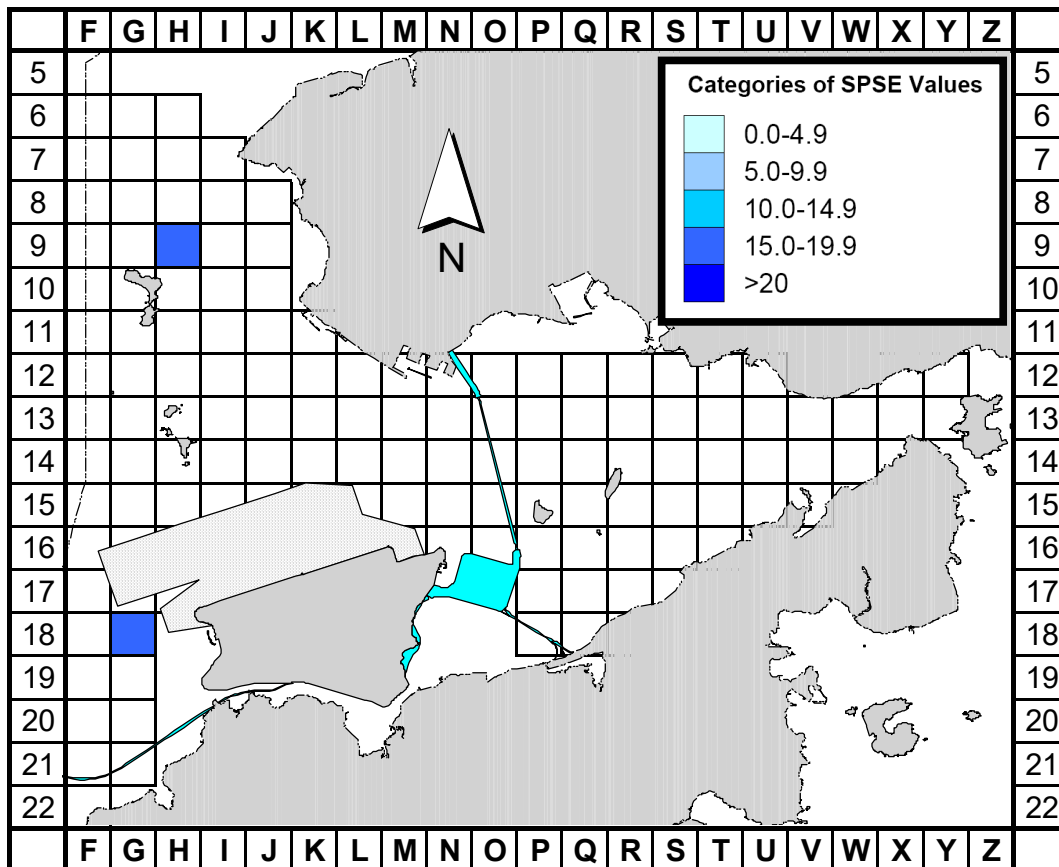


Figure 3a. Sighting density of Chinese White Dolphins with corrected survey effort per km² in Northeast and Northwest Lantau survey areas, using data collected during the TMCLKL08 monitoring period in September-November 2020 (SPSE = no. of on-effort sightings per 100 units of survey effort)

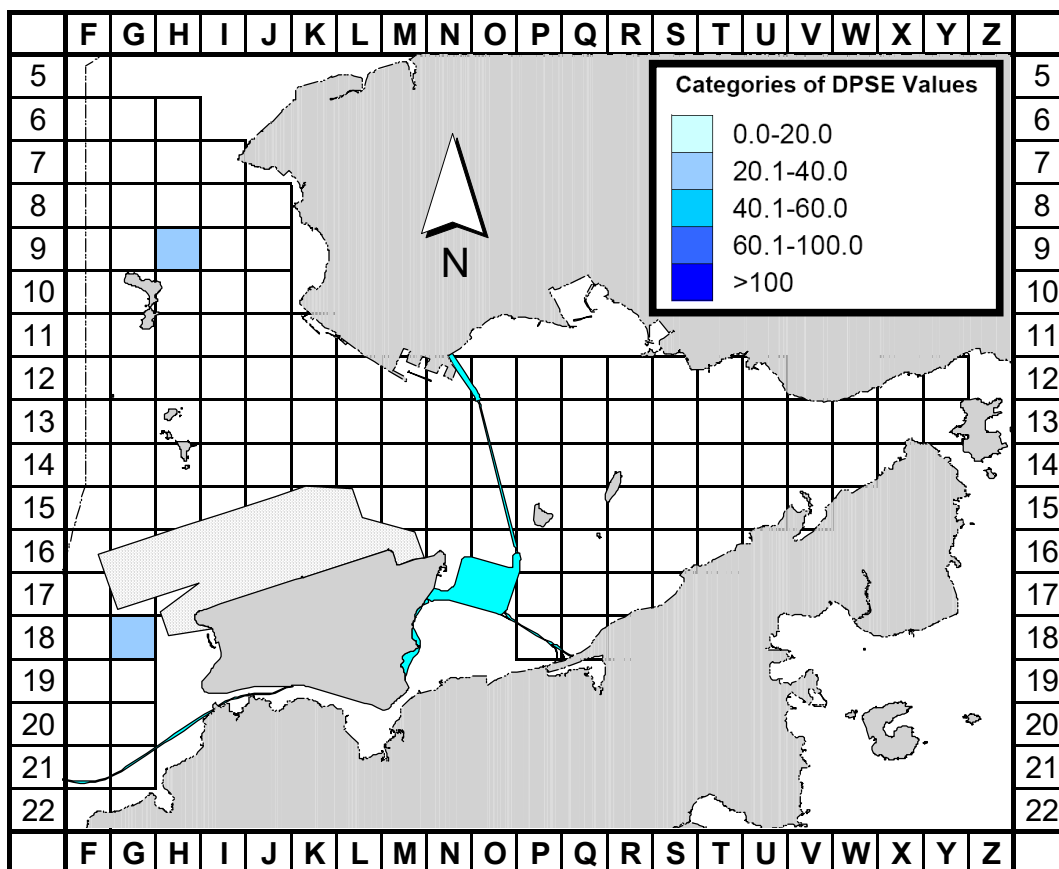


Figure 3b. Density of Chinese White Dolphins with corrected survey effort per km² in Northeast and Northwest Lantau survey areas, using data collected during the TMCLKL08 monitoring period in September-November 2020 (DPSE = no. of dolphins per 100 units of survey effort)

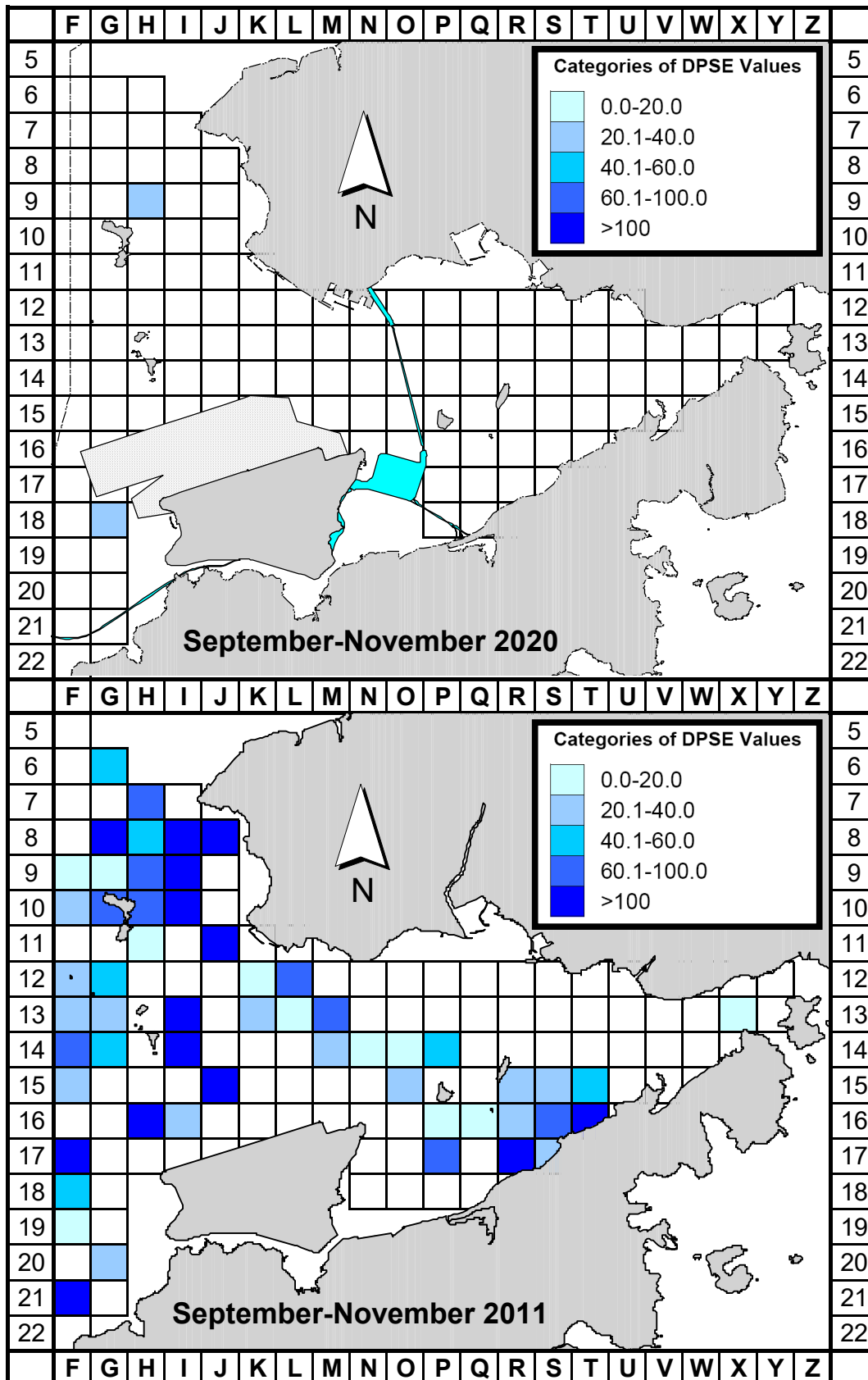


Figure 4. Comparison of density of Chinese White Dolphins with corrected survey effort per km² in Northwest and Northeast Lantau survey areas between the present TMCLKL08 monitoring period (September-November 2020) and baseline monitoring period (September-November 2011) (DPSE = no. of dolphins per 100 units of survey effort)

Appendix I. TMCLKL08 Survey Effort Database (September-November 2020)

(Abbreviations: BEAU = Beaufort Sea State; P = Primary Line Effort; S = Secondary Line Effort)

| DATE | AREA | BEAU | EFFORT | SEASON | VESSEL | TYPE | P/S |
|-----------|-----------|------|--------|--------|---------------|--------|-----|
| 9-Sep-20 | NW LANTAU | 1 | 12.70 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 9-Sep-20 | NW LANTAU | 2 | 16.50 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 9-Sep-20 | NW LANTAU | 1 | 5.92 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 9-Sep-20 | NW LANTAU | 2 | 5.48 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 9-Sep-20 | NE LANTAU | 1 | 7.01 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 9-Sep-20 | NE LANTAU | 2 | 28.49 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 9-Sep-20 | NE LANTAU | 1 | 5.00 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 9-Sep-20 | NE LANTAU | 2 | 7.80 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 15-Sep-20 | NW LANTAU | 1 | 4.25 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 15-Sep-20 | NW LANTAU | 2 | 26.45 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 15-Sep-20 | NW LANTAU | 3 | 2.28 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 15-Sep-20 | NW LANTAU | 2 | 10.93 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 21-Sep-20 | NW LANTAU | 1 | 1.77 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 21-Sep-20 | NW LANTAU | 2 | 15.75 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 21-Sep-20 | NW LANTAU | 3 | 9.30 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 21-Sep-20 | NW LANTAU | 2 | 7.08 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 21-Sep-20 | NW LANTAU | 3 | 5.10 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 21-Sep-20 | NE LANTAU | 2 | 13.67 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 21-Sep-20 | NE LANTAU | 3 | 21.76 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 21-Sep-20 | NE LANTAU | 2 | 6.48 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 21-Sep-20 | NE LANTAU | 3 | 5.39 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 23-Sep-20 | NW LANTAU | 1 | 14.56 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 23-Sep-20 | NW LANTAU | 2 | 16.32 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 23-Sep-20 | NW LANTAU | 3 | 2.00 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 23-Sep-20 | NW LANTAU | 2 | 8.42 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 7-Oct-20 | NW LANTAU | 2 | 6.09 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 7-Oct-20 | NW LANTAU | 3 | 20.74 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 7-Oct-20 | NW LANTAU | 2 | 3.90 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 7-Oct-20 | NW LANTAU | 3 | 7.77 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 7-Oct-20 | NE LANTAU | 2 | 31.32 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 7-Oct-20 | NE LANTAU | 3 | 3.11 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 7-Oct-20 | NE LANTAU | 2 | 10.22 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 7-Oct-20 | NE LANTAU | 3 | 2.25 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 12-Oct-20 | NW LANTAU | 2 | 16.39 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 12-Oct-20 | NW LANTAU | 3 | 15.53 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 12-Oct-20 | NW LANTAU | 2 | 8.68 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 19-Oct-20 | NW LANTAU | 2 | 14.73 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 19-Oct-20 | NW LANTAU | 3 | 11.54 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 19-Oct-20 | NW LANTAU | 2 | 7.60 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 19-Oct-20 | NW LANTAU | 3 | 4.63 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 19-Oct-20 | NE LANTAU | 1 | 3.80 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 19-Oct-20 | NE LANTAU | 2 | 28.13 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 19-Oct-20 | NE LANTAU | 3 | 3.00 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 19-Oct-20 | NE LANTAU | 1 | 1.20 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 19-Oct-20 | NE LANTAU | 2 | 9.47 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 19-Oct-20 | NE LANTAU | 3 | 0.80 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 22-Oct-20 | NW LANTAU | 3 | 32.58 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 22-Oct-20 | NW LANTAU | 2 | 0.90 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 22-Oct-20 | NW LANTAU | 3 | 9.62 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 4-Nov-20 | NW LANTAU | 2 | 19.01 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 4-Nov-20 | NW LANTAU | 3 | 9.69 | AUTUMN | STANDARD36826 | TMCLKL | P |

Appendix I. (cont'd)

(Abbreviations: BEAU = Beaufort Sea State; P = Primary Line Effort; S = Secondary Line Effort)

| DATE | AREA | BEAU | EFFORT | SEASON | VESSEL | TYPE | P/S |
|-----------|-----------|------|--------|--------|---------------|--------|-----|
| 4-Nov-20 | NW LANTAU | 2 | 7.30 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 4-Nov-20 | NW LANTAU | 3 | 3.10 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 4-Nov-20 | NE LANTAU | 2 | 34.20 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 4-Nov-20 | NE LANTAU | 3 | 2.70 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 4-Nov-20 | NE LANTAU | 2 | 12.50 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 4-Nov-20 | NE LANTAU | 3 | 1.00 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 9-Nov-20 | NW LANTAU | 2 | 12.64 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 9-Nov-20 | NW LANTAU | 3 | 19.96 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 9-Nov-20 | NW LANTAU | 2 | 7.26 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 9-Nov-20 | NW LANTAU | 3 | 1.54 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 17-Nov-20 | NW LANTAU | 2 | 3.80 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 17-Nov-20 | NW LANTAU | 3 | 24.32 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 17-Nov-20 | NW LANTAU | 2 | 3.47 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 17-Nov-20 | NW LANTAU | 3 | 7.33 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 17-Nov-20 | NE LANTAU | 2 | 32.10 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 17-Nov-20 | NE LANTAU | 3 | 3.38 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 17-Nov-20 | NE LANTAU | 2 | 12.72 | AUTUMN | STANDARD36826 | TMCLKL | S |
| 23-Nov-20 | NW LANTAU | 2 | 11.30 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 23-Nov-20 | NW LANTAU | 3 | 20.90 | AUTUMN | STANDARD36826 | TMCLKL | P |
| 23-Nov-20 | NW LANTAU | 2 | 8.30 | AUTUMN | STANDARD36826 | TMCLKL | S |

Appendix II. TMCLKL08 Chinese White Dolphin Sighting Database (September-November 2020)

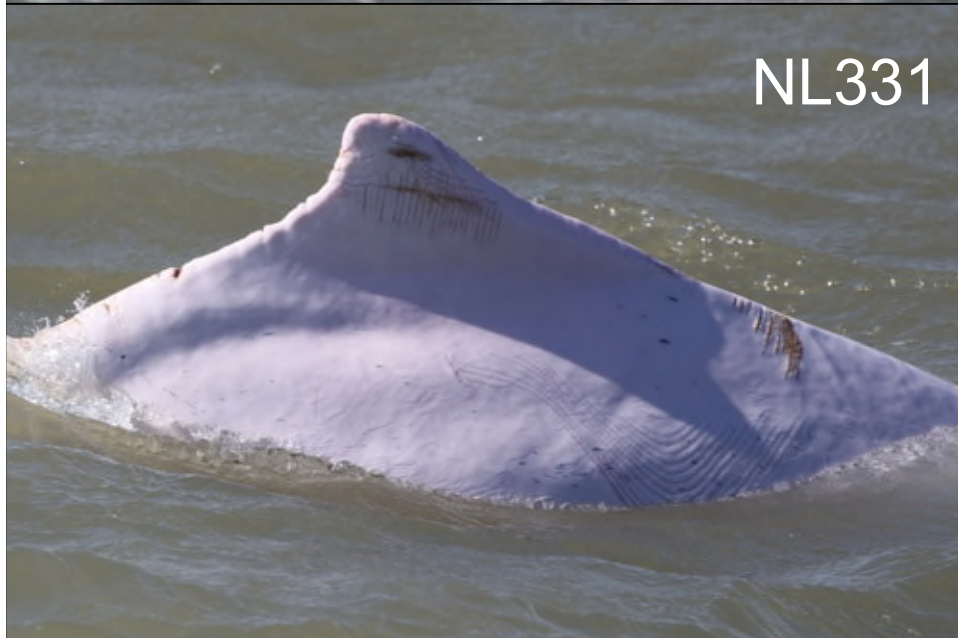
(Abberviations: STG# = Sighting Number; HRD SZ = Dolphin Herd Size; BEAU = Beaufort Sea State; PSD = Perpendicular Distance; BOAT ASSOC. = Fishing Boat Association; P/S: Sighting Made on Primary/Secondary Lines)

| DATE | STG # | TIME | HRD SZ | AREA | BEAU | PSD | EFFORT | TYPE | NORTHING | EASTING | SEASON | BOAT ASSOC. | P/S |
|-----------|-------|------|--------|-----------|------|-----|--------|--------|----------|---------|--------|-------------|-----|
| 15-Sep-20 | 1 | 1213 | 2 | NW LANTAU | 1 | 218 | ON | TMCLKL | 827104 | 806457 | AUTUMN | NONE | P |
| 17-Nov-20 | 1 | 1018 | 2 | NW LANTAU | 3 | 105 | ON | TMCLKL | 818225 | 805409 | AUTUMN | NONE | P |

Appendix III. Individual dolphins identified during TMCLKL08 monitoring surveys in September-November 2020

| ID# | DATE | STG# | AREA |
|------------|-------------|-------------|-------------|
| NL202 | 15/09/20 | 1 | NW LANTAU |
| NL286 | 15/09/20 | 1 | NW LANTAU |
| NL331 | 17/11/20 | 1 | NW LANTAU |
| WL243 | 17/11/20 | 1 | NW LANTAU |

Appendix IV. Four individual dolphins that were identified between September-November 2020 during the TMCLKL08 monitoring surveys



Appendix V. Ranging patterns (95% kernel ranges) of four individual dolphins that were sighted during the present TMCLKL08 monitoring period
(note: yellow dots indicate sightings made in September-November 2020 during TMCLKL08 monitoring surveys)

